



國立中山大學 電機工程學系

博士論文

程序設定快速啟動之螢光燈電子安定器

Electronic Ballasts for Fluorescent Lamps with Programmed  
Rapid-Start

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# 博碩士論文授權書

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#### 中文提要：

本文針對採用半橋串聯共振式換流器為主要電路架構之電子安定器提出三種程序設定快速啟動控制方式，分別為：(1)交流開關短路法、(2)具感應耦合式燈絲加熱電路之程序設定控制法、(3)串聯共振儲能槽諧振法，以改善快速啟動型螢光燈的啟動暫態特性。

首先提出的控制方式是藉由在傳統的串聯共振式電子安定器加入一固態交流開關來達成程序設定快速啟動。在預熱期間，安定器的固態交流開關將導通使燈管跨接零電壓，以消除此期間燈管的熾光電流。藉由調整安定器電路的操作頻率與主動切換開關的導通率，此安定器首先能產生適當大小的共振電流來加熱電極燈絲，並於交流開關截止時提供燈管足夠高的啟動電壓，最後於穩態操作時供應所需求之燈管功率。

第二種控制方式是在功因修正電路之儲能電感的鐵芯加繞兩組輔助線圈來作為燈絲加熱電路，並藉由控制安定器電路的主動切換開關，使功因修正電路在啟動後持續致動，以產生燈絲加熱電壓來加熱電極燈絲；並使共振式換流器電路在預熱階段不動作，避免燈管兩端產生跨壓，以消除燈管的熾光電流。當燈絲達到適當的電子發射溫度之後，隨即啟動串聯共振換流器來產生高壓以點亮燈管，然後穩定地操作燈管於所要求之功率。

最後所提出的控制方式是藉由在傳統的串聯共振式電子安定器的負載諧振網路加入一串聯共振儲能槽，並規劃從啟動到穩態各階段的操作頻率，以達到程序設定快速啟動。啟動後的預熱階段，電子安定器首先設定在串聯共振儲能槽的諧振頻率，以降低燈管電壓，確保不會發生熾光放電。經由電路參數設計，安定器能提供適當之燈絲預熱電流。當燈絲達到放射電子溫度後，接著調整安定器電路的操作頻率，以產生足夠高之燈管電壓來點燈，然後於穩態操作期間輸出所要求之燈管功率並提供適當的燈絲電流。

本文針對所提出之電子安定器電路，根據其開關導通情形建立電路的工作模式，分析電路工作原理。而為準確掌握燈絲操作特性，本文亦對燈絲電阻在預熱期間的變化詳加探討，並依據螢光燈之特性，將穩定工作時電弧視為純電阻，並加入燈絲電阻，建立螢光燈

於點亮前後的等效電路模型。此外，文中亦應用基本波近似法來簡化電路分析，並搭配燈管之等效電路模型，建立安定器之等效電路，並以此等效電路為基礎推導電路參數的設計方程式及設計流程。最後，本文以實驗證實理論分析之結果。

英文提要：

Three programmed rapid-start control schemes for the electronic ballasts with a half-bridge series-resonant inverter are proposed to improve the starting performance of the rapid-start fluorescent lamps. Included are: (1) programmed rapid-start control scheme with an ac switch, (2) programmed rapid-start control scheme with inductively coupled filament-heating circuit, and (3) programmed frequency control scheme with a series-resonant energy-tank.

The first control scheme is simply to add a solid-state ac switch onto the series-resonant electronic ballast to provide programmed rapid-start for the rapid-start fluorescent lamp. The ac switch is turned on to have a zero voltage across the lamp to eliminate the glow current during the preheating interval. By adjusting the operation frequency and the duty-ratio, the electronic ballast produces first an adequate resonant current for preheating the cathode filaments, then a sufficiently high lamp voltage for ignition, and finally a stable lamp arc of the required lamp power.

The second control scheme is accomplished by adding two auxiliary windings on the inductor of the power-factor-correction (PFC) circuit for the filament-heating circuits. During the preheating period, the PFC circuit is activated to provide the filament heating while the inverter remains idle to keep the lamp voltage at zero and hence to eliminate the glow current. After the filaments have been heated to the appropriate temperature, the inverter is initiated to ignite the lamp and then operate it at the required power.

The third control scheme is realized by programming the operation frequency of the electronic ballast with an additional series-resonant energy-tank on the load resonant network. During the preheating interval, the electronic ballast is programmed to operate at the resonance frequency of the series-resonant energy-tank to reduce the lamp voltage and hence to eliminate the glow discharge. With carefully designed circuit parameters, the electronic ballast is able to provide an adequate current for preheating. After the emission temperature has been reached, the operation frequency is adjusted to generate a high lamp voltage for ignition, and then is located at the steady-state frequency driving the lamp with the desired power and filament current.

In this dissertation, the mode operations of the proposed ballast circuits are analyzed in accordance with the conducting conditions of the power switches. The equivalent resistance model of fluorescent lamp is implemented to calculate the performances of the ballast-lamp circuit at steady-state. The design equations are derived and the computer analyses are performed with the fundamental approximation on the equivalent circuit models of fluorescent lamps. In addition, in order to accurately predict the operating characteristic of the preheating circuit, a mathematical model is developed to interpret the variations of the filament resistance during preheating. Finally, the laboratory electronic ballasts with the proposed control schemes are built and tested. Satisfactory performances are obtained from the experimental results.

## 摘要

本文針對採用半橋串聯共振式換流器為主要電路架構之電子安定器提出三種程序設定快速啟動控制方式，分別為：(1)交流開關短路法、(2)具感應耦合式燈絲加熱電路之程序設定控制法、(3)串聯共振儲能槽諧振法，以改善快速啟動型螢光燈的啟動暫態特性。

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第二種控制方式是在功因修正電路之儲能電感的鐵芯加繞兩組輔助線圈來作為燈絲加熱電路，並藉由控制安定器電路的主動切換開關，使功因修正電路在啟動後持續致動，以產生燈絲加熱電壓來加熱電極燈絲；並使共振式換流器電路在預熱階段不動作，避免燈管兩端產生跨壓，以消除燈管的熾光電流。當燈絲達到適當的電子發射溫度之後，隨即啟動串聯共振換流器來產生高壓以點亮燈管，然後穩定地操作燈管於所要求之功率。

最後所提出的控制方式是藉由在傳統的串聯共振式電子安定器的負載諧振網路加入一串聯共振儲能槽，並規劃從啟動到穩態各階段的操作頻率，以達到程序設定快速啟動。啟動後的預熱階段，電子安定器首先設定在串聯共振儲能槽的諧振頻率，以降低燈管電壓，確保不會發生熾光放電。經由電路參數設計，安定器能提供適當之燈絲預熱電流。當燈絲達到放射電子溫度後，接著調整安定器電路的操作頻率，以產生足夠高之燈管電壓來點燈，然後於穩態操作期間輸出所要求之燈管功率並提供適當的燈絲電流。

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文中亦應用基本波近似法來簡化電路分析，並搭配燈管之等效電路模型，建立安定器之等效電路，並以此等效電路為基礎推導電路參數的設計方程式及設計流程。最後，本文以實驗證實理論分析之結果。

**關鍵詞：**程序設定快速啟動、電子安定器、螢光燈、熾光放電。



# Abstract

Three programmed rapid-start control schemes for the electronic ballasts with a half-bridge series-resonant inverter are proposed to improve the starting performance of the rapid-start fluorescent lamps. Included are: (1) programmed rapid-start control scheme with an ac switch, (2) programmed rapid-start control scheme with inductively coupled filament-heating circuit, and (3) programmed frequency control scheme with a series-resonant energy-tank.

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**Keywords:** Programmed rapid-start, Electronic ballast, Fluorescent lamp, Glow discharge.

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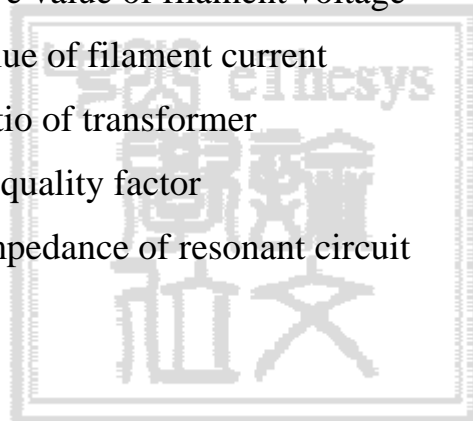
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## List of Symbols

$f_p$	preheating frequency
$f_s$	steady-state frequency
$f_{r,pre}$	resonance frequency of resonant circuit during preheating
$f_{r,ign}$	resonance frequency of resonant circuit at ignition
$f_{r,std}$	resonance frequency of resonant circuit at steady-state
$f_o$	operation frequency of inverter
$T_o$	operation period of inverter
$d$	duty-ratio
$V_{lamp}$	rms value of lamp voltage
$I_{lamp}$	rms value of lamp current
$R_{lamp}$	lamp resistance
$P_{lamp}$	lamp power
$P_{arc}$	arc power
$P_f$	filament power
$r_f$	filament resistance
$r_{f,c}$	resistance of cold filament
$r_{f,h}$	resistance of hot filament
$T_c$	temperature of cold filament
$T_h$	temperature of hot filament
$V_p$	rms value of preheating voltage
$I_p$	rms value of preheating current
$t_p$	preheating time
$v_s$	instantaneous value of line voltage
$V_s$	rms value of line voltage
$V_m$	amplitude of line voltage
$f_L$	frequency of line voltage
$i_{in}$	instantaneous value of input current

$i_{in,peak}$	peak value of unfiltered input current
$i_{in,avg}$	average value of unfiltered input current
$P_{in}$	input power
$\eta$	circuit efficiency
$V_{dc}$	dc-link voltage
$r_{vo}$	ripple factor of dc-link voltage
$v_{ab}$	output voltage of half-bridge inverter
$V_1$	rms value of fundamental component of $v_{ab}$
$v_n$	instantaneous value of $n$ -th order harmonic component of $v_{ab}$
$V_n$	rms value of $n$ -th order harmonic component of $v_{ab}$
$V_{ign}$	rms value of ignition voltage
$V_f$	effective value of filament voltage
$I_f$	rms value of filament current
$N$	turn-ratio of transformer
$Q_L$	loaded quality factor
$Z_{in}$	total impedance of resonant circuit



# Chapter 1 Introduction

## 1-1 Research Background and Motivation

Fluorescent lamps have been widely used in industrial, commercial, and residential regions as one of the most important lighting devices. As compared with their counterparts, incandescent lamps, even though fluorescent lamps are bulky in size and heavy in weight and ballasts are essential to operate them properly, they inherently possess some advantages, such as higher luminous efficiency (lm/W), that is, higher energy conversion efficiency with the lamp, lower tube temperature and longer lamp life [1-5]. Therefore, fluorescent lamps provide a large percentage of today's lighting needs.

The fluorescent lamp is a low-pressure mercury electric discharge lighting source, in which light is produced predominantly by phosphors which are activated by ultraviolet energy generated by the mercury discharge. The fluorescent lamp is mainly composed of a glass tube filled with a mixture of argon gases and mercury vapors and two filament electrodes. The inner walls of the tube are coated with the phosphors and the cathode filaments of the lamp electrodes are coated with the emissive materials, which emit electrons. While a proper voltage (ignition voltage) is applied on the lamp, an electric discharge is produced between the electrodes. This discharge generates some visible radiation, but mostly invisible ultraviolet radiation. The phosphors absorb the invisible ultraviolet in turn to emit visible light [1].

Like most gas discharge lighting sources, the fluorescent lamp exhibits negative incremental resistance characteristics in the desired operation region. When operating the fluorescent lamp at a higher power, the lamp voltage is lower and the arc current is higher. On the other hand, as operating it at low power, the lamp voltage becomes high and current turns low, respectively. The negative incremental resistance characteristics may result in an unstable operation and bring about destruction if the lamp is directly connected to the voltage source. Thus, a

current-limiting device is essential for limiting the current flowing through the lamp [1,6-8]. The current-limiting device is commonly called a ballast. In addition to current limitation, the ballast also provides a sufficiently high lamp voltage to ignite the lamp and then a proper lamp voltage to maintain gas discharge while running.

In practical applications, to operate the fluorescent lamp properly, a ballast is essential for regulating the discharge voltage and current. Conventionally, the electromagnetic ballast is mainly composed of a magnetic core inductor or a high-leakage transformer and a starter. Since its operation frequency is the same as the frequency of line source, the electromagnetic ballast will cause the noticeable lamp flicker resulted from gas ionization and deionization. In addition, this kind of ballast operating at such a low frequency is disadvantageous of a higher loss, audible hums, and bulky in size and heavy in weight.

In recent years, due to the rapid development of semiconductor components and power electronic technology for high frequency switching, the electronic ballast, instead of the electromagnetic ballast, is preferred to drive the fluorescent lamp at high frequency and high efficiency for improving the light quality. The high frequency electronic ballast has a lot of benefits as compared with the conventional electromagnetic ballast. When the fluorescent lamp is operated at high frequency, higher light output for the same electrical input at low frequency is obtained, i.e., the luminous efficiency is increased [1,4,5]. The ignition voltage of the lamp can be reduced with increasing frequency. The noticeable flicker becomes negligible due to the ionized gas does not have sufficient time to recombine as the line voltage passes through zero point. Therefore, the restriking voltage spikes disappear at high frequency operation. The audible noises heard from the conventional electromagnetic ballast can be eliminated completely since the operation frequency is above the acoustic frequency. Moreover, the elements of the ballast can be much lighter and more compact due to high frequency operation [7-14].

Both the mercury vapors and the phosphors in the fluorescent lamp tube are the harmful materials, which can pollute the environment. Once the failed fluorescent lamps are not handled properly, these harmful materials in the fluorescent tube will cause a serious impact upon the environment. Therefore, for the sake of environmental consideration, how to effectively prolong the lamp life for reducing the consumption of fluorescent lamps has become an important task in the electronic ballast design.

The starting operations of fluorescent lamps are commonly classified into preheating-start, rapid-start and instant-start [1]. For the different starting operations, the different types of fluorescent lamps must be used. Among various types of fluorescent lamps, the fluorescent lamp designed for rapid-start is conventionally recommended for lighting applications requiring frequent switching to preserve long lamp life cycles. A long operation life of the fluorescent lamp can be retained by properly starting and operating the lamp. Before ignition, the ballast should provide a proper preheating voltage or current to heat the cathode filament until an appropriate temperature for electron emission. If the lamp is ignited before the cathode filaments are properly heated, this might cause the cathode filaments sputtering acutely. On the other hand, if the cathode filaments are heated to a too high temperature before ignition, the coating materials on the electrodes might be over evaporated. Both inappropriate preheating conditions will increase the depletion of the coating materials on the cathode filaments and blacken the lamp ends, shortening the lamp life [15-24]. After the lamp is successfully ignited, the ballast should supply a proper filament voltage or current to maintain the cathode filament at the emission temperature. This is helpful for retaining a long lamp life but can increase the energy consumption at the steady-state operation [18,22].

In addition, the glow discharge should be prevented. The results of recent research have shown that the glow discharge is a very important factor affecting the operation life of the fluorescent lamp. By eliminating the glow discharge in the fluorescent lamps, the damage to the cathode filaments during starting can be

reduced [25-29]. Glow discharge is an indication of cathode filament sputtering before stable arc current flows through the lamp. That is, glow current is the irregular current caused by the cathode filament sputtering. There are two paths for glow discharge. One is directly from one end of the lamp to another end. The other is from the cathode filament to the fluorescent coating on the inner walls of the tube [9]. In general, the glow current is very small and thus it is not easily detected. However, it will cause the filaments to wear out.

The glow discharge may occur when the cathode filaments are being preheated and especially when a voltage appears across the fluorescent lamp. Therefore, it is essential for a ballast design to limit the lamp voltage during the preheating interval. For this purpose, many electronic ballasts with programmed rapid-start have been developed [24,29-41]. Nevertheless, most of them are able to reduce but not get rid of the glow current. To completely eliminate the glow discharge, an additional filament-heating circuit with transformer may be used [29]. However, this solution requires a more complicated power circuit with a more sophisticated control leading to a higher cost and larger volume, and is not applicable for the electronic ballasts with a half-bridge series-resonant inverter.

Electronic ballasts with a half-bridge resonant inverter have been widely adopted in commercial products due to their simple configurations and high efficiency [42-51]. At present, many control ICs designed for the electronic ballasts with half-bridge resonant inverters have also been developed [31-34,52-54]. Figure 1-1 shows the conventional electronic ballast with a quasi half-bridge series-resonant inverter. The load resonant circuit presents series-resonant parallel-loaded and thus the fluorescent lamp is in parallel with a starting-aid capacitor,  $C_f$ . This starting-aid capacitor has two functions. One is to provide an appropriate preheating current during the preheating interval and a compensated filament current to maintain the emission temperature at steady-state operation. The other is to generate a sufficiently high ignition voltage by taking part in the resonance of the load circuit. Such a design can simplify the circuit

configuration but will also cause the glow discharge. At the preheating stage, the lamp is regarded as an open circuit and thus the resonant current,  $i_r$ , is the filament current,  $i_f$ . While the resonant current flows through the starting-aid capacitor and the cathode filaments for preheating, a voltage is simultaneously produced on the lamp causing a glow discharge inevitably, as shown in Figure 1-2.

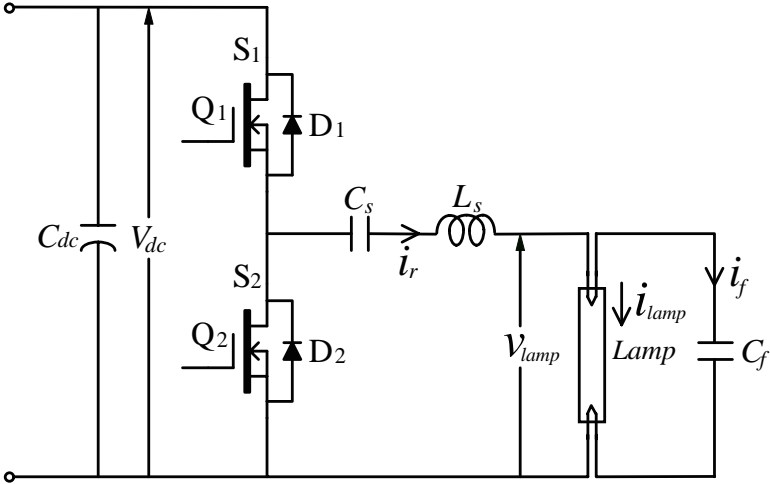


Figure 1-1 Half-bridge series-resonant parallel-loaded inverter

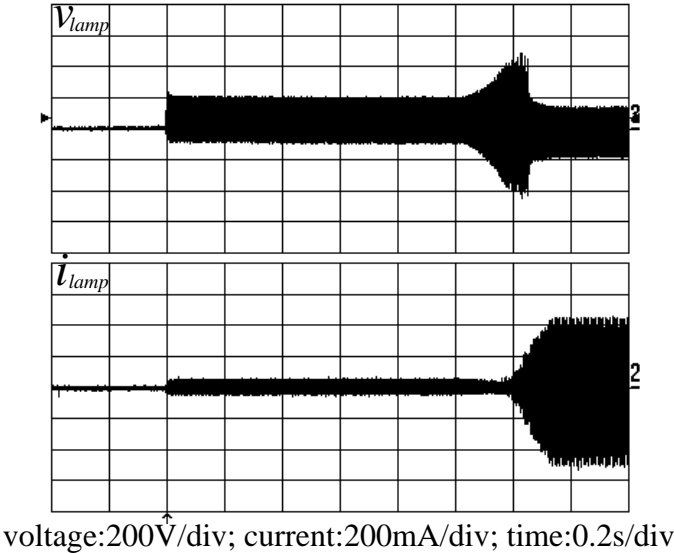


Figure 1-2 Starting transient waveforms

To get rid of the glow discharge in the rapid-start fluorescent lamps driven by half-bridge series-resonant electronic ballasts, three programmed rapid-start control schemes are proposed in this dissertation. The control schemes are: (1)



programmed rapid-start control scheme with an ac switch, (2) programmed rapid-start control scheme with inductively coupled filament-heating circuit, and (3) programmed frequency control scheme with a series-resonant energy-tank. The first control scheme is simply to add a shunt switch on the lamp. During the preheating stage, the lamp voltage can be maintained at zero to eliminate the glow current by turning on the switch. Once the filament temperature has reached the adequate emission temperature, the shunt switch is turned off. Then, an ignition voltage is applied to start the lamp. The second control scheme is accomplished by adding two auxiliary windings on the inductor of the power-factor-correction (PFC) circuit for the filament-heating circuits and remaining the load resonant inverter at idle state until the cathode filaments have been heated to the appropriate temperature. This ensures that no voltage across the lamp while applying the preheating voltage on the cathode filaments. Thus, this control scheme can effectively eliminate the glow current. The third control scheme is simply to add a series-resonant energy-tank as the starting-aid circuit. With the starting-aid circuit, the lamp can be started in a sophisticated manner. During the preheating stage, the lamp voltage can be greatly reduced to a very low level by deliberately operating the inverter at the resonance frequency of the starting-aid circuit. After the cathode filaments have been preheated to an appropriate emission temperature, the inverter frequency is adjusted to generate the required high ignition voltage for starting the lamp. Thus, with these proposed control schemes, the lamp can be started up without the adverse effects on the lamp life.

In this dissertation, the equivalent resistance model of fluorescent lamp is implemented to calculate the performances of the ballast-lamp circuit at steady-state. In order to accurately predict the operation characteristic of the preheating circuit, the variations of the filament resistance during preheating are investigated and its mathematical model is developed. In addition, for the presented programmed rapid-start electronic ballasts, the design equations are derived and the circuit analyses are performed with the fundamental approximation

on the equivalent circuit models of fluorescent lamps. Accordingly, the design guidelines for determining circuit parameters are provided.

## **1-2 Programmed Rapid-Start**

The programmed rapid-start is a newer starting method developed for the rapid-start fluorescent lamps. This starting method can reduce damage to the cathode filaments during starting and thus can prolong the lamp life [29]. The operation of programmed rapid-start of an electronic ballast is described by three stages: preheating, ignition, and steady-state. At first, the ballast can provide a proper preheating current or voltage for heating the cathode filaments. The preheating time of the rapid-start electronic ballasts is typically between 0.5 and 1 second. While the cathode filaments have been preheated up to a proper emission temperature, the ballast is able to generate a sufficiently high voltage across the lamp for ignition. Finally, at steady-state operation, the ballast provides the required lamp power.

At present, some control ICs designed for the electronic ballasts with half-bridge resonant inverter have already been built with the function of programmed rapid-start [31-34]. Figure 1-3 shows the starting scenario of the conventional programmed rapid-start for half-bridge series-resonant electronic ballast. When the ballast is powered on, the half-bridge series-resonant inverter is operated at a higher initial operation frequency. The initial operation frequency of the inverter is much higher than the resonance frequency of the load resonant circuit, thus the load resonant circuit presents high inductive, resulting in very small resonant current. In general, the resonant current is the preheating current. Then, the operation frequency decreases to increase the preheating current. When the preheating current reaches the preset level, the operation frequency is remained at a constant to provide a constant preheating current so as to heat the cathode filaments. After the preheating stage, the operation frequency decreases again. At this stage, the operation frequency is changed from the preheating frequency,  $f_p$ ,

toward the resonance frequency,  $f_{r,ign}$ , to generate a sufficiently high lamp voltage for ignition. Once the lamp is successfully ignited, the operation frequency is set to the steady-state frequency,  $f_s$ , to output the desired lamp power.

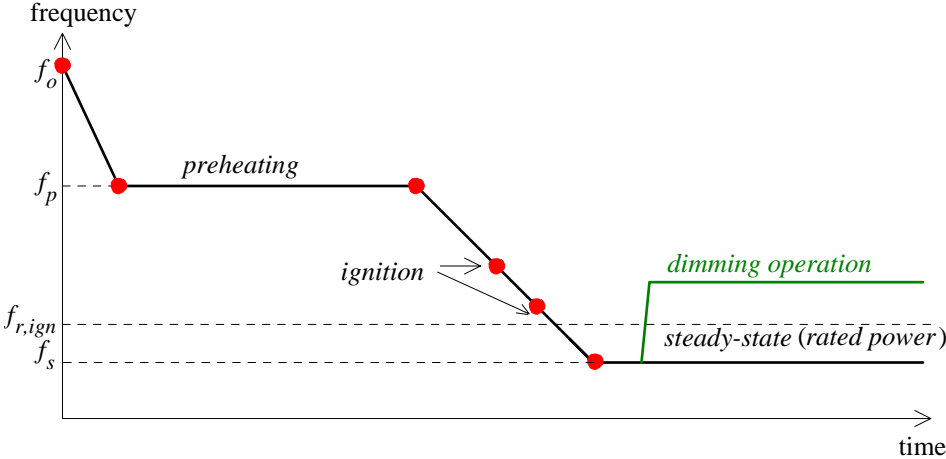


Figure 1-3 Starting scenario of the conventional programmed rapid-start

Inevitably, such a control scheme can cause a glow discharge at the preheating stage. By increasing the preheating frequency, the lamp voltage during preheating can be reduced and thus the glow current can be reduced, but cannot be eliminated. Therefore, how to effectively eliminate the glow current during starting has become an important task in the programmed rapid-start electronic ballast design nowadays.

**1-3 Content Arrangement**

The content of this dissertation is divided into 6 chapters.

Chapter 1 introduces the characteristics of fluorescent lamp and the ballast and expounds the research motivation of this dissertation.

Chapter 2 presents the operation principle of half-bridge resonant inverters and develops an equivalent circuit model for fluorescent lamps.

Chapter 3 shows the proposed programmed rapid-start electronic ballast with an ac switch which is introduced as the starting-aid circuit of the lamp. The circuit configuration, operation, analysis, starting scenario and experimental results are

shown here.

Chapter 4 shows the proposed programmed rapid-start electronic ballast with inductively coupled filament-heating circuits. The details of this circuit are shown in this chapter.

Chapter 5 shows the proposed programmed rapid-start electronic ballast with a series-resonant energy-tank which is introduced as the starting-aid circuit of the lamp. The details of this circuit are shown in this chapter.

Chapter 6 gives some conclusions on this dissertation, and gives some discussions on the future development on this topic.

# Chapter 2 Half-Bridge Resonant Inverter and Fluorescent Lamp

In this chapter, the operation principle of the half-bridge resonant inverter is presented and the circuit characteristics at different operation modes are analyzed. In order to accurately predict the operation characteristic of the preheating circuit, the variations of the filament resistance during preheating are investigated and its mathematical model is developed. Furthermore, the equivalent resistance model of fluorescent lamp at steady-state is presented.

## 2-1 Half-Bridge Resonant Inverter

The half-bridge resonant inverters were invented in 1959 by Baxandall, and have been widely used in various applications [55-64]. The electronic ballast with the half-bridge resonant inverter has also been widely adopted in commercial products due to its simple configuration and high efficiency. The half-bridge resonant inverters can be classified into quasi half-bridge resonant inverters and standard half-bridge resonant inverters, as shown in Figure 2-1. The half-bridge resonant inverter mainly includes two bi-directional active power switches,  $S_1$  and  $S_2$ , and a load resonant circuit. Each power switch  $S_1(S_2)$  is composed of an active switch  $Q_1(Q_2)$  and its intrinsic anti-parallel diode  $D_1(D_2)$ . According to the combination form of the reactive components and the load, the load resonant circuits can commonly be classified into series resonant circuit, parallel resonant circuit, and series-parallel resonant circuit. Among them, the series-parallel resonant circuit is more suitable to be used for driving the fluorescent lamps since it can easily provide filament current for lamps.

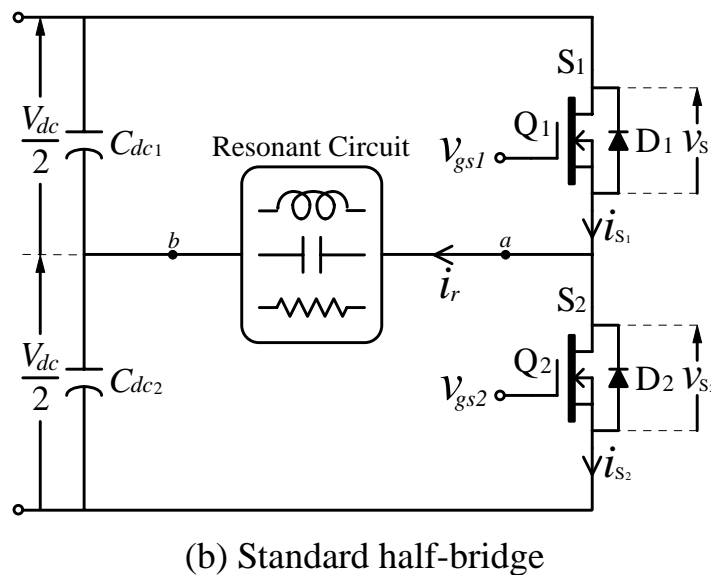
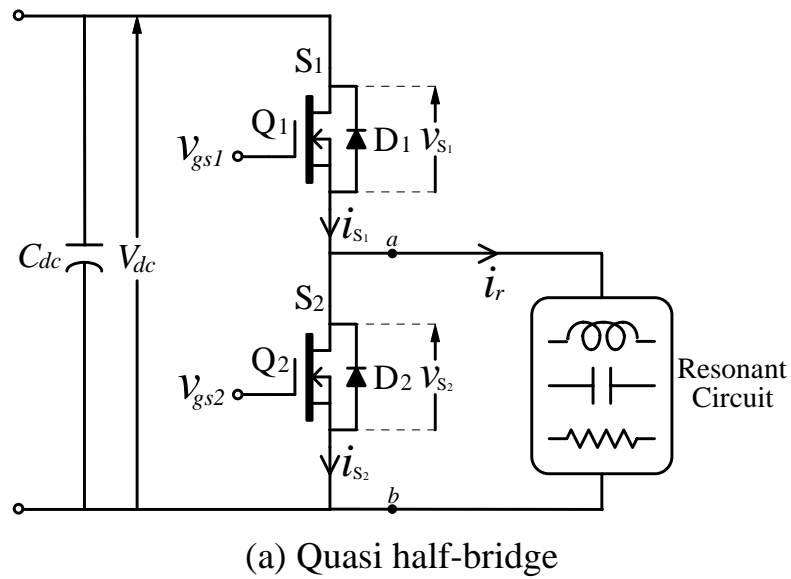


Figure 2-1 Half-bridge resonant inverters

The active switches,  $Q_1$  and  $Q_2$ , of the half-bridge inverter are gated by two complementary signals,  $v_{gs1}$  and  $v_{gs2}$ , respectively. To prevent cross condition, the waveforms of  $v_{gs1}$  and  $v_{gs2}$  should be nonoverlapping and have a short dead time. By symmetrically driving two active switches, the output of the quasi half-bridge resonant inverter is a square-wave voltage with a dc term of  $V_{dc}/2$  on the load resonant circuit. Therefore, a dc-blocking capacitor must be used for blocking the dc term of the square-wave. Due to the dc term of the square-wave, before ignition, the voltage across lamp can include a dc component and thus a higher ignition voltage can be obtained. However, this dc component may increase the glow

current during the preheating interval. On the other hand, the standard half-bridge resonant inverter outputs a square-wave voltage without any dc term on the load resonant circuit. Therefore, there is no dc component across the lamp to increase the glow current during preheating and dc-blocking capacitor is not necessary. However, the standard half-bridge resonant inverter requires two identical dc-link voltage sources and hence two identical dc-link capacitors.

With a high load quality factor of the load resonant circuit, almost all the harmonic contents will be filtered out by the load resonant circuit. Only the fundamental current at the switching frequency will be present in the load resonant inverter. Therefore, the circuit can be analyzed using the fundamental component approximation [42-44,65]. The operation of the half-bridge resonant inverter can be divided into three cases according to the relationship between the resonance frequency and the switching frequency. The circuit operation is described as follows:

Case I. Switching frequency equals resonance frequency ( $f_s = f_r$ )

At  $f_s = f_r$ , the load resonant circuit presents resistive. The resonant current  $i_r$  is in phase with the fundamental voltage  $V_1$  and the phase angle  $\psi$  between  $i_r$  and  $V_1$  is zero. Figure 2-2 illustrates the theoretical waveforms of the half-bridge resonant inverter. While  $i_r$  is equal to zero,  $Q_1$  is turned on.  $i_r$  rises from zero and flows through  $Q_1$ . Once  $i_r$  reaches zero again,  $Q_1$  is turned off and  $Q_2$  is turned on. At this time,  $i_r$  becomes negative. The negative resonant current flows through  $Q_2$ . The conduction sequence of the semiconductor devices is  $Q_1$ - $Q_2$ - $Q_1$ . The active power switches are turned on and off at zero current, resulting in zero switching losses and high efficiency. However, in many applications, the output power of the resonant circuit is often controlled by varying the switching frequency or duty-ratio of the half-bridge inverter. The zero current switching-on or switching-off will not exist due to the variation of switching frequency or duty-ratio. Therefore, in practical application, this case is not often adopted.

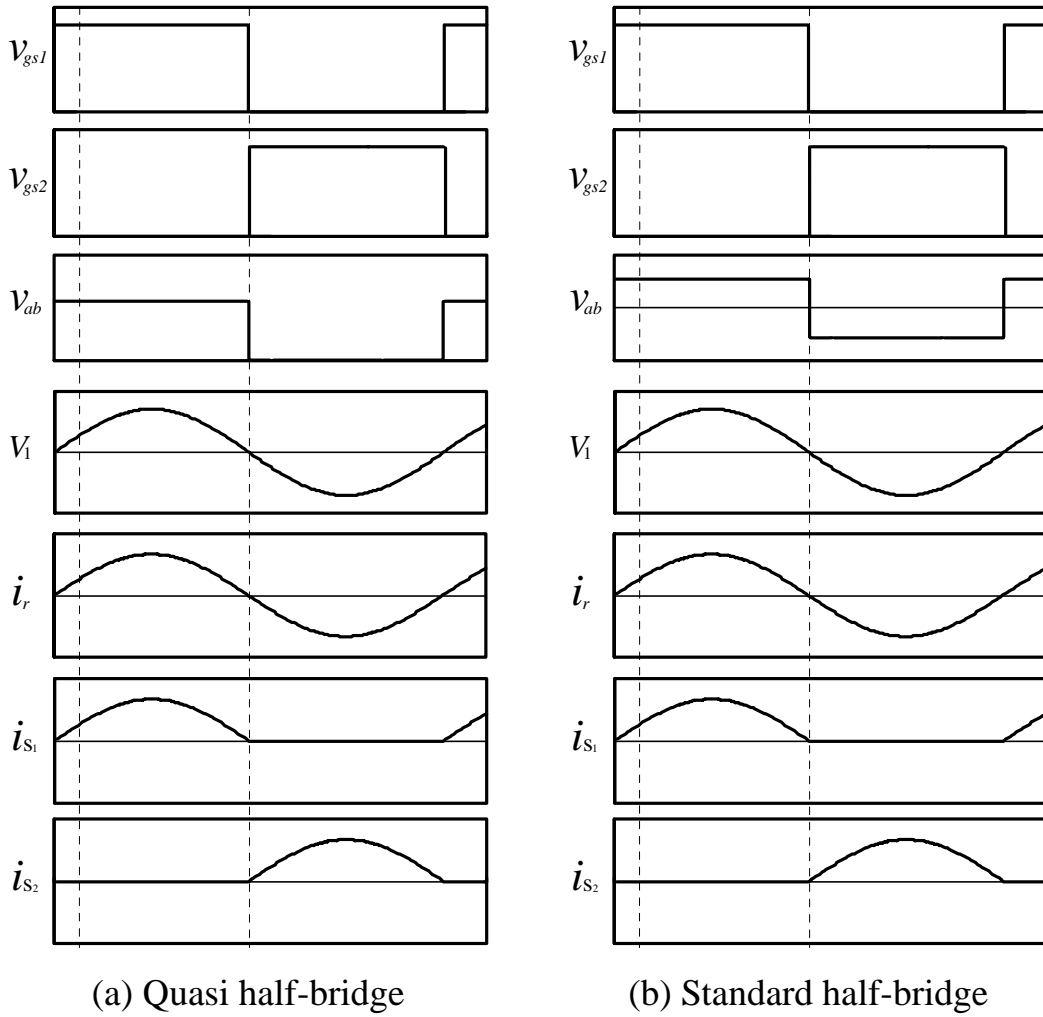


Figure 2-2 Waveforms of the half-bridge resonant inverters ( $f_s = f_r$ )

### Case II. Switching frequency above resonance frequency ( $f_s > f_r$ )

For  $f_s > f_r$ , the load resonant circuit presents inductive.  $i_r$  lags behind  $V_1$  by the phase angle  $\psi$ , where  $\psi > 0$ . The conduction sequence of the semiconductor devices is  $D_1$ - $Q_1$ - $D_2$ - $Q_2$ - $D_1$ . Figure 2-3 shows the theoretical waveforms of the half-bridge resonant inverter. While  $v_{gs2}$  varies from high to low,  $Q_2$  is turned off. At the instant,  $i_r$  is negative and transferred from  $Q_2$  to  $D_1$ . After the short period of the dead time,  $v_{gs1}$  varies from low to high. However,  $Q_1$  is not turned on instantly. Until  $i_r$  resonates to zero,  $D_1$  turns off naturally and  $Q_1$  is then turned on to carry  $i_r$ . When  $D_1$  is conductive, the voltage across  $S_1$  is equal to the conduction voltage (-0.7V) of  $D_1$ . Therefore,  $Q_1$  is turned on at zero voltage. When  $v_{gs1}$  varies from high to low,  $Q_1$  is turned off. At this time,  $i_r$  is transferred from  $Q_1$  to  $S_2$  and thus



$v_{s1}$  increases, causing  $v_{s2}$  to decrease. As  $v_{s2}$  reaches  $-0.7V$ ,  $D_2$  is turned on to carry  $i_r$ . Thus, the turn-off transition of the active power switch is forced by the gate-signal, while the turn-on transition is caused by the turn-off transition of the opposite active power switch, not by the gate-signal.

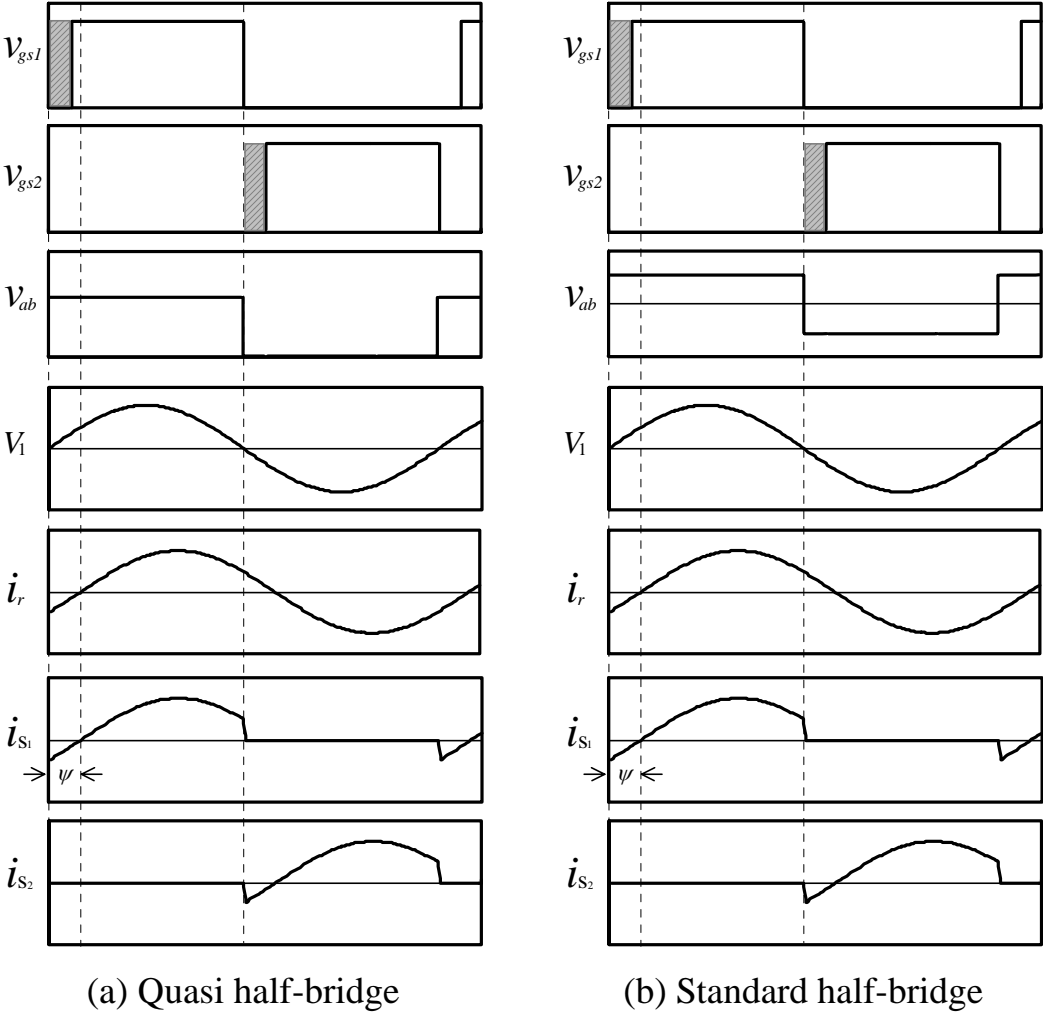


Figure 2-3 Waveforms of the half-bridge resonant inverters ( $f_s > f_r$ )

The active power switches have the merit of zero voltage switching-on (ZVS). Therefore, the switching-on losses of the active power switches are near zero, Miller’s effect is absent, input capacitance of the active power switch is not increased by Miller’s effect, the gate drive power is low, and the turn-on switching speed is high. The diodes are just turned off naturally when the resonant current changes direction at a very low  $di/dt$ . Therefore, a slow anti-parallel diode is enough. Usually, MOSFET’s body-drain diode can be used as the anti-parallel

diode.

For  $f_s > f_r$ , the switching-on losses of the active power switches are zero, however, that is not the same for the active power switches to be switched off. Both the switching voltage and current waveforms overlap during switching-off, causing the switching-off losses. Also, Miller's effect is considerable, increasing the input capacitance of the active power switch, the gate drive requirements, and reducing the turn-off speed. However, the switching-off losses can be reduced by adding a shunt capacitor to one of the active power switches. Hence, in order to achieve high efficiency, the resonance frequency of the load resonant circuit is usually set below the switching frequency.

Case III. Switching frequency below resonance frequency ( $f_s < f_r$ )

For  $f_s < f_r$ , the load resonant circuit presents capacitive.  $i_r$  leads  $V_1$  by the phase angle  $|\psi|$ , where  $\psi < 0$ . The conduction sequence of the semiconductor devices is  $Q_1$ - $D_1$ - $Q_2$ - $D_2$ - $Q_1$ . Figure 2-4 shows the theoretical waveforms of the half-bridge resonant inverter. While  $v_{gs1}$  varies from low to high,  $Q_1$  is turned on. At the instant,  $i_r$  is positive and transferred from  $D_2$  to  $Q_1$ . Since  $i_r$  leads  $V_1$ ,  $i_r$  resonates to zero before  $v_{gs1}$  varies from high to low. As  $i_r$  becomes negative,  $Q_1$  turns off naturally and  $i_r$  is transferred from  $Q_1$  to  $D_1$ . The voltage across  $S_1$  varies from  $1V$  to  $-0.7V$  approximately and the voltage across  $S_2$  remains at about  $V_{dc}$ . Therefore;  $Q_1$  is turned off at zero voltage, resulting in no switching-off loss. While  $v_{gs2}$  varies from low to high,  $Q_2$  is turned on. At this time,  $i_r$  is transferred from  $D_1$  to  $Q_2$  and the voltage across  $S_2$  reduces from  $V_{dc}$  to zero.  $Q_2$  is turned on at a high voltage, equal to  $V_{dc}$ , and thus the switching-on loss of the active power switch is not zero. Once the switching current of  $S_2$  becomes negative,  $D_2$  is turned on and  $Q_2$  turns off naturally. From the above analyses, it is well known that the turn-on transition of the active power switch is forced by the gate-signal, while the turn-off transition is caused by the turn-on transition of the opposite active power switch, not by the gate-signal.

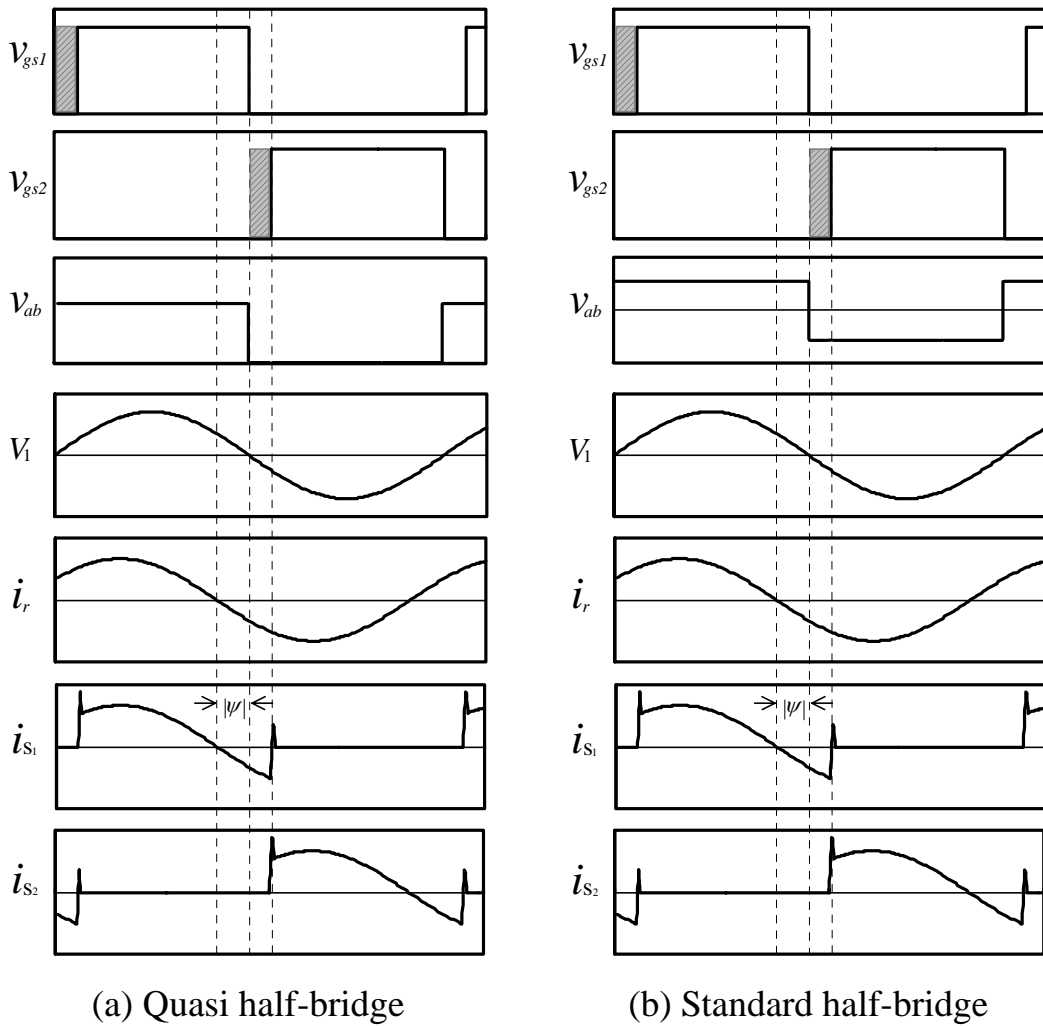


Figure 2-4 Waveforms of the half-bridge resonant inverters ( $f_s < f_r$ )

Once the ZVS operation for the active power switches cannot be achieved, some detrimental effects will be presented:

- 1). As the anti-parallel diode is turned off, the voltage across it rises from  $-0.7V$  to  $V_{dc}$ . The diode turns off at a very large  $dv/dt$  and thus at a very large  $di/dt$ , generating a high reverse-recovery current spike. Therefore, the diode reverse-recovery stress is very large. The spike flows through the other active power switch because it cannot flow through the resonant circuit. High current spikes may destroy the active power switches and always cause a considerable increase in switching losses and noise.
- 2). In general, each active power switch owns its output capacitor. Before the active power switch is turned on, its output capacitor is charged to  $V_{dc}$ .

Therefore, when the active power switch is turned on, its output capacitor is discharge, causing a switching loss of  $CV_{dc}^2/2$ .

- 3). Since the voltage of gate-signal increases and the voltage of active power switch decreases during the turn-on transition, Miller's effect is significant, increasing the input capacitance of the active power switch and the gate drive charge and power requirements, and reducing the turn-on switching speed.

## 2-2 Modeling Fluorescent Lamp

Being the load of the half-bridge resonant inverter, the fluorescent lamp plays a key role in the operation of the ballast-lamp circuit. Therefore, building the equivalent circuit model of the fluorescent lamp is very useful for analyzing and designing high frequency electronic ballasts. Figure 2-5 shows the basic structure of the fluorescent lamp and the conceptual diagram of its discharge characteristics [66,67]. The fluorescent lamp can be divided into two parts: cathode filaments and arc. The cathode filament can emit the electrons to the oppositional cathode filament to form the arc current. Thus, the coating material on the cathode filament emitting the electrons will be consumed. The structures of two ends of the fluorescent lamp present symmetrical. Each terminal can be either the positive electrode or the negative electrode. Therefore, the fluorescent lamp must be driven by a symmetrical ac source. Otherwise, the lamp life may be shortened.

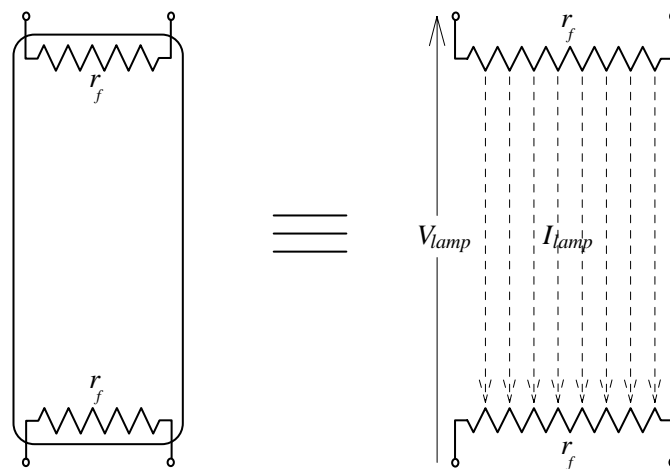


Figure 2-5 Basic structure of fluorescent lamp

### 2-2-1 Preheating Characteristics of Cathode Filament

In order to prolong the operation life of the fluorescent lamps, for preheating-start and rapid-start fluorescent lamps, the ballast circuit should provide a filament current or voltage to preheat the cathode filaments at the initial stage of lighting lamps. The preheating current or voltage cannot be too large; otherwise, the glow discharge may take place on two ends of the fluorescent lamp before ignition. This phenomenon can cause the coating material on the filaments over-evaporated. On the other hand, if the preheating current or voltage is too small, the cathode filaments may not be preheated to the proper emission temperature before ignition. Igniting a lamp at a low filament temperature requires a relatively high ignition voltage, resulting in extremely sputtering on the cathode filaments. This increases the loss rate of the emissive coating on the cathode filaments. The lamp ends become blackened due to the combination of the coating material with the fluorescent powders on the inner walls of tube and the gases in the lamp. Hence, both improper preheating conditions will shorten the lamp life.

With different structures of the fluorescent lamps, the filament resistances are not the same. Furthermore, the filament resistance varies with the filament temperature. As the filament temperature increasing, its resistance becomes high. The relation between the temperature and the resistance of the cathode filament can be expressed as [23-25,29]:

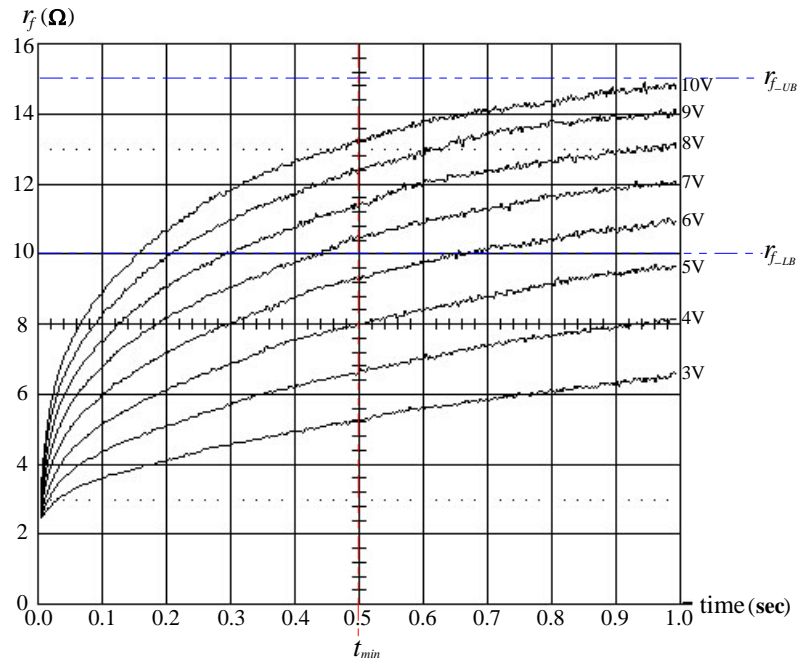
$$\frac{T_h}{T_c} = \left[ \frac{r_{f-h}}{r_{f-c}} \right]^{0.814} \quad (2-1)$$

where  $T_c$  and  $T_h$  are the cold temperature before preheating and the hot temperature during preheating, both are in Kelvin thermometric scale;  $r_{f-c}$  and  $r_{f-h}$  are the resistances of the cold filament and the hot filament, respectively. In general, the appropriate emission temperature of the cathode filaments is about 1000K (920~1280K). Therefore, while the ratio of the hot resistance of the cathode filament to its cold resistance reaches about 4.5, the cathode filament will be

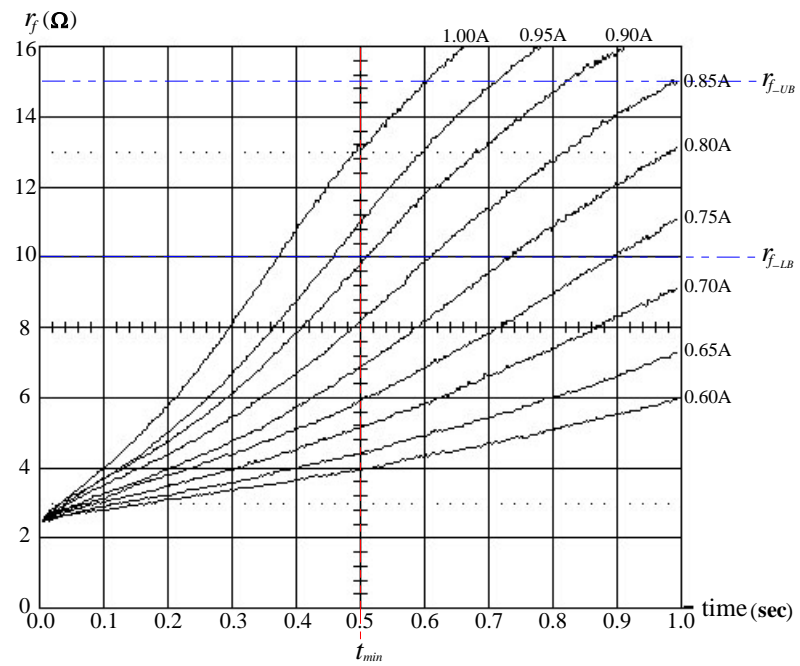
heated up to a proper emission temperature. In general, the ratio is suggested to be from 4 to 6 [23-25,30].

Both of the constant-voltage preheating and the constant-current preheating can be used to preheat the cathode filament. As the filament temperature rises, the filament resistance increases, too. Thus, the variations in filament resistances of the two preheating methods are not the same. Figures 2-6~2-9 show the variations of the filament resistances of T8-36W, T8-32W, T12-40W and T12-20W fluorescent lamps under both filament-heating methods. The dashed lines of  $r_{f\_UB}$  and  $r_{f\_LB}$  represent the upper and lower bound of hot filament resistance, respectively. The minimum preheating time,  $t_{min}$ , for rapid-start operation defined by the America National Standards Institute (ANSI) is 0.5 second [21,28].

Figures 2-6(a), 2-7(a), 2-8(a) and 2-9(a) are the variations of the filament resistances at different preheating voltages. Initially, the filament power is higher due to the lower filament resistance. The filament temperature rises rapidly and thus the filament resistance increases rapidly. As the filament resistance increasing, the filament power decreases gradually and the increasing rate of the filament temperature becomes slow. The filament resistance stops increasing until the cathode filament reaches the thermal equilibrium. As for the case of constant current preheating, Figures 2-6(b), 2-7(b), 2-8(b) and 2-9(b) show the variations of the filament resistances at different preheating currents. At first, the filament resistance is low and thus the filament power is low. The filament temperature rises slowly at the initial stage. However, as the filament resistance increases, the filament power becomes higher gradually and the increasing rate of the filament temperature becomes rapider, resulting in the variation of the filament resistance intensified gradually. The filament resistance stops increasing until eventually reaches the thermal equilibrium.

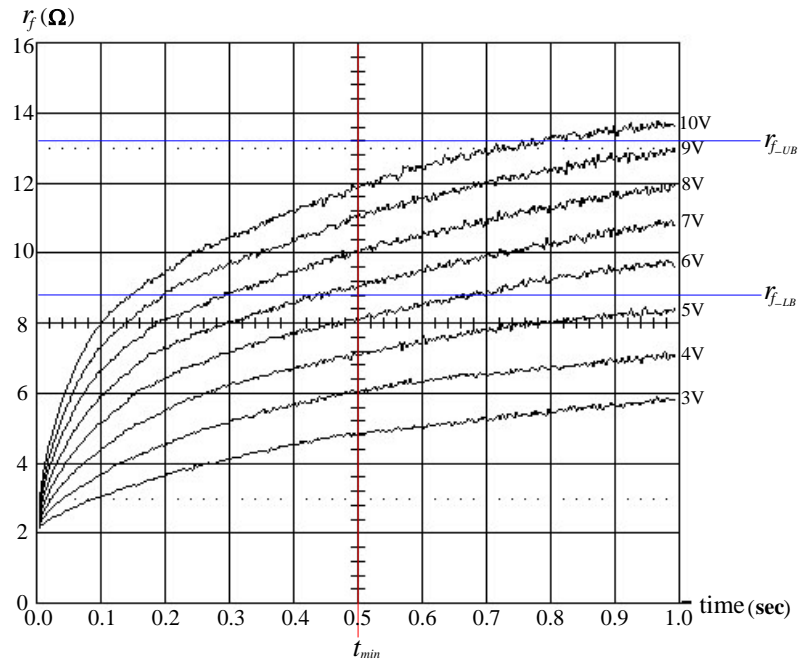


(a) Constant-voltage preheating

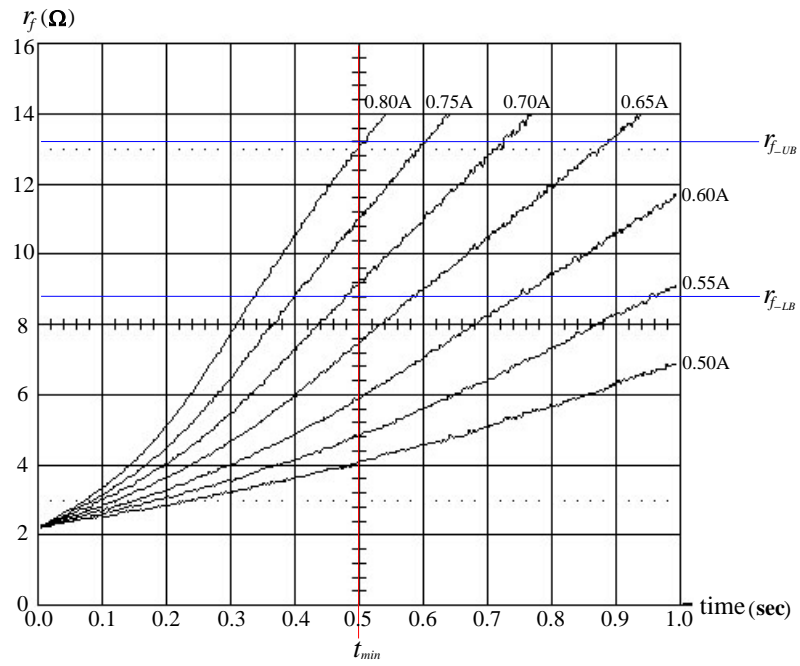


(b) Constant-current preheating

Figure 2-6 Variations of filament resistance (Experimental results for T8-36W)



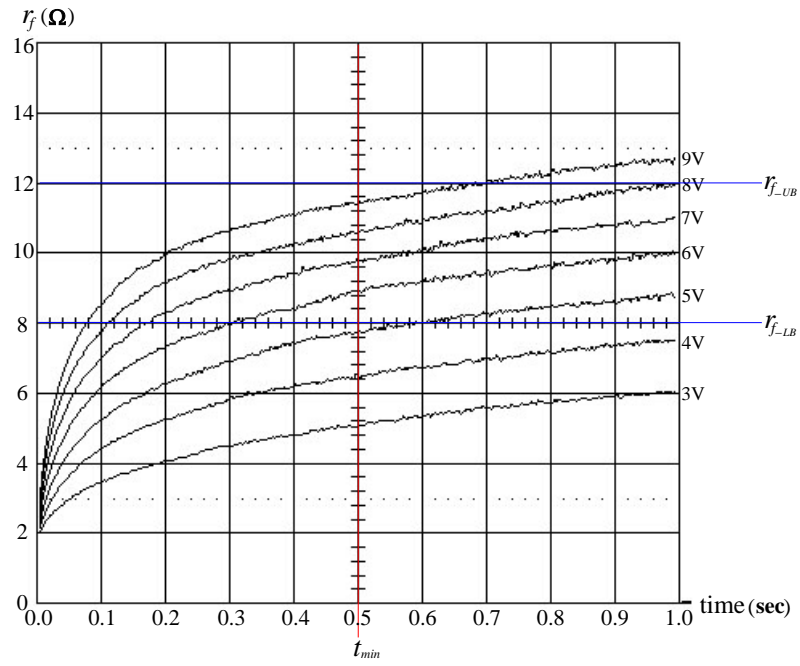
(a) Constant-voltage preheating



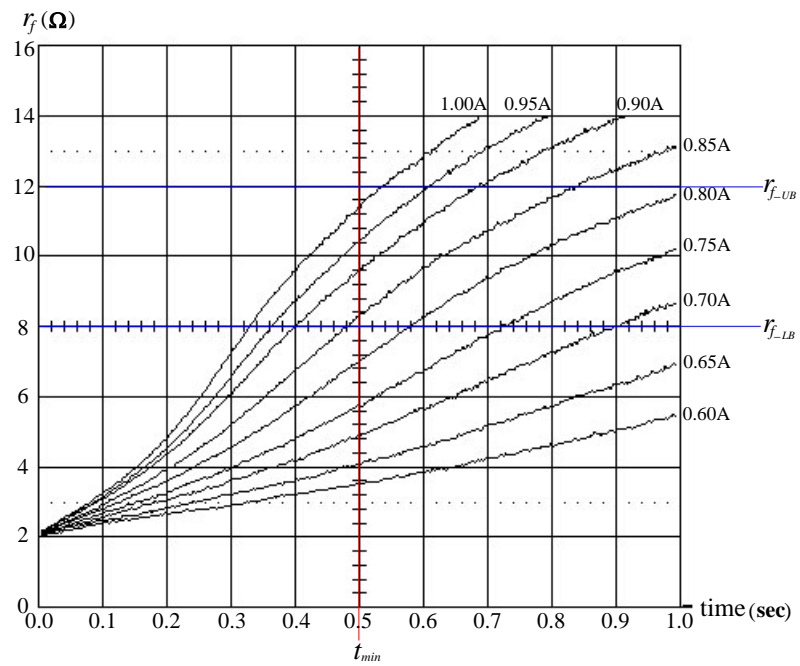
(b) Constant-current preheating

Figure 2-7 Variations of filament resistance (Experimental results for T8-32W)



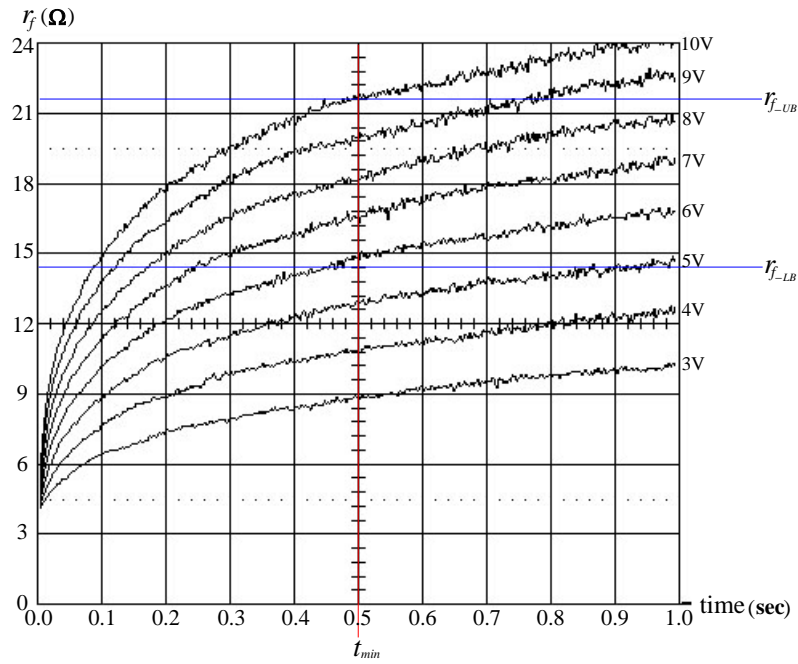


(a) Constant-voltage preheating

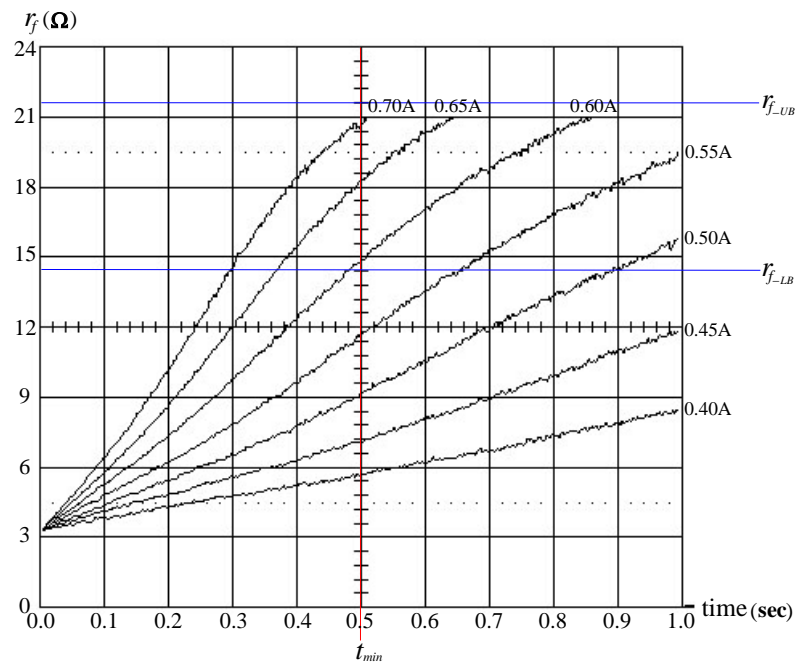


(b) Constant-current preheating

Figure 2-8 Variations of filament resistance (Experimental results for T12-40W)



(a) Constant-voltage preheating



(b) Constant-current preheating

Figure 2-9 Variations of filament resistance (Experimental results for T12-20W)

From the above discussions, it can be found that if applying an adequate constant-voltage for preheating, the preheating results, i.e.  $T_h/T_c$ , are acceptable (4~6) for different fluorescent lamps at the same preheating time. However, the

optimal filament temperature (1000K) cannot be assured to achieve. On the other hand, if applying a constant-current for preheating, the preheating results may be very different for different fluorescent lamps at the same preheating time. However, the optimal filament temperature can always be achieved in some preheating period. Therefore, if the preheating procedure must be finished in a preset period, the constant-voltage preheating is a better choice. On the contrary, as adopting the constant-current preheating, the optimal preheating result can be achieved by monitoring the filament temperature or filament resistance.

### 2-2-2 Mathematical Model of Filament Resistance

The filament resistance is dependent upon its temperature. At steady-state operation, the filament temperature should be maintained at a proper emission temperature. Too high or too low filament temperature will shorten the lamp life. The filament resistance exhibits positive temperature coefficient characteristic and the variation of the filament resistance at the emission temperature is small. Therefore, at steady-state, treating the filament resistance as a constant will not cause any influential error for circuit analyses. However, when the cold lamp is started up, the temperature of the cathode filament varies from low to high and so does its resistance. The filament resistance cannot be treated as a constant as calculating the preheating voltage, current and power.

From the measured curves of Figures 2-6~2-9, it is well known that the variation of the filament resistance during preheating is significantly affected by the preheating voltage  $V_p$  or current  $I_p$ . Therefore, the curves for the filament preheated by a constant-voltage controllable source can be represented by a resistance equation as a function of preheating time  $t_p$  with preheating-voltage-dependent coefficients shown in (2-2).

$$r_f(t_p, V_p) = A_v(V_p) - B_v(V_p) \cdot \exp\left(\frac{-t_p}{\tau_{vB}(V_p)}\right) - C_v(V_p) \cdot \exp\left(\frac{-t_p}{\tau_{vC}(V_p)}\right) \quad (2-2)$$

On the other hand, the curves for the filament preheated by a constant-current

controllable source can be represented by a resistance equation as a function of preheating time  $t_p$  with preheating-current-dependent coefficients shown in (2-3).

$$r_f(t_p, I_p) = \frac{1}{A_I(I_p) + (B_I(I_p) - A_I(I_p)) \cdot \exp\left(\frac{-t_p}{\tau_I(I_p)}\right)} \quad (2-3)$$

By using the regression analysis, the constant coefficients in (2-4)~(2-8) and in (2-9)~(2-11) can be derived, respectively. Table 2-1 shows the derived coefficients for two given fluorescent lamps. Then, from (2-2) and (2-3) with the derived coefficients, the variations of the filament resistance with respect to the preheating time and the preheating voltage or current can be depicted in Figures 2-10 and 2-11. Comparing Figures 2-6 and 2-8 with Figures 2-10 and 2-11, it can be found that, for both examples, well agreement is found between the measured results and the calculations by using (2-2) and (2-3). Hence, (2-2) and (2-3) can be used to describe the variations of the filament resistances during preheating.

$$A_V(V_p) = A_{V2}V_p^2 + A_{V1}V_p + A_{V0} \quad (2-4)$$

$$B_V(V_p) = B_{V2}V_p^2 + B_{V1}V_p + B_{V0} \quad (2-5)$$

$$C_V(V_p) = C_{V2}V_p^2 + C_{V1}V_p + C_{V0} \quad (2-6)$$

$$\tau_{VB}(V_p) = \tau_{VB2}V_p^2 + \tau_{VB1}V_p + \tau_{VB0} \quad (2-7)$$

$$\tau_{VC}(V_p) = \tau_{VC2}V_p^2 + \tau_{VC1}V_p + \tau_{VC0} \quad (2-8)$$

$$A_I(I_p) = A_{I2}I_p^2 + A_{I1}I_p + A_{I0} \quad (2-9)$$

$$B_I(I_p) = B_{I0} \quad (2-10)$$

$$\tau_I(I_p) = \tau_{I0} + \tau_{I1} \cdot \exp\left(-\frac{I_p}{\tau_{I2}}\right) \quad (2-11)$$

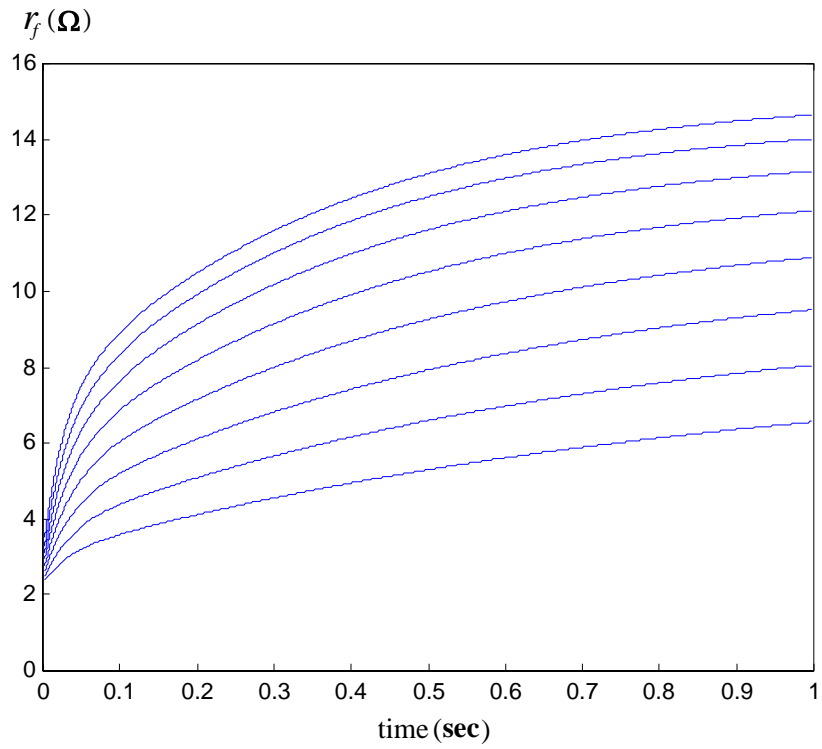
Table 2-1 Constant coefficients for filament model

(a) Constant-voltage preheating

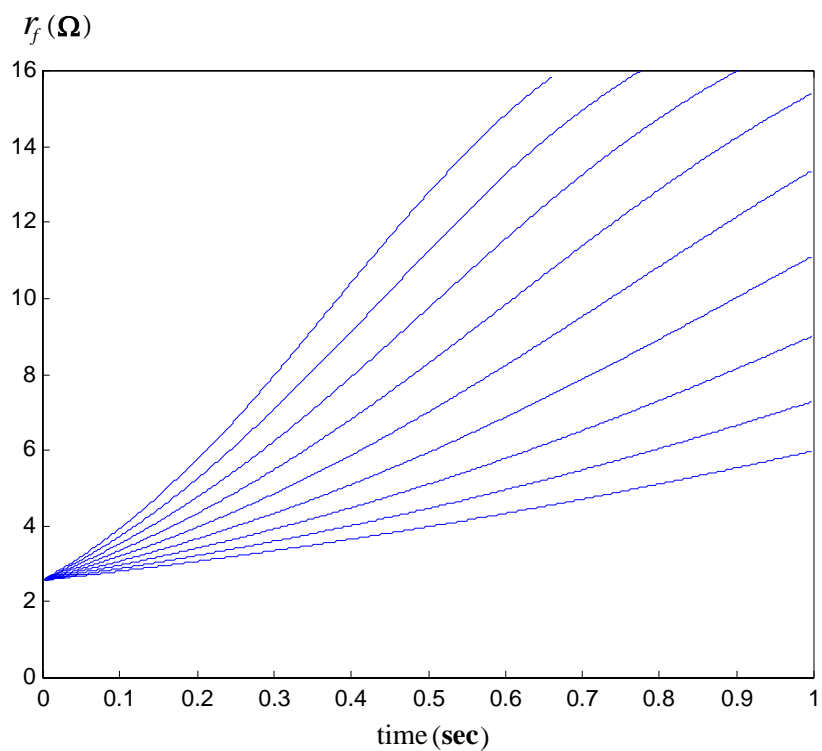
		T8-36W	T12-40W
$A_V(V_p)$	$A_{V2}$	-0.0569	-0.0275
	$A_{V1}$	1.7427	1.5101
	$A_{V0}$	3.4544	2.5655
$B_V(V_p)$	$B_{V2}$	-0.0504	-0.0537
	$B_{V1}$	1.0847	0.8686
	$B_{V0}$	2.3196	1.5426
$C_V(V_p)$	$C_{V2}$	-0.0130	0.0108
	$C_{V1}$	0.6354	0.6251
	$C_{V0}$	-1.0421	-0.8144
$\tau_{VB}(V_p)$	$\tau_{VB2}$	0.0123	0.0195
	$\tau_{VB1}$	-0.2315	-0.2213
	$\tau_{VB0}$	1.4518	1.1509
$\tau_{VC}(V_p)$	$\tau_{VC2}$	-0.0002	0.0004
	$\tau_{VC1}$	0.0015	-0.0057
	$\tau_{VC0}$	0.0276	0.0705

(b) Constant-current preheating

		T8-36W	T12-40W
$A_I(I_p)$	$A_{I2}$	-0.1146	0.1209
	$A_{I1}$	0.2204	-0.2412
	$A_{I0}$	-0.0545	0.1867
$B_I(I_p)$	$B_{I0}$	0.4000	0.4850
$\tau_I(I_p)$	$\tau_{I2}$	0.1721	0.1621
	$\tau_{I1}$	29.255	23.789
	$\tau_{I0}$	0.1094	0.1166

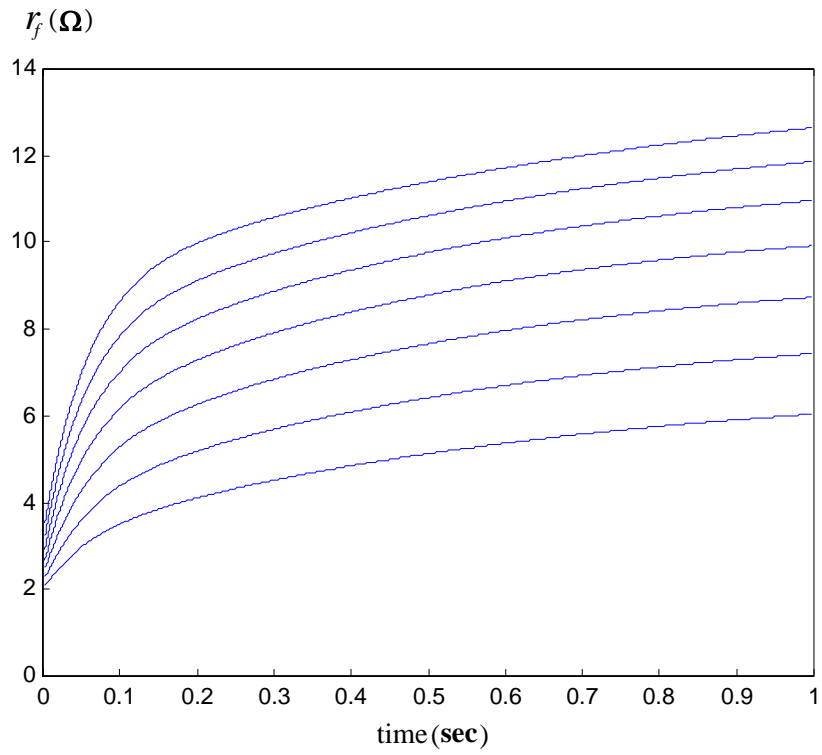


(a) Constant-voltage preheating

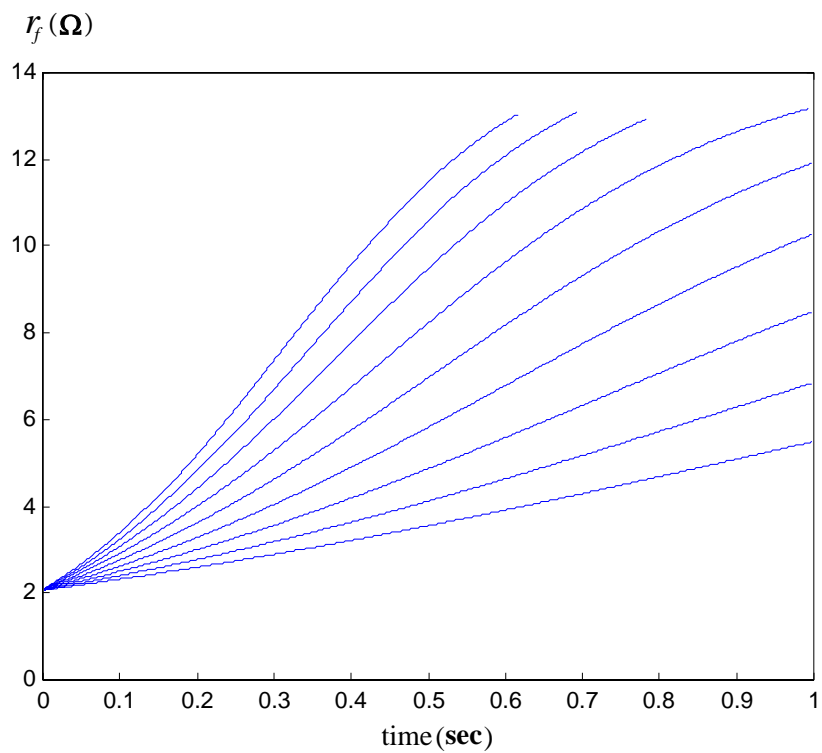


(b) Constant-current preheating

Figure 2-10 Variations of filament resistance (Calculated results for T8-36W)



(a) Constant-voltage preheating



(b) Constant-current preheating

Figure 2-11 Variations of filament resistance (Calculated results for T12-40W)

### 2-2-3 Equivalent Circuit Model of Fluorescent Lamp

Although the structure of the fluorescent lamp looks simple, its discharge mechanism is very complicated. Fortunately, the fluorescent lamp, when operated at a high frequency, has been demonstrated to be approximately resistive and the lamp characteristic is not sensitive to the operation frequency when the frequency lies between 10kHz and 200kHz. Therefore, the operation characteristics of the high-frequency electronic ballast can be calculated by using the lamp resistance model.

In practice, the resistance of the filaments distributes from one end to the other and each part of the filament can emit the electrons to form the arc current, as shown in Figure 2-5. Therefore, the accurate circuit analyses can be realized when a distributed circuit model of the filament resistance is used. However, this will increase the complexity of the circuit analyses. In general, in order to simplify the analyses, each cathode filament can be represented by a lumped resistance,  $r_f$ .

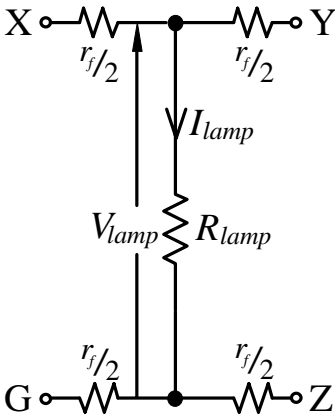


Figure 2-12 Equivalent resistance model of fluorescent lamp

Figure 2-12 shows the adopted lamp model, which is represented by a power-dependent resistance of the lamp arc  $R_{lamp}$  and a filament resistance for each cathode filament [67-69]. The equivalent lamp arc resistance is connected between the midpoints of two cathode filaments for more precise calculations. In general, the rated lamp voltage and current,  $V_{lamp}$  and  $I_{lamp}$ , can be obtained from the manufacturer. While the fluorescent lamp is operated at the rated power, the



equivalent lamp arc resistance  $R_{lamp}$  can be derived simply by :

$$R_{lamp} = \frac{V_{lamp}}{I_{lamp}} \quad (2-12)$$

# Chapter 3 Programmed Rapid-Start Electronic Ballast with An AC Switch

In order to get rid of the glow discharge in the rapid-start fluorescent lamp driven by the half-bridge series-resonant electronic ballast, a programmed rapid-start control scheme is proposed in this chapter. In the proposed control scheme, an ac switch is introduced as the starting-aid circuit of the lamp. With the starting-aid circuit, the lamp voltage at the preheating stage can be maintained at zero by turning on the ac switch. This will ensure that no glow discharge may occur during the preheating interval.

## 3-1 Circuit Configuration

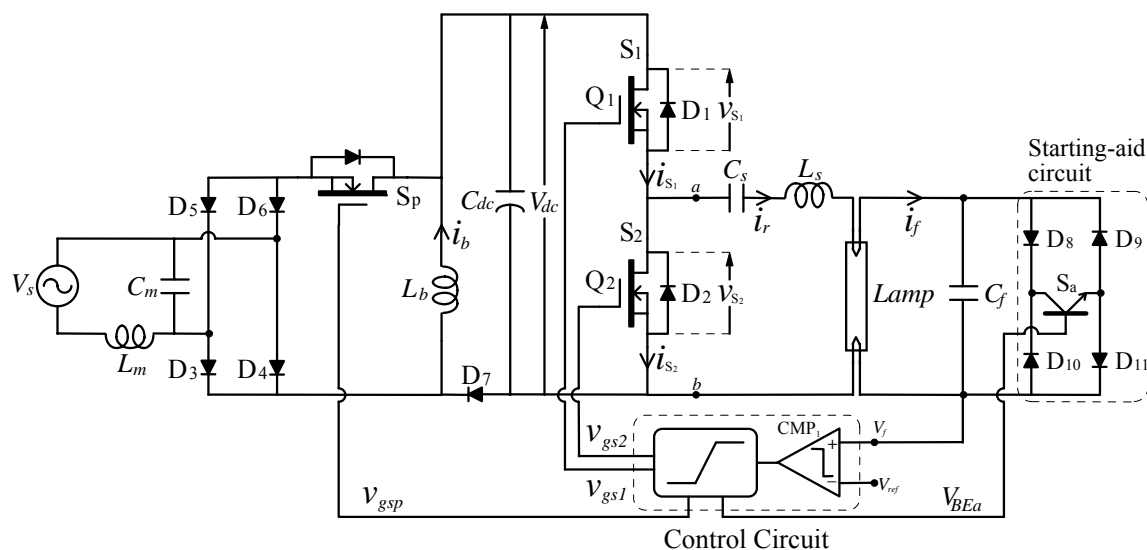


Figure 3-1 Circuit configuration of the two-stage electronic ballast

Figure 3-1 shows the circuit configuration of the proposed two-stage high-power-factor electronic ballast with programmed rapid-start. It mainly consists of a diode-bridge rectifier, a buck-boost converter with a dc-link capacitor,  $C_{dc}$ , and a quasi half-bridge series-resonant parallel-loaded inverter with the starting-aid circuit. Two power MOSFETs,  $S_1$  and  $S_2$ , are adopted as the power switches of the half-bridge inverter for high-frequency switching. Each power switch is composed of an active switch and its intrinsic anti-parallel diode. The

load resonant circuit of the inverter is formed by the fluorescent lamp and the reactive components  $C_s$ ,  $L_s$  and  $C_f$ . The starting-aid circuit, which is added on the load resonant circuit in parallel with  $C_f$  and the fluorescent lamp, is composed of a diode-bridge rectifier and a transistor,  $S_a$ . The buck-boost converter, which operates as a PFC stage, consists of an inductor,  $L_b$ , a freewheeling diode,  $D_7$ , and an active power switch,  $S_p$ . A small low-pass filter,  $L_m$  and  $C_m$ , is used to remove the high frequency current harmonics at the input line.

However, the two-stage topology requires two control circuits, which are used to control the buck-boost converter and the quasi half-bridge resonant inverter, respectively, and three active power switches, resulting in higher cost and lower efficiency. In order to solve this problem, the buck-boost converter can be integrated into the quasi half-bridge resonant inverter to form the single-stage high-power-factor electronic ballast, as shown in Figure 3-2. The diode,  $D_8$ , is added to provide a path for the resonant current  $i_r$  when  $Q_2$  is turned on and to block inductor current  $i_b$  from flowing through the input line when  $Q_2$  is turned off. The diode,  $D_9$ , is used for freewheeling  $i_r$ . By sharing the active power switch and the control circuit, the component count can be effectively reduced [70-73].

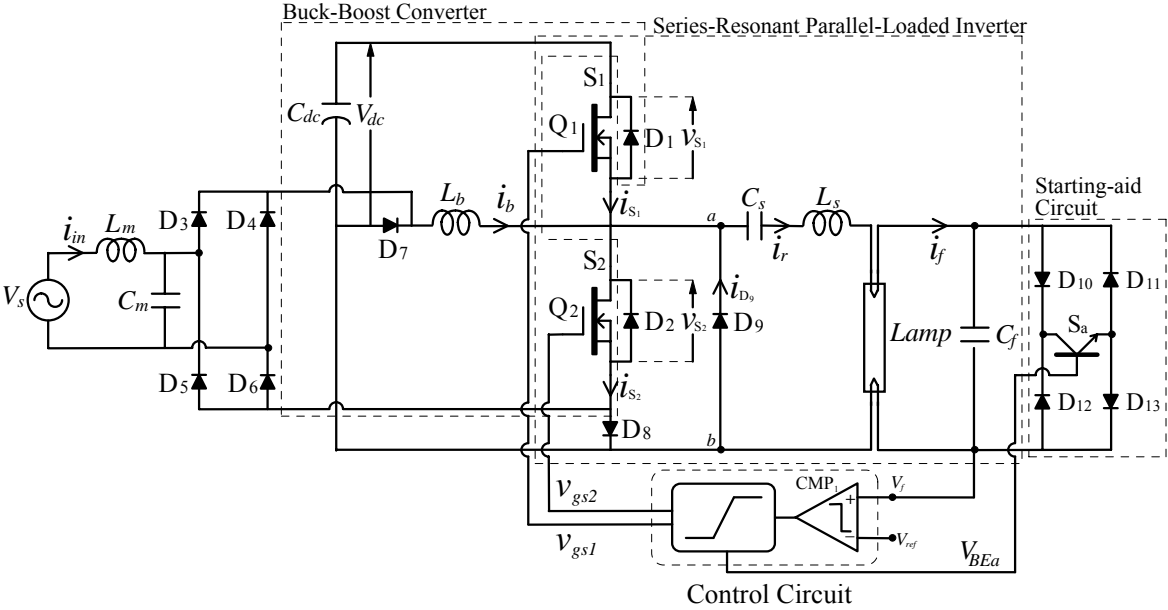


Figure 3-2 Circuit configuration of the single-stage electronic ballast

### 3-2 Circuit Operation

The active switches,  $Q_1$  and  $Q_2$ , of the ballast circuit are gated by two complementary signals,  $v_{gs1}$  and  $v_{gs2}$ , respectively, with a short dead time to output a square-wave voltage on the load resonant circuit. Neglecting the dead time, the duty-ratio of  $v_{gs1}$  is  $(1-d)$  when that of  $v_{gs2}$  is  $d$ . By regulating the operation frequency and duty-ratio of the active switches and controlling the transistor  $S_a$ , the ballast can provide an appropriate preheating current during the preheating interval and a compensated filament current to maintain the emission temperature at steady-state operation. In addition, it can generate a sufficiently high voltage to ignite the lamp.

The operation of the ballast-lamp circuit is described by three stages: preheating, ignition, and steady-state. During the preheating stage, the transistor  $S_a$  is at “on” state and the ballast provides a current flowing through the cathode filament for preheating. Since the ac switch is turned on, the lamp voltage can be maintained at zero to ensure that no glow current will occur. When the filament temperature reaches the emission temperature,  $S_a$  is turned off and the operation frequency of the active switches is changed toward the resonance frequency,  $f_{r,ign}$ , to generate a very high ignition voltage. Once the lamp has been successfully ignited, the operation frequency and the duty-ratio are regulated to produce the required lamp power.  $S_a$  is kept at its “off” state during the steady-state operation.

The operation of the electronic ballast can be subdivided into six modes within one high-frequency switching cycle according to the conducting conditions of the power switches, as shown in Figure 3-3. The input filter is omitted for simplicity. Figure 3-4 illustrates the theoretical waveforms for each mode. To achieve a high power factor, the buck-boost converter is operated in discontinuous conduction mode (DCM). The operation frequency of the inverter is greater than the resonance frequency of the load resonant circuit to ensure ZVS at the switching-on of the active switch  $Q_1$ . The circuit operation is described as follows:

Mode I ( $t_0 < t < t_1$ ):

Prior to Mode I, the positive load resonant current,  $i_r$ , flows through  $D_9$ . At the beginning of Mode I,  $Q_2$  is switched on. The rectified line voltage is imposed on the inductor,  $L_b$ . With DCM operation, the inductor current  $i_b$  of the buck-boost converter increases linearly from zero. The slope of  $i_b$  is proportional to the rectified line voltage. When  $i_r$  resonates to zero,  $D_9$  turns off and Mode II is entered.

Mode II ( $t_1 < t < t_2$ ):

During this mode,  $Q_2$  is kept at on state and carries both the inductor current and the load resonant current. The load resonant current goes through  $D_8$  and the inductor current flows back through the rectifier to the line source. The rectified line voltage is applied on  $L_b$  and  $i_b$  increases continuously.

Mode III ( $t_2 < t < t_3$ ):

At the beginning of Mode III,  $i_b$  reaches its peak and  $Q_2$  is switched off. Both  $i_b$  and  $i_r$  are transferred from  $Q_2$  to  $D_1$  to charge the dc-link capacitor,  $C_{dc}$ .  $i_b$  decreases linearly and  $i_r$  resonates from negative to positive.

Since the peak of  $i_b$  is proportional to the rectified input voltage, the duration for  $i_b$  declining to zero is not constant but varies with the rectified line voltage. Thus, there are two possible modes following Mode III, depending on which of the current  $i_b$  and  $i_r$  reaches zero first.

Mode IV-a ( $t_3 < t < t_4$ ):

When the line voltage is high,  $i_r$  declines to zero before  $i_b$  does. Mode III ends at the time when the sum of  $i_b$  and  $i_r$  becomes zero, and then, the circuit enters mode IV-a. At this instant,  $D_1$  turns off naturally and  $Q_1$  is then turned on to carry the sum of  $i_b$  and  $i_r$  with ZVS. In this mode,  $i_b$  decreases continuously. This mode ends when  $i_b$  decreases to zero.

Mode IV-b ( $t_3 < t < t_4$ ):

At low line voltage, the peak of  $i_b$  is small and declines to zero faster. In case

that  $i_b$  decreases to zero earlier than  $i_r$  does, Mode IV-b instead of Mode IV-a, follows Mode III. In this mode,  $i_r$  flows through  $D_1$  continuously. This mode ends at the time when  $i_r$  resonates to zero. Then,  $Q_1$  is turned on to carry  $i_r$  with ZVS.

Mode V ( $t_4 < t < t_5$ ):

During this mode, the positive  $i_r$  flows through  $Q_1$ .  $C_{dc}$  supplies energy to the load resonant circuit.

Mode VI ( $t_5 < t < t_6$ ):

Mode VI represents the short period of the dead time. At the beginning of this mode,  $Q_1$  is switched off. At the instant,  $i_r$  is positive and freewheels through  $D_9$ . When  $Q_2$  is switched on, the mode ends and the operation returns to Mode I of the next cycle.

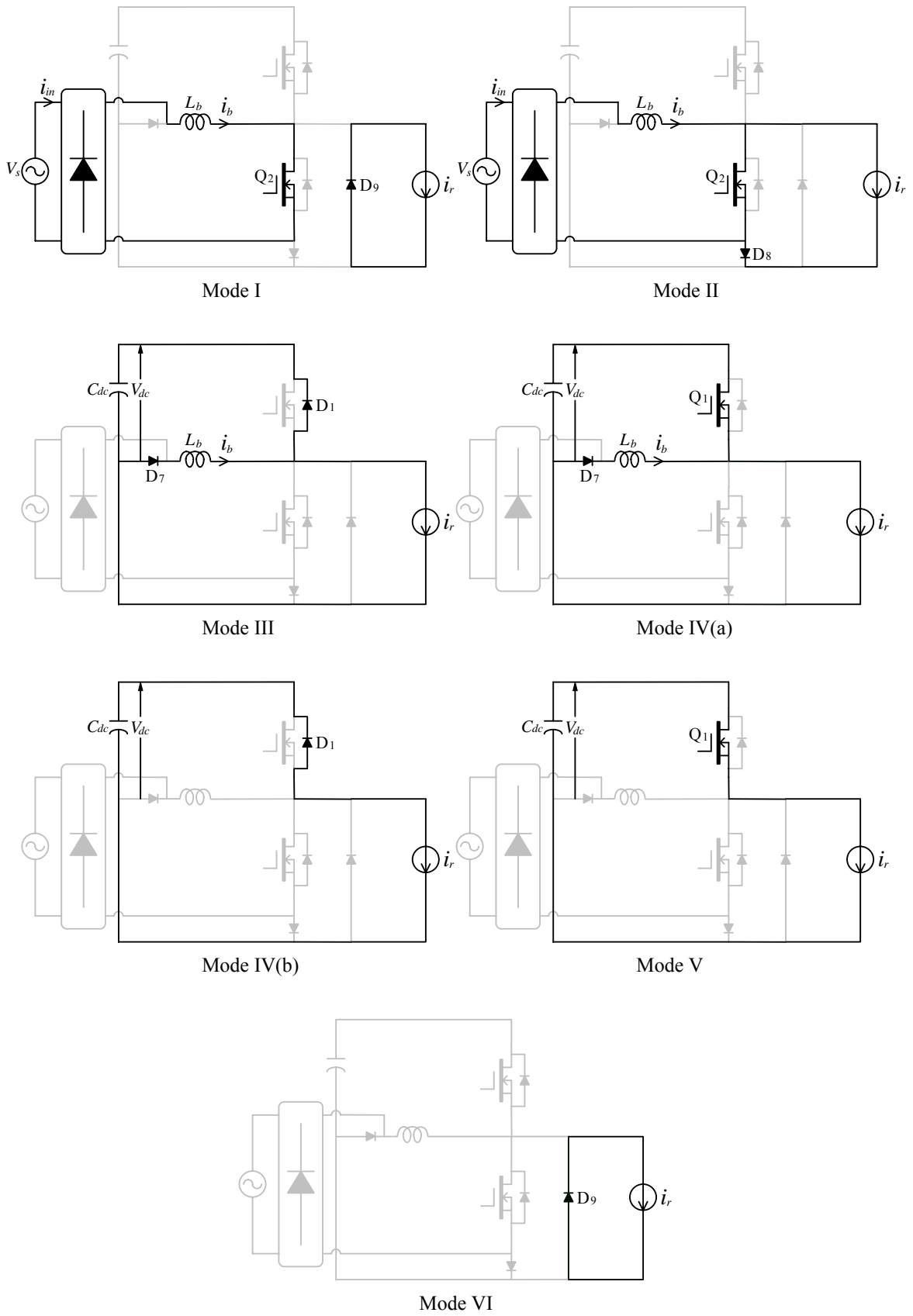


Figure 3-3 Operation modes

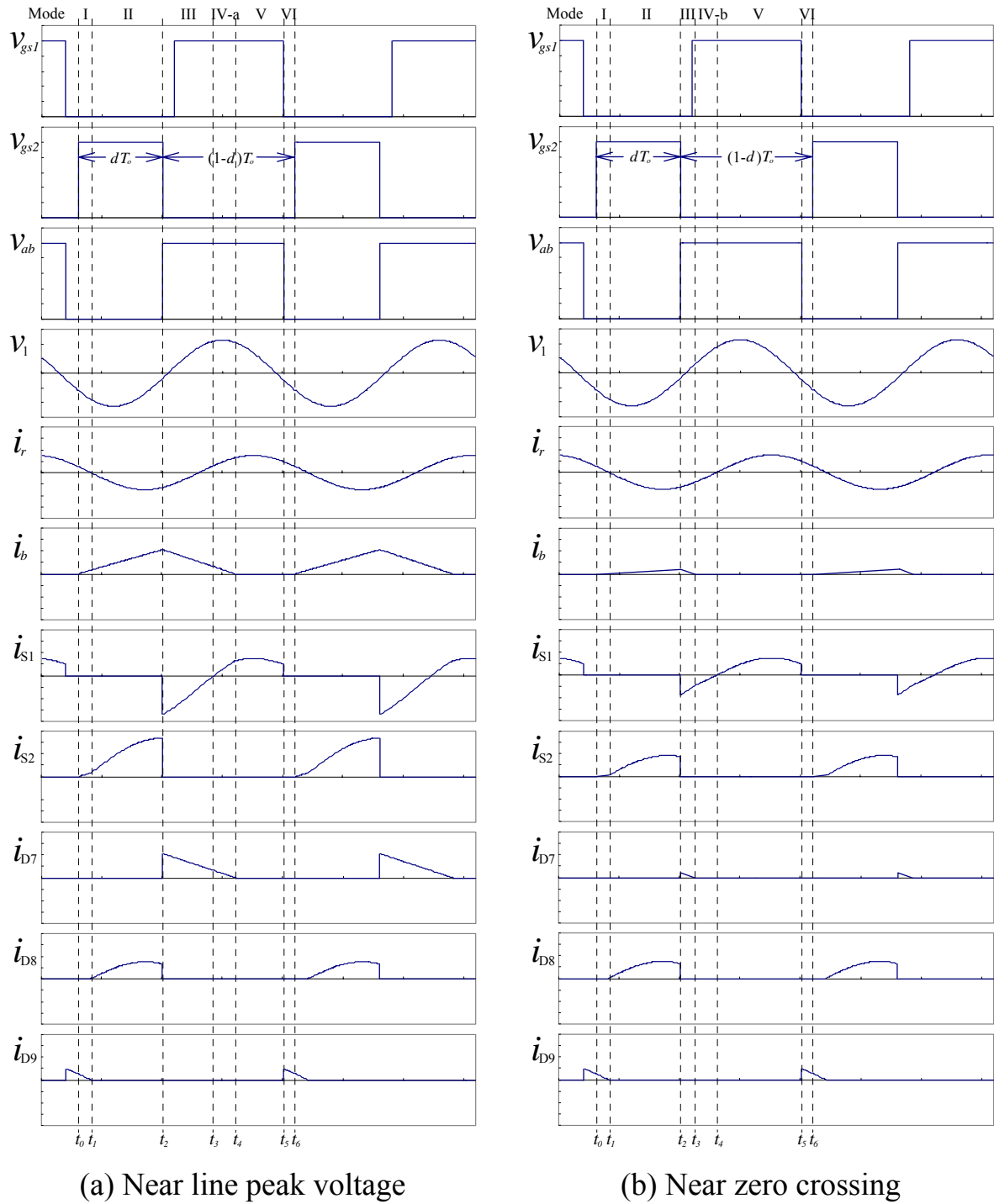


Figure 3-4 Theoretical waveforms

### 3-3 Circuit Analysis

For simplifying the analysis, the following assumptions are made:

- 1) All the circuit components are ideal.
- 2) The load quality factor of the load resonant circuit is high enough so that the



load resonant current is sinusoidal.

- 3) The capacitance of  $C_{dc}$  is large enough, thus the dc-link voltage  $V_{dc}$  can be approximated as a voltage source at steady-state.
- 4) The lamp is regarded as an open circuit before ignition, and a resistance at the steady-state operation.

From the operation modes described above, it can be well known that the input power is first delivered to the dc-link capacitor through the buck-boost converter and then delivered to the lamp through the load resonant inverter, that is, no the interaction of the energy delivery between the two power converters. Thus, the electronic ballast can be treated as two independent stages, the buck-boost power factor corrector and the load resonant inverter. However, as undertaking the analysis of the variation of the dc-link voltage, the interaction between two power converters must be considered.

### 3-3-1 Buck-Boost Power Factor Corrector

The electronic ballast is supplied from the ac line voltage source.

$$v_s(t) = V_m \sin(2\pi f_L t) \quad (3-1)$$

where  $f_L$  and  $V_m$  are the frequency and amplitude of the line voltage source, respectively. In practice,  $f_L$  is much lower than the inverter operation frequency,  $f_o$ . Under such an assumption, the rectified line voltage can be considered as a constant over a high frequency cycle of the inverter. During the Modes I and II, the line source supplies current to the buck-boost converter and the unfiltered input current,  $i_{in}$ , is equal to  $i_b$ . Since the buck-boost converter is operated at DCM over an entire line frequency cycle,  $i_b$  rises from zero at the beginning of Mode I and reaches its peak at the end of Mode II. Then, it declines to zero before the end of Mode IV. The waveform of  $i_{in}$  is conceptually shown in Figure 3-5. Its peaks follow a sinusoidal envelope and can be expressed as:

$$i_{in,peak}(t) = \frac{V_m \sin(2\pi f_L t)}{L_b} dT_o \quad (3-2)$$

where  $T_o$  is the high-frequency operation period. The average input current in every switching period can be expressed as:

$$i_{in,avg}(t) = \frac{V_m \sin(2\pi f_L t)}{2L_b} d^2 T_o \quad (3-3)$$

This equation reveals that the average input current is proportional to the ac line voltage and in phase with it if the operation frequency and duty-ratio retain constant over a line cycle. As a result, a high power factor can be achieved by using a small filter at the input line terminal to remove the high-frequency contents.

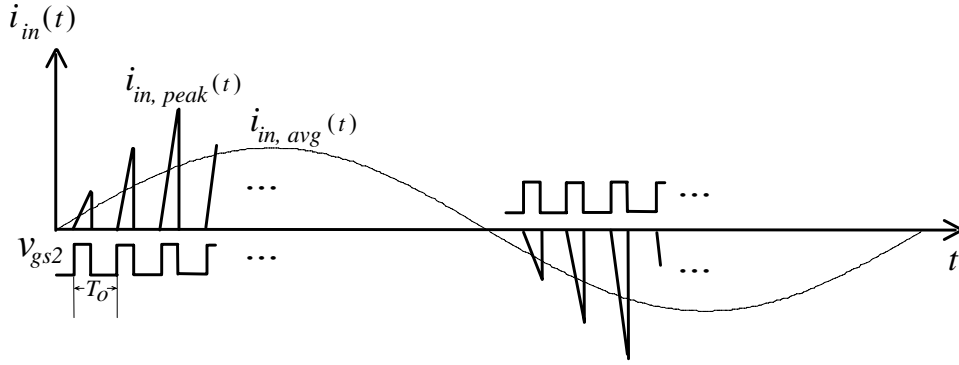


Figure 3-5 Conceptual waveform of  $i_{in}$

The input power can be obtained by taking average of the instantaneous line power over one line frequency cycle.

$$P_{in} = \frac{1}{2\pi} \int_0^{2\pi} v_s(t) \cdot i_{in,avg}(t) d(2\pi f_L t) = \frac{V_m^2 d^2 T_o}{4L_b} \quad (3-4)$$

For a circuit efficiency of  $\eta$ , the lamp power  $P_{lamp}$  can be obtained as:

$$P_{lamp} = P_{in} \cdot \eta = \frac{V_m^2 d^2 T_o}{4L_b} \cdot \eta \quad (3-5)$$

In order to operate the buck-boost converter at DCM, the following equation should be satisfied.

$$V_m |\sin(2\pi f_L t)| \cdot d T_o - V_{dc} \cdot (1-d) T_o \leq 0 \quad (3-6)$$

This equation indicates that if the DCM operation of the buck-boost power factor corrector at the line peak voltage can be achieved, it will always be operated at DCM over one line frequency cycle. Therefore, the dc-link voltage  $V_{dc}$  should be high enough and satisfy the following equation.

$$V_{dc} \geq \frac{d}{1-d} V_m \quad (3-7)$$

### 3-3-2 Series-Resonant Parallel-Loaded Inverter

The square-wave voltage,  $v_{ab}$ , applied to the load resonant circuit can be represented by the Fourier series:

$$v_{ab} = (1-d)V_{dc} + \sum_n \left[ \frac{\sqrt{2}V_{dc}}{n\pi} \sqrt{(1-\cos(2n\pi d))} \sin(n\omega_o t + \pi + \theta_n) \right] \quad (3-8)$$

where  $\omega_o = 2\pi f_o$  and,

$$\theta_n = \tan^{-1} \left( \frac{\sin(2n\pi d)}{1-\cos(2n\pi d)} \right) \quad (3-9)$$

With a high load quality factor of the load resonant circuit, almost all the harmonic contents, as well as the dc term, will be filtered out by the load resonant circuit. Only the fundamental current at the switching frequency will be present in the load resonant inverter. Therefore, the circuit can be analyzed using the fundamental component approximation. The rms value of the fundamental component of  $v_{ab}$  is:

$$V_1 = \frac{\sqrt{2}V_{dc} \sin(\pi d)}{\pi} \quad (3-10)$$

The operation of the ballast-lamp circuit is divided into three stages: preheating, ignition, and steady-state. For the different stages, the equivalent circuits of the load resonant inverter are not the same. Hence, the load resonant inverter must be executed a complete analysis for each stage.

● Preheating

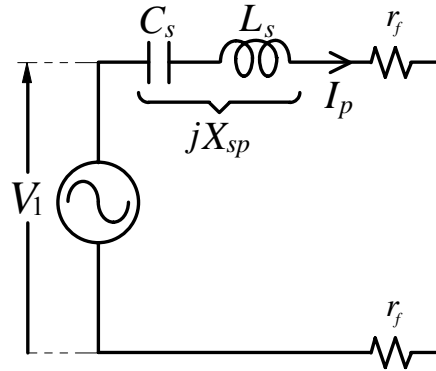


Figure 3-6 Equivalent circuit of the resonant inverter during preheating

During the preheating interval, the resonant inverter is operated at the preheating frequency,  $f_p$ , and the starting-aid circuit is short-circuited. Therefore, there is no voltage across the lamp. This ensures that no glow current will occur at the preheating stage. The equivalent circuit of the half-bridge series-resonant parallel-loaded inverter is shown in Figure 3-6 and the natural resonance frequency of the circuit is:

$$f_{r,pre} = \frac{1}{2\pi\sqrt{L_s C_s}} \quad (3-11)$$

From the equivalent circuit, the relation between the preheating current  $I_p$  and the fundamental voltage  $V_1$  can be expressed as:

$$I_p = \frac{V_1}{\sqrt{4r_f^2 + X_{sp}^2}} \quad (3-12)$$

where  $r_f$  is the resistance on each cathode filament and,

$$X_{sp} = 2\pi f_p L_s - \frac{1}{2\pi f_p C_s} \quad (3-13)$$

This equation indicates that while  $f_p$  is closer to  $f_{r,pre}$ , the ballast circuit can provide enough preheating current to preheat the filaments up to a proper temperature at a lower  $V_1$  and hence lower  $V_{dc}$ . To start the lamp rapidly, the preheating current may

be chosen as high as possible but should be limited by the rated filament current.

At the preheating stage, it is noted that only the cathode filaments in the load resonant circuit consume the input power. However, with such an integrated ballast circuit, the buck-boost power factor corrector draws power continually from the input line source. The residual input power, which is not consumed by the cathode filaments, is accumulated in  $C_{dc}$  leading to the increase in  $V_{dc}$ . The increasing energy in  $C_{dc}$  during the preheating interval can be expressed as:

$$\begin{aligned} \frac{1}{2}C_{dc} \{ [V_{dc}(t + \Delta t)]^2 - [V_{dc}(t)]^2 \} &= [\eta P_{in} - 2r_f I_p^2] \cdot \Delta t \\ &= \left[ \frac{\eta d^2 V_m^2}{4L_b f_p} - \frac{4r_f V_{dc}^2(t) [\sin(\pi d)]^2}{\pi^2 [4r_f^2 + X_{sp}^2]} \right] \cdot \Delta t \end{aligned} \quad (3-14)$$

A higher  $V_{dc}$  can result in a higher ignition voltage but also impose high stress on the switching devices. From (3-14), it can be observed that the variation of  $V_{dc}$  during the preheating interval can be controlled by adjusting the duty-ratio of the ballast circuit. By decreasing the duty-ratio, the input power can be reduced leading to a lower  $V_{dc}$ . Therefore, in order to reduce  $V_{dc}$  and hence the component stresses, the duty-ratio during preheating,  $d_p$ , is set much smaller than the duty-ratio at steady-state,  $d_s$ .

### ● Ignition

After the cathode filaments have been preheated to an appropriate emission temperature, the starting-aid circuit is open-circuited. At this stage, the equivalent circuit of the load resonant inverter is shown in Figure 3-7 and the natural resonance frequency of the circuit becomes higher.

$$f_{r,ign} = \frac{1}{2\pi \sqrt{L_s \left( \frac{C_s \times C_f}{C_s + C_f} \right)}} \quad (3-15)$$

The rms value of the lamp voltage for ignition can be expressed as:

$$V_{ign} = \frac{C_s [(r_f \omega_o C_f)^2 + 1]^{1/2}}{\left\{ (2r_f \omega_o C_s C_f)^2 + [\omega_o^2 L_s C_s C_f - (C_s + C_f)]^2 \right\}^{1/2}} V_1 \quad (3-16)$$

This equation indicates that the lamp voltage will become extremely high if the inverter is operated at the resonance frequency of the load resonant circuit. For conventional control, the resonance frequency is designed to lie between the preheating frequency and the steady-state frequency. This ensures that the operation will pass through the ignition stage when the inverter frequency is adjusted from the preheating frequency to the steady-state frequency.

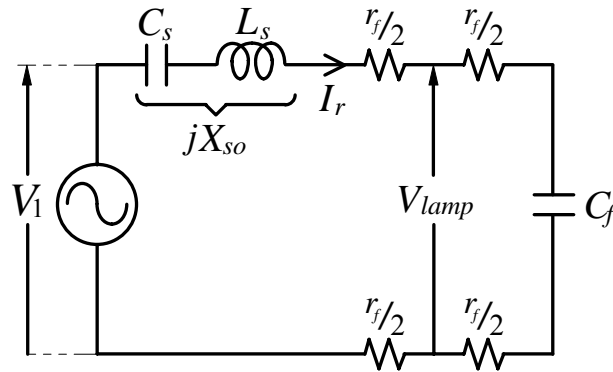


Figure 3-7 Equivalent circuit of the resonant inverter at the ignition stage

● *Steady-State*

At steady-state, the starting-aid circuit is remained open-circuited. The ballast circuit is operated at the steady-state operation frequency  $f_s$  and the rated duty-ratio  $d_s$  to output the required lamp power. The equivalent circuit of the load resonant inverter is shown in Figure 3-8, in which the fluorescent lamp is represented by a power-dependent resistance model. From the equivalent circuit, the compensated filament current for maintaining the emission temperature at steady-state operation can be expressed as:

$$I_f = \frac{2\pi f_s C_f V_{lamp}}{(1 + 4r_f^2 \pi^2 f_s^2 C_f^2)^{1/2}} \quad (3-17)$$

The relationship between  $V_1$  and the lamp voltage can be calculated as:

$$V_{lamp} = \left[ \left( 1 - 2\pi f_s C_f X_{ss} \right)^2 + \left( \frac{X_{ss}}{R_{lamp}} \right)^2 \right]^{-1/2} \cdot V_1 \quad (3-18)$$

where

$$X_{ss} = 2\pi f_s L_s - \frac{1}{2\pi f_s C_s} \quad (3-19)$$

The filament resistances are neglected in the equation since they are very small as compared with the equivalent resistance of the lamp arc and the impedance of the load resonant circuit at the steady-state frequency.

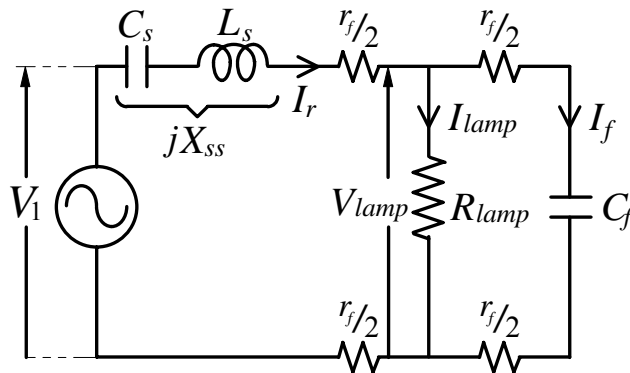


Figure 3-8 Equivalent circuit of the resonant inverter at steady-state

### 3-4 Design Example

An electronic ballast for an Osram T8-36W rapid-start fluorescent lamp is illustrated as a design example. The circuit specifications are listed in Table 3-1. The rated lamp power,  $P_{lamp}$ , is 36W consisting of an arc power of 33W and a filament power of 3W. The circuit parameters are designed to operate the buck-boost power factor corrector at DCM and to turn on the active switch  $Q_1$  with ZVS so that a high power factor and high circuit efficiency can be achieved. The design procedure is outlined as follows.

Table 3-1 Circuit specifications (Osram T8-36W)

Input voltage, $V_s$		110V, 60Hz
Dc-link voltage at steady-state, $V_{dc}$		250V
Rated lamp power, $P_{lamp}$	Arc power, $P_{arc}$	33W
	Filament power, $P_f$	3W
Rated lamp voltage, $V_{lamp}$		94.5V
Rated lamp current, $I_{lamp}$		0.35A
Equivalent lamp resistance, $R_{lamp}$		270Ω
Filament resistance (25°C), $r_f$		2.5Ω
Filament current, $I_f$		0.3A
Preheating frequency, $f_p$		24kHz
Duty-ratio during preheating, $d_p$		0.25
Steady-state operation frequency, $f_s$		32kHz
Duty-ratio at steady-state, $d_s$		0.5

### Step 1. Determine the buck-boost converter inductor

Assuming a circuit efficiency of 85% at steady-state and substituting it into (3-5),  $L_b$  is then calculated to be 1.1mH.

### Step 2. Determine $C_f$

$C_f$  can be obtained from the following equation.

$$C_f = \frac{1}{2\pi f_s \left[ \left( \frac{V_{lamp}}{I_f} \right)^2 - r_f^2 \right]^{1/2}} \quad (3-20)$$

$$C_f = 15.8 \text{ nF.}$$

### Step 3. Determine $C_{dc}$

The lamp current crest factor (CF) is highly dependent to the magnitude of the dc-link voltage ripple. Therefore, in order to remain a long lamp life, the ripple of



the dc-link voltage should be as small as possible to keep lamp current CF be far below 1.7 [9]. However, a smaller ripple of the dc-link voltage requires a larger dc-link capacitance  $C_{dc}$ , resulting in higher cost. In this design, the ripple factor  $r_{vo}$  of the dc-link voltage at steady-state is set to be below 2%. Then,  $C_{dc}$  can be obtained from the following equation.

$$C_{dc} \geq \frac{P_{in}}{2\pi f_L V_{dc}^2 r_{vo}} \quad (3-21)$$

$$C_{dc} \geq 90 \mu\text{F}.$$

In this design example,  $C_{dc}$  is chosen as 100 $\mu\text{F}$ .

#### Step 4. Determine $L_s$ and $C_s$

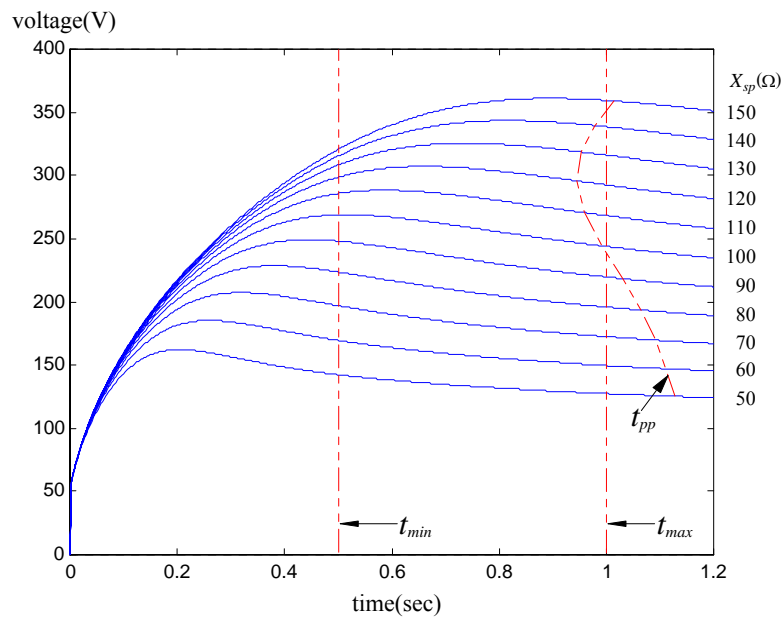


Figure 3-9 Variation of the dc-link voltage during preheating

By using the filament model derived in the previous chapter, the variation of the dc-link voltage during preheating for some  $X_{sp}$  values can be depicted in Figure 3-9. The dashed line of  $t_{pp}$  represents the proper preheating time. At this time, the appropriate hot filament resistance approximately 4.5 times the cold filament resistance. The dashed lines of  $t_{min}$  and  $t_{max}$  are the acceptable minimum and maximum preheating time for rapid-start operation, respectively. As indicated in

this figure, a smaller  $X_{sp}$  can reduce the dc-link voltage during preheating and hence the voltage stress on circuit components. However, it requires a longer preheating time to heat the cathode filament to a proper emission temperature. In addition, a smaller  $X_{sp}$  may lead to a larger preheating current, resulting in higher current stress on the circuit components. In this design,  $X_{sp}$  is chosen to be  $100\Omega$  to keep the dc-link voltage during preheating is below 280V and the preheating time is shorter than 1 second.

From (3-18), there are two solutions for  $X_{ss}$ :

$$X_{ss} = \frac{R_{lamp}^2 2\pi f_s C_f \pm R_{lamp} \sqrt{\left(1 + R_{lamp}^2 4\pi^2 f_s^2 C_f^2\right) \left(\frac{V_1}{V_{lamp}}\right)^2 - 1}}{\left(1 + R_{lamp}^2 4\pi^2 f_s^2 C_f^2\right)} \quad (3-22)$$

Although there are two solutions for  $X_{ss}$ , the smaller one, however, will make the load resonant circuit of the inverter present capacitive. In order to reduce the switching-on loss of the active switch  $Q_1$ , the load resonant circuit is preferred to be inductive. Therefore, only the larger solution is a valid candidate. For the illustrative example,  $X_{ss}$  is  $321.5\Omega$ . Then,  $L_s$  and  $C_s$  can be obtained from the following equations:

$$L_s = \frac{f_s X_{ss} - f_p X_{sp}}{2\pi(f_s^2 - f_p^2)} \quad (3-23)$$

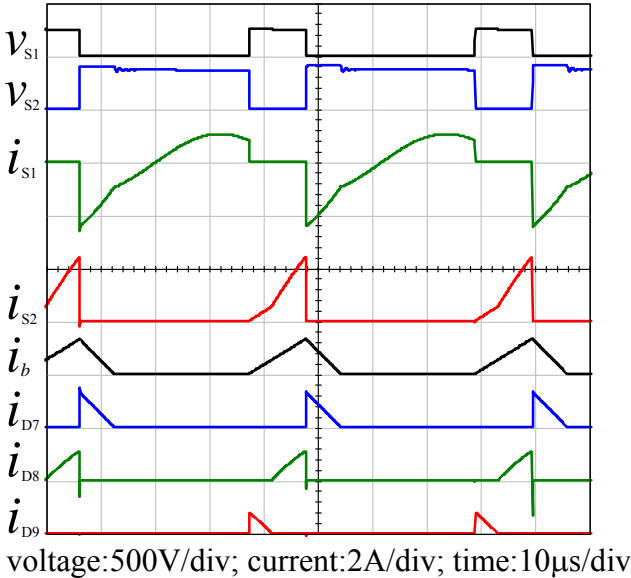
$$C_s = \frac{f_s^2 - f_p^2}{2\pi f_s f_p (f_p X_{ss} - f_s X_{sp})} \quad (3-24)$$

The calculated results are  $L_s = 2.8\text{mH}$  and  $C_s = 20.6\text{nF}$ .

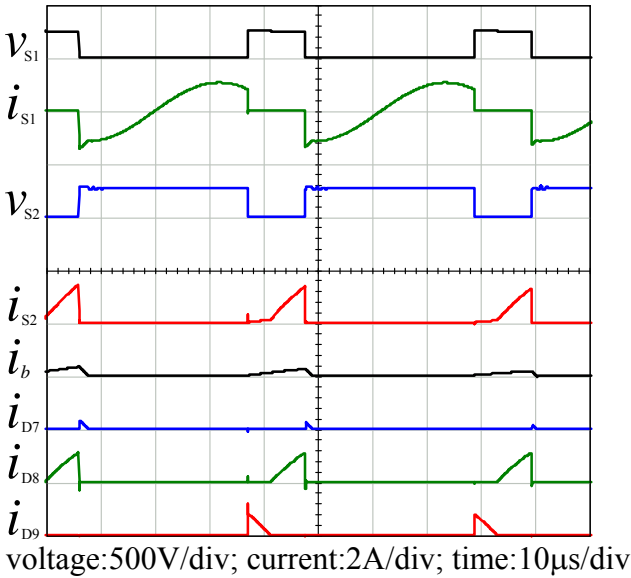
### 3-5 Simulation Results

From the circuit parameters obtained from the above section, an IsSpice model of the proposed electronic ballast is built to simulate. Figure 3-10 shows the main voltage and current waveforms of the ballast circuit during the preheating

interval. Figure 3-11 is the key voltage and current waveforms of the ballast circuit at steady-state operation. Figure 3-12 shows the simulation waveforms of the input voltage and current and the inductor current  $i_b$  of the buck-boost PFC circuit. The simulation results are the same as the theoretical predictions.

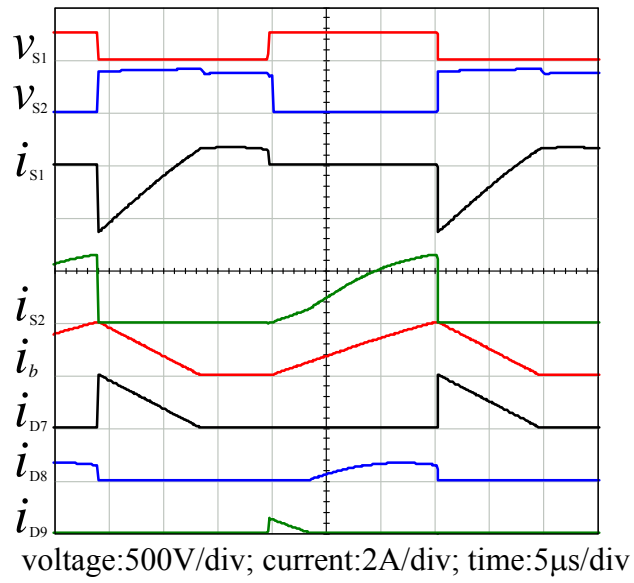


(a) Near line peak voltage

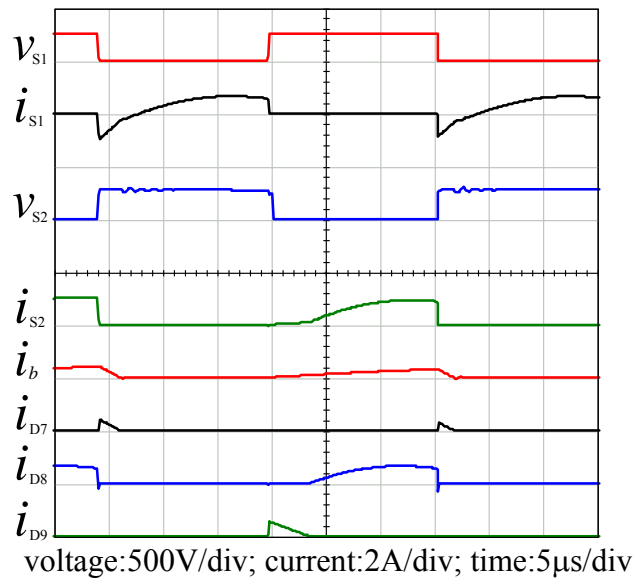


(b) Near zero crossing

Figure 3-10 Waveforms of  $v_{S1}$ ,  $v_{S2}$ ,  $i_{S1}$ ,  $i_{S2}$ ,  $i_b$ ,  $i_{D7}$ ,  $i_{D8}$  and  $i_{D9}$  during preheating

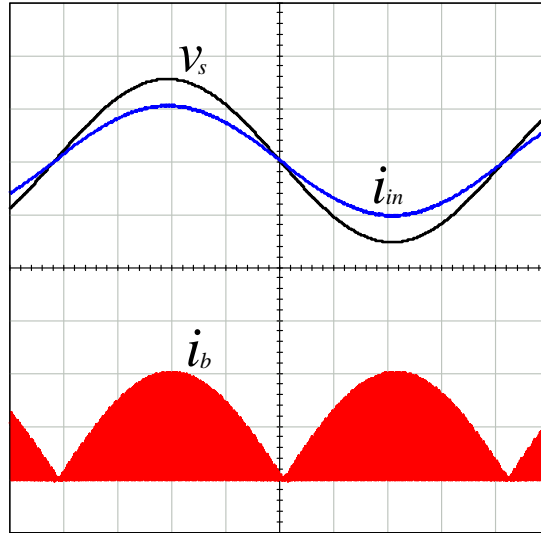


(a) Near line peak voltage



(b) Near zero crossing

Figure 3-11 Waveforms of  $v_{S1}$ ,  $v_{S2}$ ,  $i_{S1}$ ,  $i_{S2}$ ,  $i_b$ ,  $i_{D7}$ ,  $i_{D8}$  and  $i_{D9}$  at steady-state



$v_s$ :100V/div;  $i_{in}$ :0.5A/div;  $i_b$ :1A/div; time:2ms/div

Figure 3-12 Waveforms of  $v_s$ ,  $i_{in}$  and  $i_b$  at steady-state

### 3-6 Experimental Results

Table 3-2 Circuit parameters

Steady-state operation frequency, $f_s$	32kHz
Preheating frequency, $f_p$	24kHz
Resonance frequency of resonant circuit, $f_{r,ign}$	31.8kHz
Inductance of buck-boost converter, $L_b$	1.1mH
Dc-link capacitance, $C_{dc}$	100 $\mu$ F
Resonant inductance, $L_s$	2.80mH
Resonant capacitance, $C_s$	20.6nF
Parallel capacitance, $C_f$	15.8nF
Filtering inductance, $L_m$	2.0mH
Filtering capacitance, $C_m$	270nF

A prototype of the proposed electronic ballast for a rapid-start fluorescent lamp of Osram T8-36W was built and tested to verify the theoretical predictions. The circuit parameters are listed in Table 3-2. The resonance frequency of the series-resonant energy-tank is designed at 21kHz. During the ignition transient, the resonance frequency of the load resonant circuit is 31.8kHz, which lies between

the preheating frequency and the steady-state frequency. At steady-state, the resonance frequency of the load resonant circuit including the lamp becomes 24.8kHz. Figure 3-13 illustrates the variations of the operation frequency of the electronic ballast, lamp voltage and resonance frequency of the load resonant circuit. As the ballast is started, the operation frequency begins from the preheating frequency and then goes through the ignition frequency, and finally rises to the steady-state frequency.

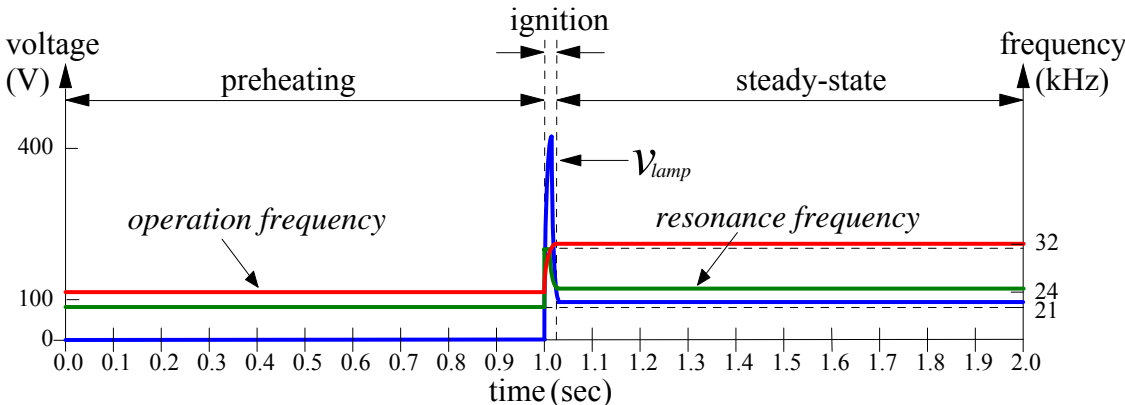
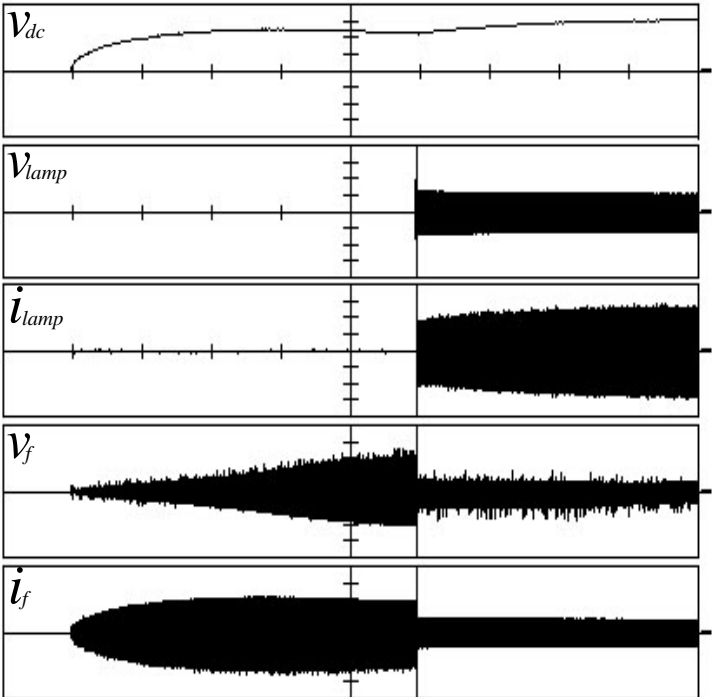


Figure 3-13 Variations of the operation frequency, lamp voltage and resonance frequency

Figure 3-14 shows the experimental results during the starting period. When switched on, the electronic ballast draws power from the line source. The preheating interval lasts for 1 second. During this interval, almost only the cathode filaments consume the input power. The dc-link voltage rises first rapidly up to 270V and then gradually reduces to 240V. The filament current varies accordingly. At the end of the preheating interval, the peak of filament current reduces to 1.0A and the peak of filament voltage increases up to 11V. This indicates that the ratio of the hot filament resistance to the cold resistance has already reached the value of about 4.5 at this point. Since the starting-aid circuit is short-circuited, neither lamp voltage nor glow current is found during preheating time. When the cathode filaments have reached the appropriate emission temperature, the starting-aid circuit is open-circuited and the operation frequency is increased rapidly toward

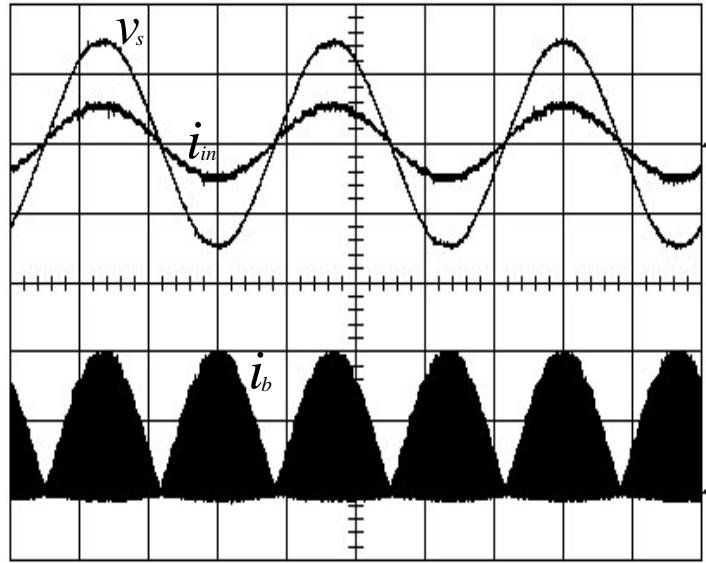
the resonance frequency of the load resonant circuit. As the operation frequency increases, the lamp voltage is increased up for ignition. After being ignited, the lamp arc current flows and eventually reaches the thermal equilibrium.



$V_{dc}$ ,  $V_{lamp}$ :100V/div;  $i_{lamp}$ :0.2A/div;  $V_f$ :5V/div;  $i_f$ :0.5A/div; time:0.2s/div

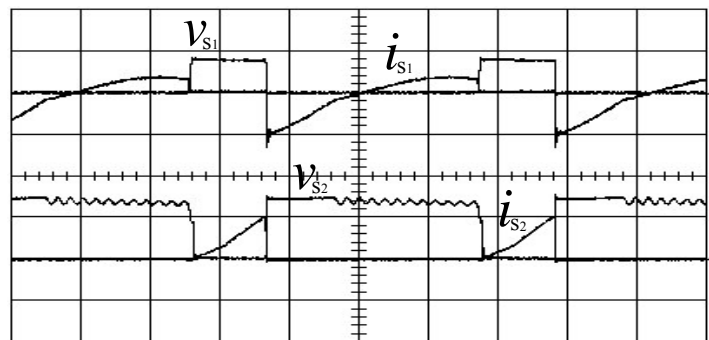
Figure 3-14 Starting transient waveforms

Figure 3-15 shows the waveforms of the input voltage and current and the inductor current  $i_b$  of the buck-boost PFC circuit. The input current is sinusoidal and in phase with the input voltage. The power factor is 0.99 and the total current harmonic distortion (THD) is 8%. The circuit efficiency is 86% when the lamp is operated at the rated power. The buck-boost power factor corrector is operated at DCM over the entire cycle of the line source. Figures 3-16 and 3-17 show the voltage and current waveforms of the active power switches during the preheating interval and at the steady-state operation. These waveforms indicate that the ZVS operation for the active switch  $Q_1$  of the ballast circuit can always be retained. Figure 3-18 shows the measured lamp voltage and current waveforms at the steady-state operation. The lamp current is nearly sinusoidal with a crest factor below 1.55.



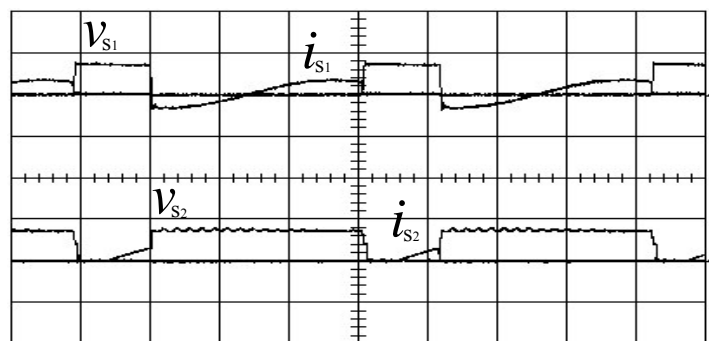
voltage:100V/div; current:1A/div; time:5ms/div

Figure 3-15 Waveforms of  $v_s$ ,  $i_{in}$  and  $i_b$



voltage:200V/div; current:2A/div; time:10 $\mu$ s/div

(a) Near line peak voltage

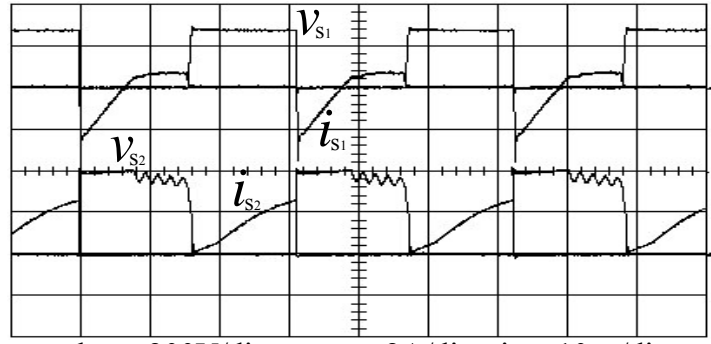


voltage:200V/div; current:2A/div; time:10 $\mu$ s/div

(b) Near zero crossing

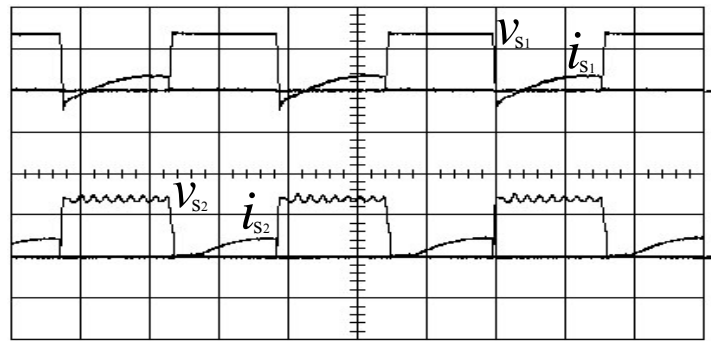
Figure 3-16 Switching voltage and current waveforms during preheating





voltage:200V/div; current:2A/div; time:10μs/div

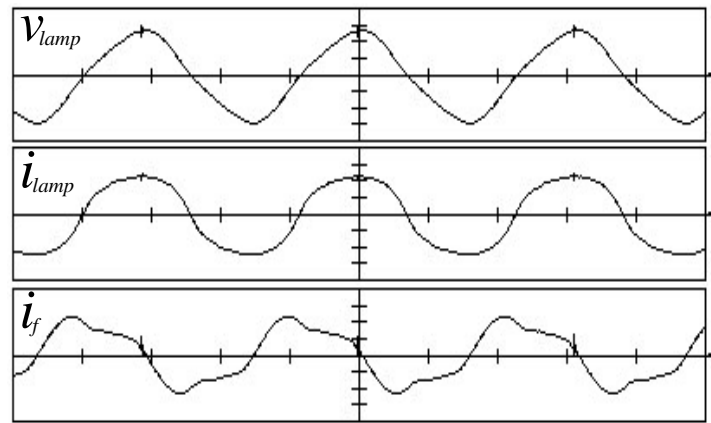
(a) Near line peak voltage



voltage:200V/div; current:2A/div; time:10μs/div

(b) Near zero crossing

Figure 3-17 Switching voltage and current waveforms at steady-state



voltage:50V/div; current:0.2A/div; time:10μs/div

Figure 3-18 Lamp voltage and current waveforms at steady-state

## Chapter 4 Programmed Rapid-Start Electronic Ballast with Inductively Coupled Filament-Heating Circuits

From Figure 1-1, it can be found that the filament-heating current provided by the half-bridge resonant inverter flows through the shunted capacitor for preheating is the reason of causing a voltage across the lamp during the preheating period. Therefore, if the filament-heating voltage or current can be provided by another additional circuit but not by the half-bridge resonant inverter and the voltage on the load resonant circuit is remained at zero during the preheating period, the lamp voltage during preheating can be kept at zero certainly.

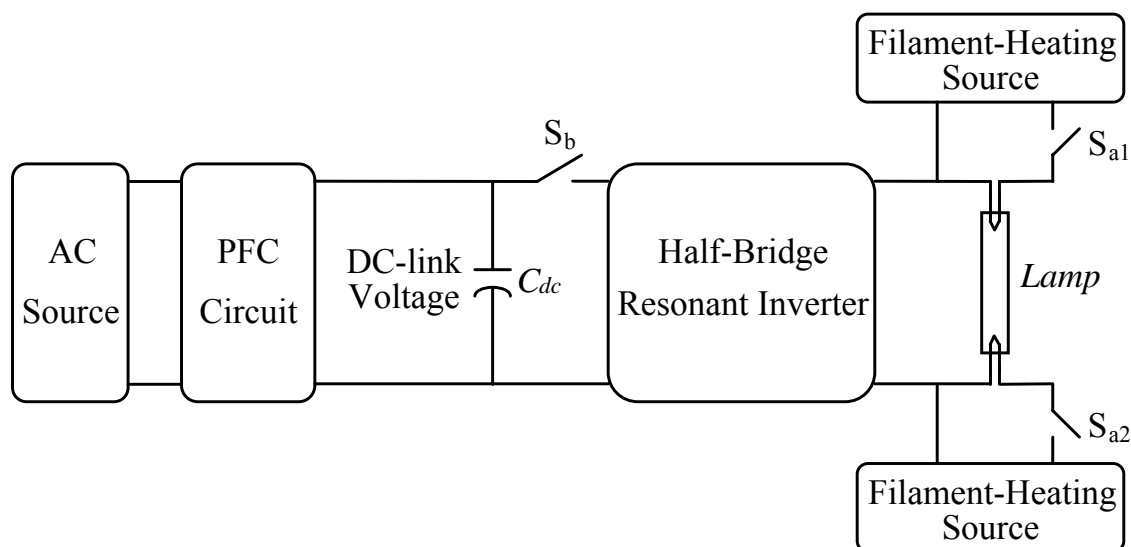


Figure 4-1 Block diagram of the proposed electronic ballast

Figure 4-1 is the block diagram of the proposed electronic ballast for starting the fluorescent lamp with zero glow current. During the preheating interval, the active switches,  $S_{a1}$  and  $S_{a2}$ , are turned on, so that the filament-heating source provides a proper filament voltage (or current) to preheat the cathode filaments. At this time, the active switch,  $S_b$ , is remained at “off” state. Therefore, the half-bridge resonant inverter will produce no voltage on the lamp and hence no glow current. After the cathode filaments have been preheated to an appropriate emission temperature,  $S_b$  is turned on and the inverter is operated to generate the required

high lamp voltage for ignition. However, such a circuit topology requires an additional filament-heating source and another active switches, resulting in higher cost and more complicated circuit configuration. In order to simplify the circuit configuration and reduce the cost, the switches  $S_{a1}$ ,  $S_{a2}$  and the filament-heating circuit can be integrated with the PFC circuit; while the switch  $S_b$  is merged with the half-bridge inverter.

### 4-1 Circuit Configuration

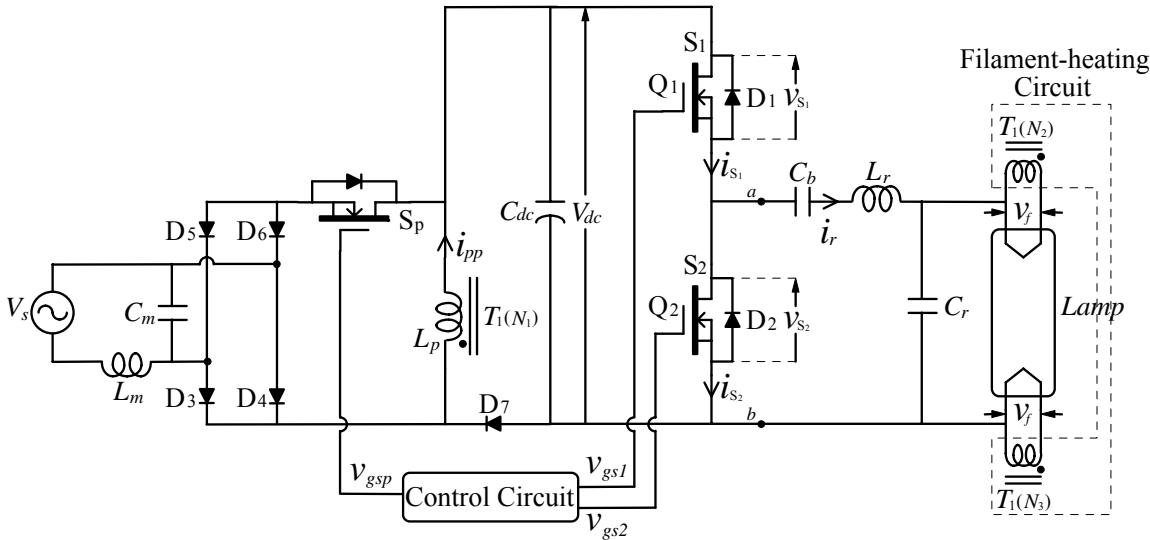


Figure 4-2 Circuit configuration of the two-stage electronic ballast

Figure 4-2 is the basic circuit of the proposed two-stage high-power-factor electronic ballast with programmed rapid-start. It mainly consists of a diode-bridge rectifier, a power factor corrector with the buck-boost converter and a quasi half-bridge series-resonant parallel-loaded inverter. Two power MOSFETs,  $S_1$  and  $S_2$ , are adopted as the active power switches of the half-bridge inverter for high frequency switching. Each power switch is composed of an active switch and its intrinsic anti-parallel diode. The load resonant circuit of the inverter is formed by a dc-blocking capacitor,  $C_b$ , a series-resonant energy-tank,  $L_r$  and  $C_r$ , and the fluorescent lamp. The inductor of the buck-boost converter is replaced by a transformer,  $T_1$ , with two auxiliary windings,  $N_2$  and  $N_3$ , for heating the cathode

filaments. A small low-pass filter,  $L_m$  and  $C_m$ , is used to remove the high frequency current harmonics at the input line.

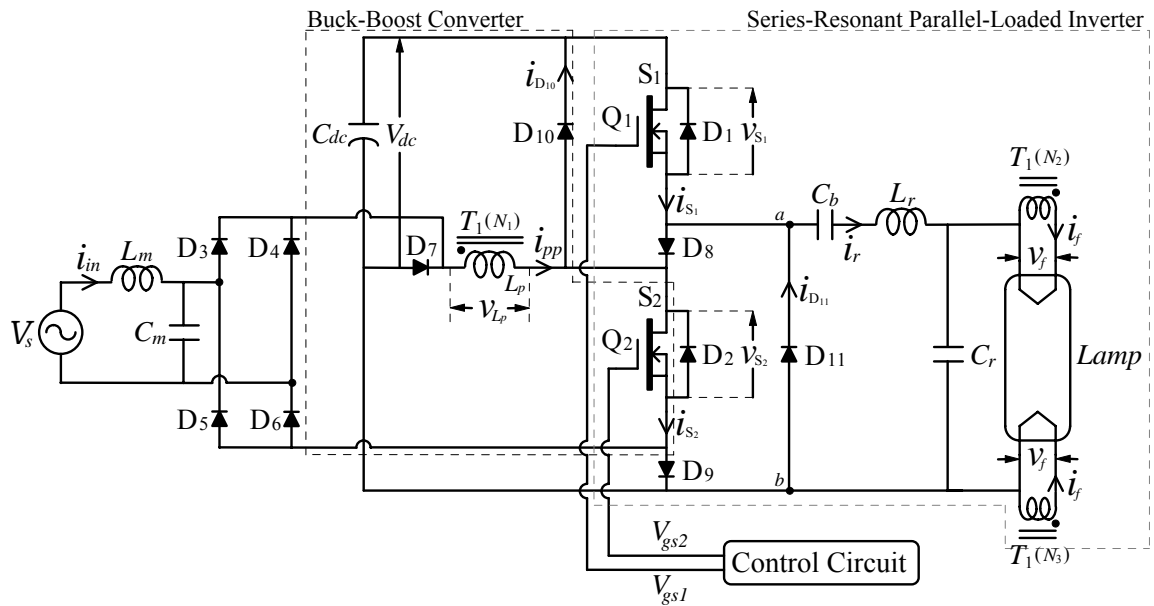


Figure 4-3 Circuit configuration of the single-stage electronic ballast

However, the two-stage topology requires two control circuits to control the buck-boost converter and the quasi half-bridge resonant inverter, respectively, and three active power switches, resulting in higher cost and lower efficiency. In order to solve this problem, the buck-boost converter can be integrated into the quasi half-bridge resonant inverter to form a single-stage high-power-factor electronic ballast, as shown in Figure 4-3. The bottom active power switch  $S_2$  is commonly used by the buck-boost converter. To operate the buck-boost converter and the inverter independently, the freewheeling current  $i_{pp}$  in the buck-boost transformer flows through an additional  $D_{10}$  instead of the anti-parallel diode of  $S_1$ . On the other hand, the load resonant current  $i_r$  freewheels through  $D_{11}$  instead of  $S_2$ . The diode  $D_8$  is introduced to block the primary current  $i_{pp}$  of transformer from freewheeling through  $D_1$ , and  $D_9$  is used to prevent  $i_{pp}$  from flowing back to the input line when  $Q_2$  is turned off. By sharing the active power switch and the control circuit, the component count can be effectively reduced.

## 4-2 Circuit Operation

The operation of the ballast-lamp circuit is described by three stages: preheating, ignition, and steady-state. During the preheating period, the active power switch  $S_2$  is initiated to operate the buck-boost converter inducing voltages on the filaments for preheating. At this time,  $S_1$  remains at “off” state and so does the resonant inverter. Therefore, there will be no voltage on the load resonant circuit and the lamp. This makes sure that no glow current will occur. After the preheating operation has been completed,  $S_1$  is activated. Subsequently,  $S_1$  and  $S_2$  are alternately switched on and off with a duty-ratio of 50%. The half-bridge inverter outputs a square-wave voltage on the load resonant circuit. At the same time, the operation frequency of the resonant inverter goes toward the resonance frequency,  $f_{r,ign}$ , of the load resonant circuit to generate the required high ignition voltage on the lamp. Once the lamp has been ignited, the operation frequency is then regulated to produce the desired lamp power at the steady-state operation.

At the preheating stage, the operation of the electronic ballast can be subdivided into three modes within one high frequency cycle in accordance with the conducting conditions of the power switches, as shown in Figure 4-4. The input filter is omitted for simplicity. The circuit parameters are designed to operate the buck-boost converter at DCM. The circuit operation during preheating is described as follows:

Mode I ( $t_0 < t < t_1$ ):

Prior to Mode I, the primary current  $i_{pp}$  of the transformer  $T_1$  is zero since the buck-boost converter is operated at DCM, and thus the excitation current  $i_e$  of  $T_1$  is zero. At the beginning of Mode I,  $Q_2$  is switched on. The rectified line voltage is imposed on the primary of  $T_1$ .  $i_e$  increases linearly from zero and its slope is proportional to the rectified line voltage. At the same time,  $T_1$  induces a positive filament voltage for preheating the cathode filament. When  $Q_2$  is turned off, this mode is ended.

Mode II ( $t_1 < t < t_2$ ):

At the beginning of Mode II,  $i_e$  reaches its peak and  $Q_2$  is switched off.  $i_e$  is transferred from  $Q_2$  to  $D_{10}$  and  $D_7$  to charge the dc-link capacitor,  $C_{dc}$ . During this mode,  $i_e$  decreases linearly and  $T_1$  induces a negative filament voltage for preheating the cathode filament. When  $i_b$  becomes zero, this mode ends, and then, the circuit enters Mode III.

Mode III ( $t_2 < t < t_3$ ):

During this mode, the whole ballast circuit is idle. When  $Q_2$  is switched on, the mode ends and the operation returns to Mode I of the next cycle.

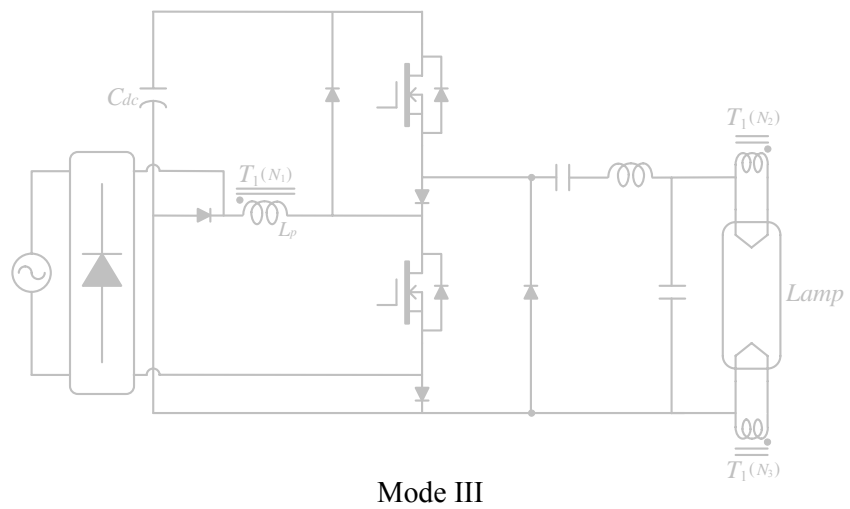
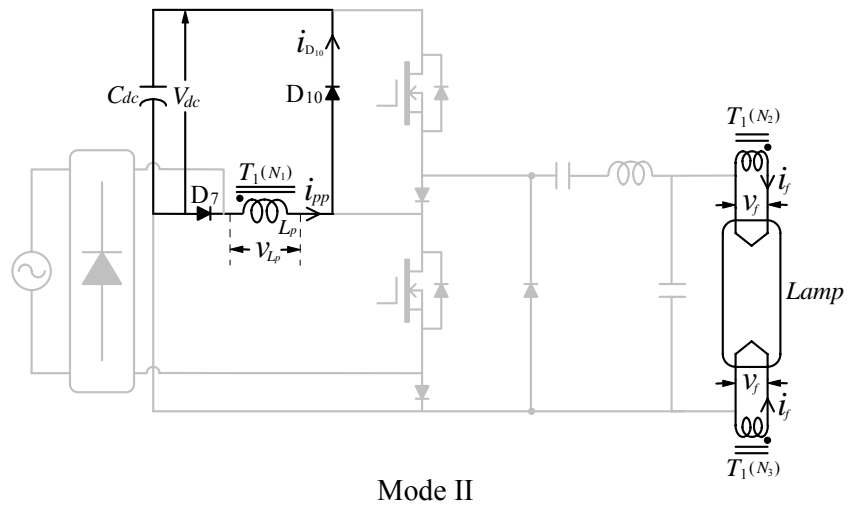
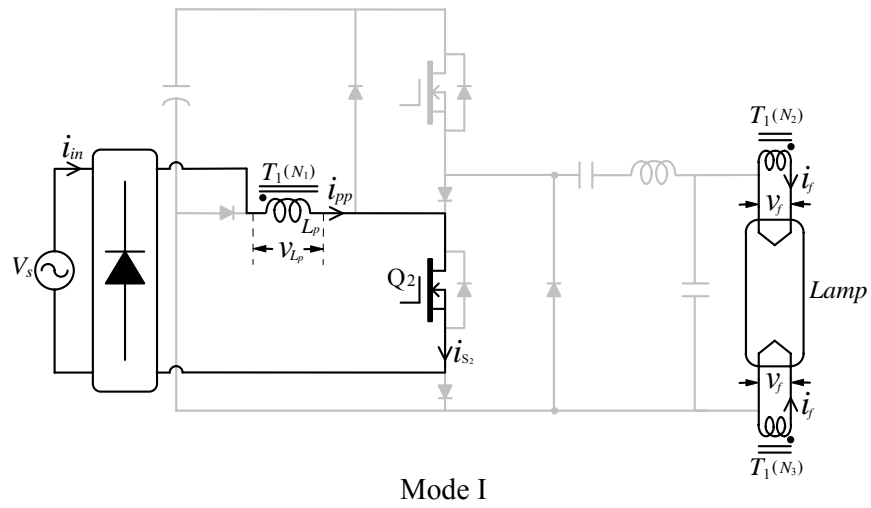


Figure 4-4 Operation modes at the preheating stage

The operation of the electronic ballast at steady-state can be subdivided into six modes within one high frequency cycle in accordance with the conducting conditions of the power switches, as shown in Figure 4-5. Figure 4-6 illustrates the theoretical waveforms for each mode. To achieve a high power factor, the buck-boost converter is operated in DCM. The operation frequency of the inverter is greater than the resonance frequency of the load resonant circuit to ensure ZVS at the switching-on of the active switch  $Q_1$ . The circuit operation at steady-state is described as follows:

Mode I ( $t_0 < t < t_1$ ):

Prior to Mode I, a positive load resonant current  $i_r$  flows through  $D_{11}$ . At the beginning of Mode I,  $Q_2$  is switched on. The rectified line voltage is imposed on the primary of the transformer  $T_1$ .  $T_1$  induces a positive filament voltage for heating the cathode filament. At DCM operation, the excitation current  $i_e$  of  $T_1$  increases linearly from zero. The slope of  $i_e$  is proportional to the rectified line voltage. When  $i_r$  resonates to zero,  $D_{11}$  turns off and Mode II is entered.

Mode II ( $t_1 < t < t_2$ ):

During this mode,  $Q_2$  is kept at the on state and carries both the primary current of  $T_1$  and the load resonant current. The load resonant current goes through  $D_8$  and  $D_9$  and the primary current flows back through the rectifier to the line source. The rectified line voltage is applied on the primary of  $T_1$  and  $i_e$  increases continuously. In this mode,  $T_1$  keeps inducing a positive voltage for heating the cathode filament.

Mode III ( $t_2 < t < t_3$ ):

At the beginning of Mode III,  $Q_2$  is switched off and  $i_e$  reaches its peak.  $i_e$  is transferred from  $Q_2$  to  $D_{10}$  and  $D_7$  to charge  $C_{dc}$  and  $i_r$  is transferred from  $Q_2$  to  $D_1$  to charge  $C_{dc}$ .  $i_e$  decreases linearly and  $i_r$  resonates from negative to positive. During this mode,  $T_1$  induces a negative filament voltage for heating the cathode filament.



Since the peak of  $i_e$  is proportional to the rectified input voltage, the duration for  $i_e$  declining to zero is not constant but varies with the rectified line voltage. Thus, there are two possible modes following Mode III, depending on which of the currents,  $i_e$  or  $i_r$ , reaches zero first.

Mode IV-a ( $t_3 < t < t_4$ ):

When the line voltage is high,  $i_r$  declines to zero before  $i_e$  does. Mode III ends at the time when  $i_r$  becomes zero, and then, the circuit enters mode IV-a. At this instant,  $D_1$  turns off naturally and  $Q_1$  is then turned on to carry  $i_r$  with ZVS. In this mode,  $i_e$  decreases continuously and  $T_1$  keeps inducing a negative filament voltage for heating the cathode filament. This mode ends when  $i_e$  decreases to zero.

Mode IV-b ( $t_3 < t < t_4$ ):

At low line voltage, the peak of  $i_e$  is small and declines to zero faster. In case that  $i_e$  decreases to zero earlier than  $i_r$  does, Mode IV-b instead of Mode IV-a, follows Mode III. In this mode,  $i_r$  flows through  $D_1$  continuously. This mode ends at the time when  $i_r$  resonates to zero. Then,  $Q_1$  is turned on to carry  $i_r$  with ZVS.

Mode V ( $t_4 < t < t_5$ ):

During this mode, the positive  $i_r$  flows through  $Q_1$ .  $C_{dc}$  supplies energy to the load resonant circuit.

Mode VI ( $t_5 < t < t_6$ ):

Mode VI represents the short period of the dead time. At the beginning of this mode,  $Q_1$  is switched off. At the instant,  $i_r$  is positive and freewheels through  $D_{11}$ . When  $Q_2$  is switched on, the mode ends and the operation returns to Mode I of the next cycle.

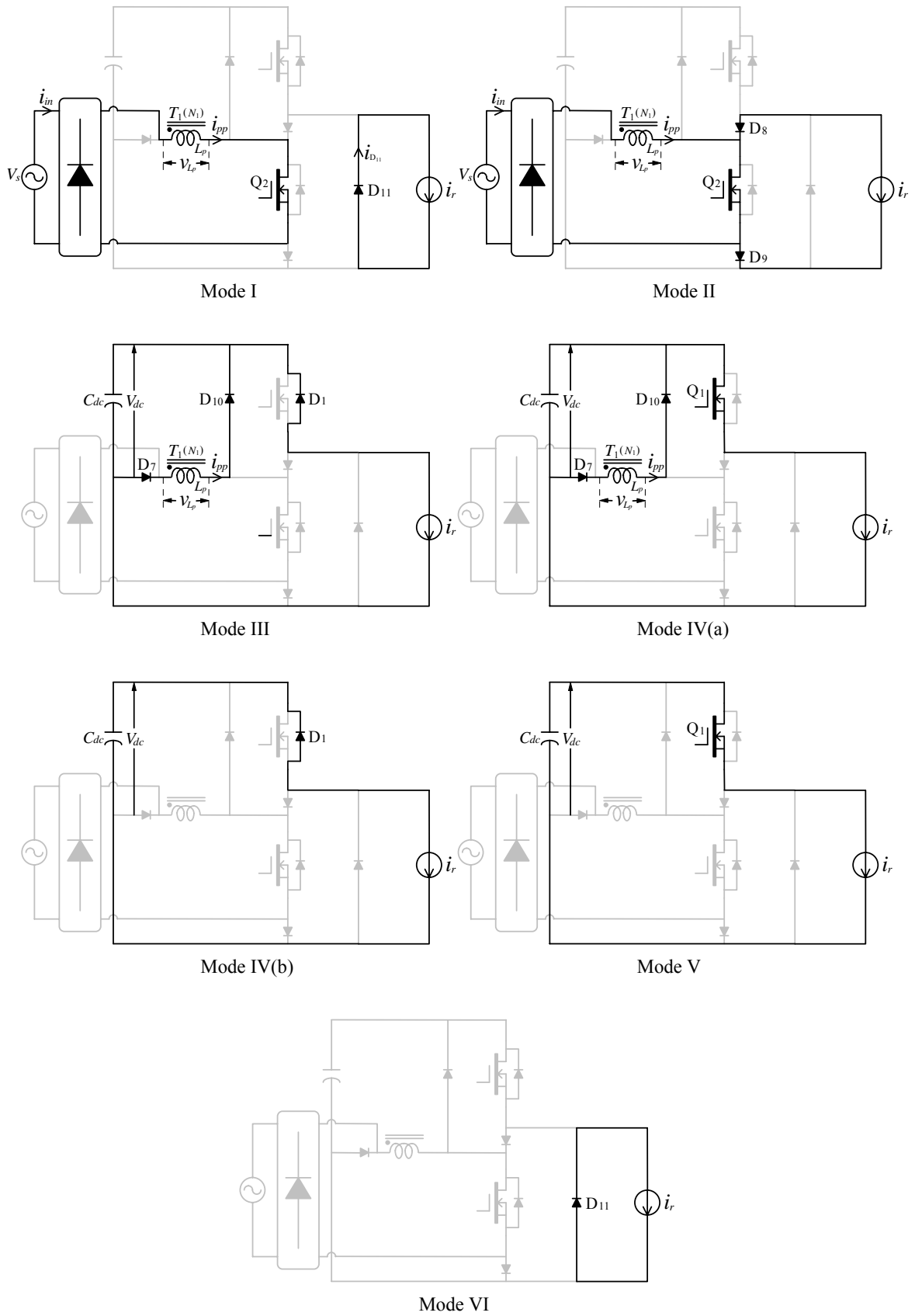
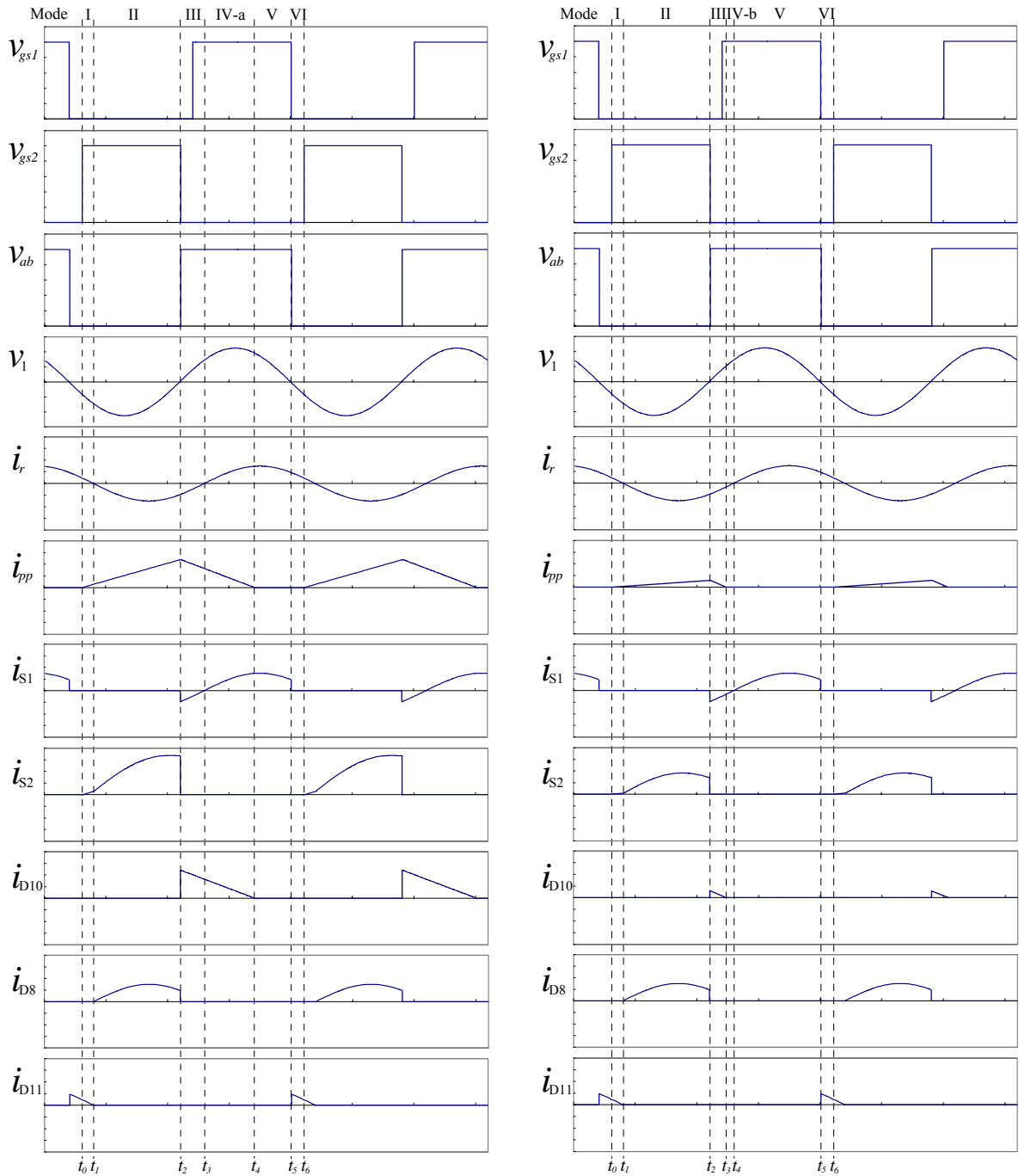


Figure 4-5 Operation modes at steady-state



(a) Near line peak voltage

(b) Near zero crossing

Figure 4-6 Theoretical waveforms at steady-state

### 4-3 Circuit Analysis

For simplifying the analysis, the following assumptions are made:

- 1) All the circuit components are ideal.
- 2) The load quality factor of the load resonant circuit is high enough so that the

load resonant current is sinusoidal.

- 3) The capacitance of  $C_{dc}$  is large enough, thus the dc-link voltage  $V_{dc}$  can be approximated as a voltage source at steady-state.
- 4) The capacitance of  $C_b$  in the load resonant circuit is large enough, resulting in zero reactance at the switching frequency.
- 5) There are no parasitical components and leakage inductance on the transformer.
- 6) The lamp is regarded as an open circuit before ignition, and a resistance at steady-state operation.

#### 4-3-1 Preheating

The electronic ballast is supplied from the ac line voltage source.

$$v_s = V_m \sin(2\pi f_L t) \quad (4-1)$$

where  $f_L$  and  $V_m$  are the frequency and amplitude of the line voltage source, respectively.

During the preheating period, the ballast circuit is operated at the preheating frequency,  $f_p$ , producing a voltage,  $v_f$ , on the filament for preheating. When the active power switch  $S_2$  is turned on, the rectified line voltage is applied on the primary of the transformer. When  $S_2$  is turned off, the excitation current of the transformer freewheels into the dc-link capacitor,  $C_{dc}$ . At this time, the dc-link voltage  $V_{dc}$  is reversely applied on the transformer. As the freewheeling current decreases to zero, the transformer voltage drops to zero, too. Figure 4-7 shows the conceptual waveform of the filament voltage,  $v_f$ . Its amplitudes of the positive pulses in the waveform follow the envelope of the rectified line voltage and negative pulses are with amplitudes of the dc-link voltage. In practice, the preheating frequency  $f_p$  is much higher than line frequency. Therefore, both positive and negative amplitudes of filament voltage can be assumed as constants over a high frequency cycle. Then, the effective value of  $v_f$  over half line frequency cycle can be calculated as:

$$\begin{aligned}
V_f &= \sqrt{\frac{d}{\pi} \int_0^\pi \left\{ \left[ \frac{V_m \sin(2\pi f_L t)}{N} \right]^2 + \frac{V_{dc}(t) V_m \sin(2\pi f_L t)}{N^2} \right\} d(2\pi f_L t)} \\
&= \frac{\sqrt{d}}{N} \cdot \sqrt{\frac{V_m^2}{2} + \frac{2V_{dc}(t)V_m}{\pi}}
\end{aligned} \tag{4-2}$$

where  $d$  is the duty-ratio of  $S_2$  and  $N$  is the turn-ratio of the primary winding of  $T_1$  to its auxiliary windings. The preheating voltage can be as high as possible to start the lamp rapidly, but should be limited by the rated filament voltage.

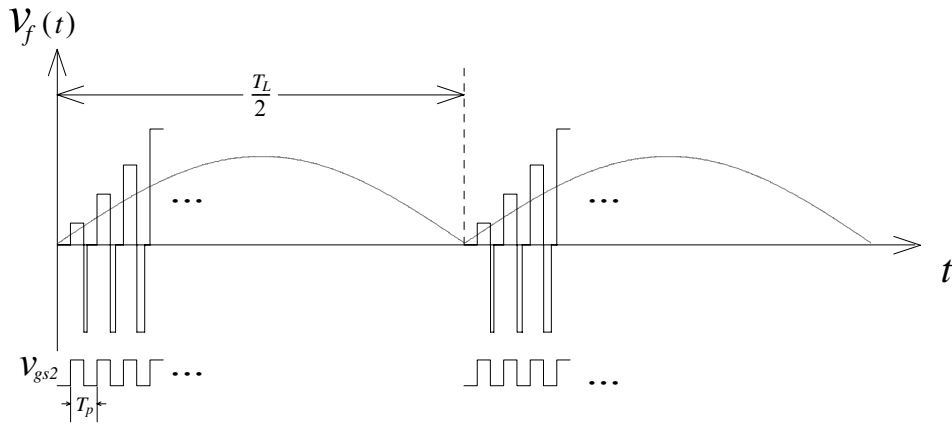


Figure 4-7 Conceptual waveform of  $v_f$

When  $S_2$  is turned on, the buck-boost converter draws a power  $P_{in}$  continually from the input line source.

$$P_{in} = \frac{d^2 V_m^2}{4L_p f_p} + \frac{dV_m^2}{r_f N^2} \tag{4-3}$$

where  $L_p$  is the inductance of the primary winding of the transformer and  $r_f$  is the resistance on each cathode filament. The first term in (4-3) is the power stored in the transformer while the second term dissipates to filaments. The stored power in turn is partly delivered to the cathode filaments and is partly transferred to  $C_{dc}$ . As a result, the dc-link voltage  $V_{dc}$  varies in accordance with the power consumption on the filament. Since only a small amount of filament power is consumed,  $V_{dc}$  may rise rapidly to an impracticably high level at the preheating stage. The increasing energy in  $C_{dc}$  during the preheating interval can be expressed as:

$$\begin{aligned} \frac{1}{2} C_{dc} \{ [V_{dc}(t + \Delta t)]^2 - [V_{dc}(t)]^2 \} &= \left[ \eta P_{in} - \frac{2V_f^2}{r_f} \right] \cdot \Delta t \\ &= \left[ \frac{\eta d^2 V_m^2}{4L_p f_p} - \frac{4dV_m V_{dc}(t)}{\pi r_f N^2} \right] \cdot \Delta t \end{aligned} \quad (4-4)$$

where  $\eta$  is the conversion efficiency of the buck-boost converter. This equation indicates that the variation of  $V_{dc}$  during the preheating interval depends on the operation frequency of the ballast circuit. By increasing the operation frequency, the input power can be reduced leading to lower  $V_{dc}$  and hence the component stresses. For this reason, the preheating frequency,  $f_p$ , is set much higher than the steady-state frequency,  $f_s$ .

#### 4-3-2 Ignition and Steady-state

After the cathode filaments have been preheated to an appropriate emission temperature, the active power switch  $S_1$  is activated. The half-bridge inverter begins to output a square-wave voltage,  $v_{ab}$ . The square-wave voltage can be represented by the Fourier series:

$$v_{ab} = \frac{V_{dc}}{2} + \sum_n \left[ \frac{2V_{dc}}{n\pi} \sin(2n\pi f_o t) \right] \quad n = 1, 3, 5 \dots \quad (4-5)$$

where  $f_o$  is the operation frequency of the inverter. With a high load quality factor of the load resonant circuit, almost all the harmonic contents, as well as the dc term, will be filtered out by the load resonant circuit. Only the fundamental current at the switching frequency will be present in the load resonant inverter. Therefore, the ballast-circuit can be analyzed using the fundamental component approximation. The rms value of the fundamental component of  $v_{ab}$  is:

$$V_1 = \frac{\sqrt{2}V_{dc}}{\pi} \quad (4-6)$$

#### ● Ignition

Before ignition, the fluorescent lamp is regarded as an open circuit. The

equivalent circuit of the half-bridge series-resonant parallel-loaded inverter is shown in Figure 4-8. Neglecting  $C_b$ , the resonance frequency of the circuit is equal to the resonance frequency of the series-resonant energy-tank.

$$f_{r,ign} = \frac{1}{2\pi\sqrt{L_r C_r}} \tag{4-7}$$

Operating the inverter at a frequency  $f_o$ , the rms value of the lamp voltage for ignition can be expressed as:

$$V_{ign} = \frac{V_1}{\left| 1 - \left( \frac{f_o}{f_{r,ign}} \right)^2 \right|} \tag{4-8}$$

This equation indicates that the open circuit voltage on the lamp for ignition can be extremely high if the inverter is operated at the resonance frequency of the load resonant circuit. For conventional control, the resonance frequency of the series-resonant energy-tank is designed to lie between the preheating frequency and the steady-state frequency. This ensures that the inverter frequency will pass through the resonance frequency to generate a high ignition voltage when it is adjusted from the preheating frequency to the steady-state frequency.

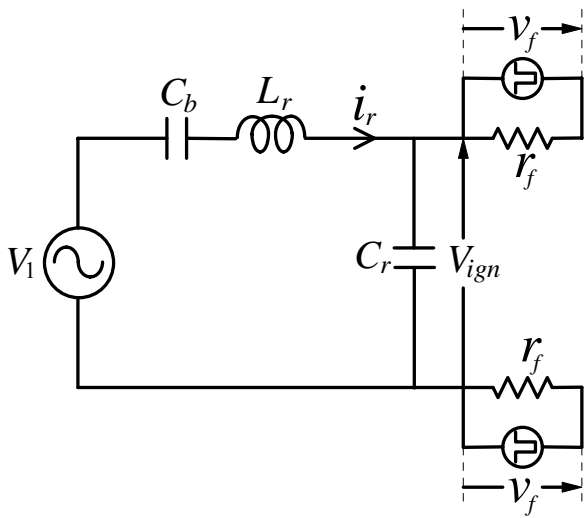


Figure 4-8 Equivalent circuit of the resonant inverter at the ignition stage

● *Steady-state*

At steady-state, the ballast circuit is operated at a frequency of  $f_s$  to output the required lamp power. The equivalent circuit of the load resonant inverter is shown in Figure 4-9, in which the fluorescent lamp is represented by a power-dependent resistance model. In practice, the filament resistances are very small as compared with the equivalent resistance of the lamp arc and the impedance of the load resonant circuit at the steady-state frequency. Neglecting the filament resistance and  $C_b$ , the resonance frequency of the load resonant circuit can be expressed as:

$$f_{r,std} = f_{r,ign} \sqrt{1 - \frac{1}{Q_L^2}} \quad \text{for } Q_L \geq 1 \quad (4-9)$$

where  $Q_L$  is the loaded quality factor at undamped natural frequency.

$$Q_L = 2\pi f_{r,ign} C_r R_{lamp} = \frac{R_{lamp}}{\sqrt{\frac{L_r}{C_r}}} \quad (4-10)$$

The total impedance of the resonant circuit can be calculated as:

$$\vec{Z}_{in} = j2\pi f_s L_r + \frac{\frac{R_{lamp}}{j2\pi f_s C_r}}{R_{lamp} + \frac{1}{j2\pi f_s C_r}} = \frac{R_{lamp} \left[ 1 - \left( \frac{f_s}{f_{r,ign}} \right)^2 + j \frac{f_s}{Q_L f_{r,ign}} \right]}{1 + jQ_L \frac{f_s}{f_{r,ign}}} \quad (4-11)$$

Being operated at a frequency higher than the resonance frequency, the load resonant circuit will present inductive load and  $Q_1$  can be switched under ZVS. The lamp current and voltage can be calculated by (4-12) and (4-13), respectively.

$$I_{lamp} = \left| \frac{\vec{V}_1}{\vec{Z}_{in}} \frac{1}{R_{lamp} + \frac{1}{j2\pi f_s C_r}} \right| = \frac{V_1}{R_{lamp} \sqrt{\left[ 1 - \left( \frac{f_s}{f_{r,ign}} \right)^2 \right]^2 + \left( \frac{f_s}{Q_L f_{r,ign}} \right)^2}} \quad (4-12)$$



$$V_{lamp} = \frac{V_1}{\sqrt{\left[1 - \left(\frac{f_s}{f_{r,ign}}\right)^2\right]^2 + \left(\frac{f_s}{Q_L f_{r,ign}}\right)^2}} \quad (4-13)$$

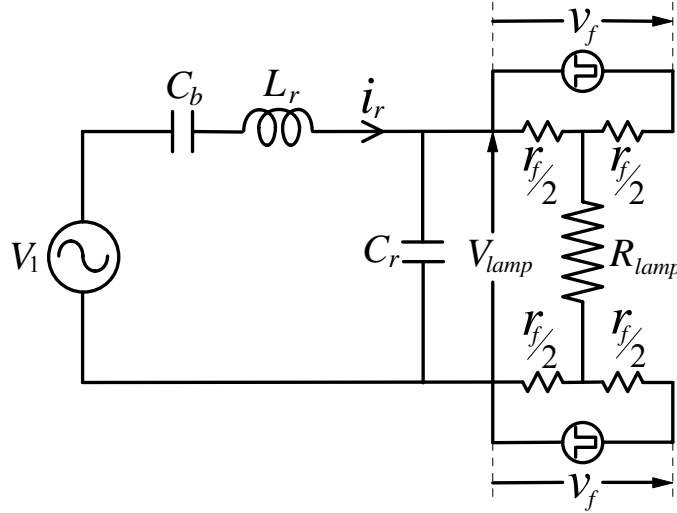


Figure 4-9 Equivalent circuit of the resonant inverter at steady-state

### 4-3-3 DCM Operation

The dc-link voltage can be calculated from (4-6) and (4-13).

$$V_{dc} = \frac{\pi V_{lamp}}{\sqrt{2}} \sqrt{\left[1 - \left(\frac{f_s}{f_{r,ign}}\right)^2\right]^2 + \left(\frac{f_s}{Q_L f_{r,ign}}\right)^2} \quad (4-14)$$

In order to operate the buck-boost power factor corrector at DCM, the dc-link voltage  $V_{dc}$  should be high enough so that the excitation current  $i_e$  always declines to zero in every high frequency cycle. To meet this requirement, the following equation should be satisfied.

$$V_{dc} \geq \frac{d}{1-d} V_m \quad (4-15)$$

With a duty cycle of 50%,  $V_{dc}$  should be always greater than the peak of the input line voltage.

## 4-4 Design Example

Table 4-1 Circuit specifications (Osram T8-36W)

Input voltage, $V_s$		110V, 60Hz
Rated lamp power, $P_{lamp}$	Arc power, $P_{arc}$	33W
	Filament power, $P_f$	3W
Rated lamp voltage, $V_{lamp}$		94.5V
Rated lamp current, $I_{lamp}$		0.35A
Equivalent lamp resistance, $R_{lamp}$		270 $\Omega$
Filament resistance (25 $^{\circ}$ C), $r_f$		2.5 $\Omega$
Preheating frequency, $f_p$		100kHz
Steady-state operation frequency, $f_s$		20kHz
Duty-ratio, $d$		0.5

An electronic ballast for an Osram T8-36W rapid-start fluorescent lamp is illustrated as a design example. The circuit specifications are listed in Table 4-1. The rated lamp power,  $P_{lamp}$ , is 36W consisting of an arc power of 33W and a filament power of 3W. The circuit parameters are designed to operate the buck-boost converter at DCM and to turn on the active switch  $Q_1$  with ZVS so that a high power factor and high circuit efficiency can be achieved. The design procedure is outlined as follows.

### Step 1. Determine $L_p$

$L_p$  can be obtained from the following equation:

$$L_p = \frac{\eta d^2 V_m^2}{4 P_{arc} f_s} \quad (4-16)$$

Assuming a circuit efficiency of 85% at steady-state,  $L_p$  is then calculated to be 2.0mH.

### Step 2. Choose $Q_L$ and $f_{r,ign}$ for DCM and ZVS operation

Figure 4-10 illustrates the variation of the dc-link voltage at steady-state. The

dashed line stands for the boundary between DCM and continuous conduction mode (CCM). As indicated in this figure, a smaller  $Q_L$  can ensure DCM in the steady-state operation frequency range. However, it leads to a higher dc-link voltage at steady-state, resulting in higher voltage stress on circuit components. In addition, a lower  $Q_L$  requires a larger inductor for the resonant circuit. In this design,  $Q_L$  and  $f_{r,ign}$  are chosen to be 1.4 and 20.8kHz, respectively, to have  $V_{dc}$  and  $f_{r,std}$  equal to 1.05 times the input peak voltage and 14.6kHz, respectively.

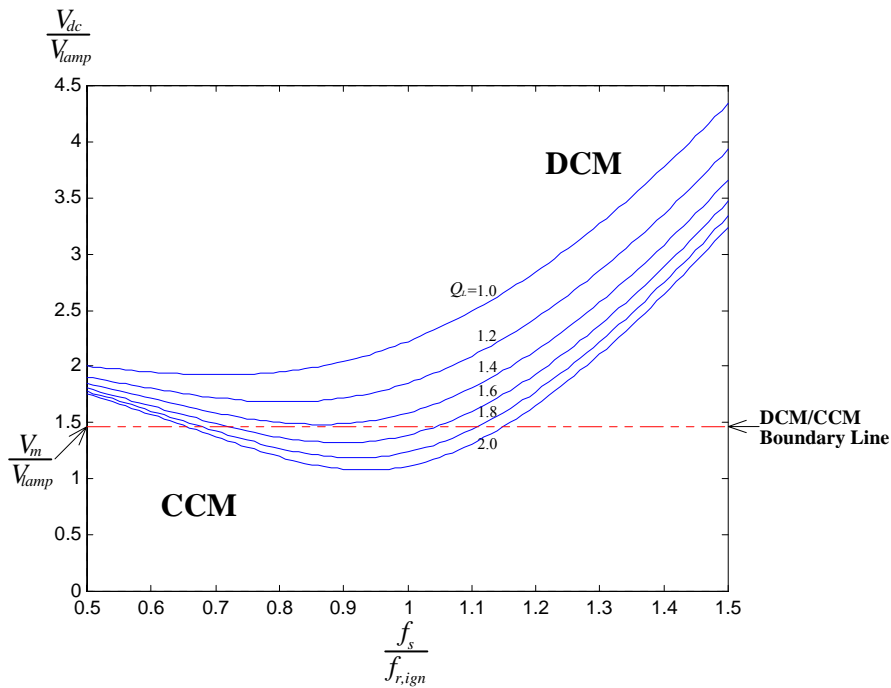


Figure 4-10 Operation condition for DCM

### Step 3. Determine $L_r$ and $C_r$

From (4-7) and (4-10),  $L_r$  and  $C_r$  can be obtained.

$$L_r = \frac{R_{lamp}}{2\pi f_{r,ign} Q_L} \quad (4-17)$$

$$C_r = \frac{Q_L}{2\pi f_{r,ign} R_{lamp}} \quad (4-18)$$

$$L_r = 1.48\text{mH and } C_r = 40.0\text{nF.}$$

#### Step 4. Determine $C_{dc}$

The magnitude of the dc-link voltage ripple will influence dominantly the lamp current CF. In order to remain a long lamp life. Therefore, the ripple of the dc-link voltage should be as small as possible to keep the lamp current CF be much smaller than 1.7. However, a smaller ripple of the dc-link voltage requires a larger dc-link capacitance  $C_{dc}$ , resulting in higher cost. In this design, the ripple factor  $r_{vo}$  of the dc-link voltage at steady-state is set to be below 3%. Then,  $C_{dc}$  can be obtained from the following equation.

$$C_{dc} \geq \frac{P_{in}}{2\pi f_L V_{dc}^2 r_{vo}} \quad (4-19)$$

$$C_{dc} \geq 117 \mu\text{F}.$$

In this design example,  $C_{dc}$  is chosen as 120 $\mu\text{F}$ .

#### Step 5. Determine turn-ratio $N$

By using the filament model derived in chapter 2, the variation of the dc-link voltage during preheating for some  $N$  can be depicted in Figure 4-11. The dashed line of  $t_{pp}$  represents the proper preheating time. At this time, the appropriate hot filament resistance approximately 4.5 times the cold filament resistance. The dashed lines of  $t_{min}$  and  $t_{max}$  are the acceptable minimum and maximum preheating time for rapid-start operation, respectively. As shown in this figure, a smaller  $N$  will reduce the dc-link voltage during preheating and hence the voltage stress on circuit components will be reduced. In addition, a smaller  $N$  leads to a larger preheating voltage, resulting in a shorter preheating time to heat up the cathode filament to a proper emission temperature. However, it requires more power to be consumed on the cathode filaments at the steady-state operation. In this example,  $N$  is chosen to be 22 to keep the dc-link voltage during preheating be lower than 350V and the preheating time is about 1 second.

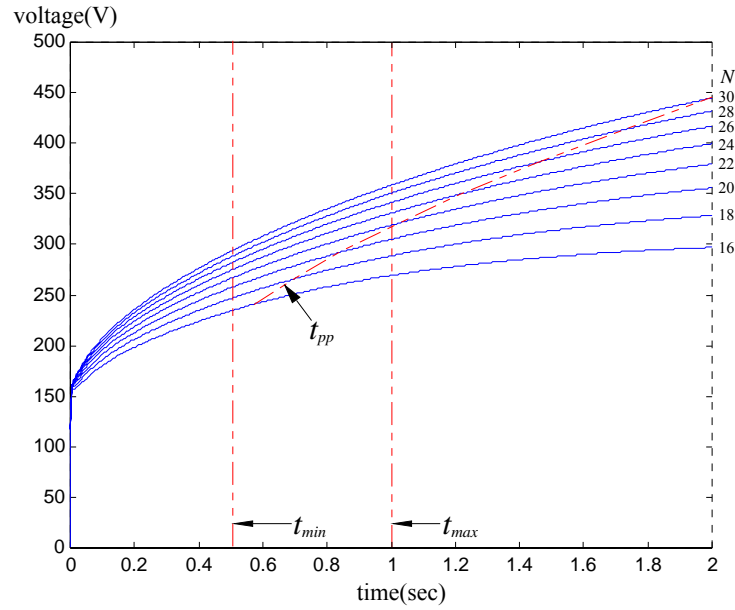
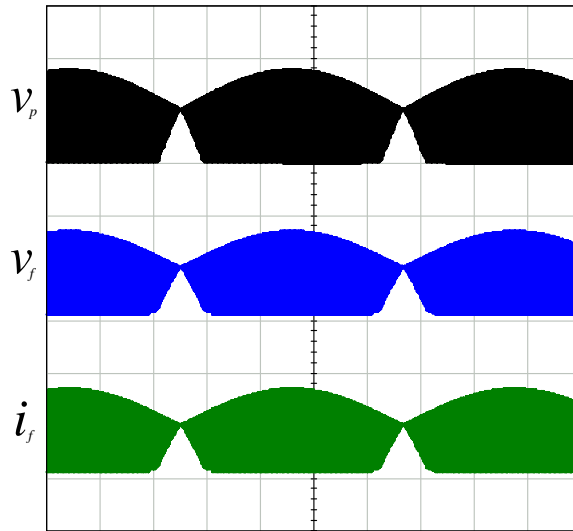


Figure 4-11 Variation of the dc-link voltage during preheating

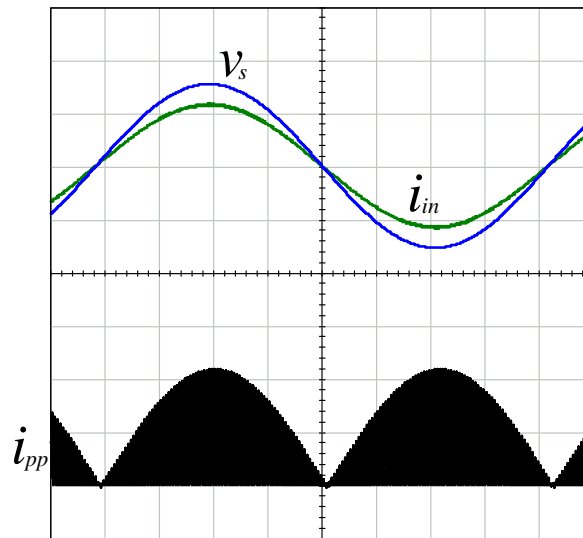
#### 4-5 Simulation Results

According to the circuit parameters calculated in the previous section, an IsSpice model of the proposed electronic ballast is built to simulate. Figure 4-12 shows the simulation waveforms of the primary voltage  $v_p$  of the transformer and the filament voltage and current during the preheating interval. Due to the non-ideal property of the active power switches and diodes, the simulation waveforms near zero crossing of the line voltage are slightly different with the theoretical predictions. Figure 4-13 shows the waveforms of the input voltage and current and the primary current  $i_{pp}$  of the transformer. Figure 4-14 shows the main voltage and current waveforms of the ballast circuit at steady-state operation. These simulation results are identical with the theoretical predictions.



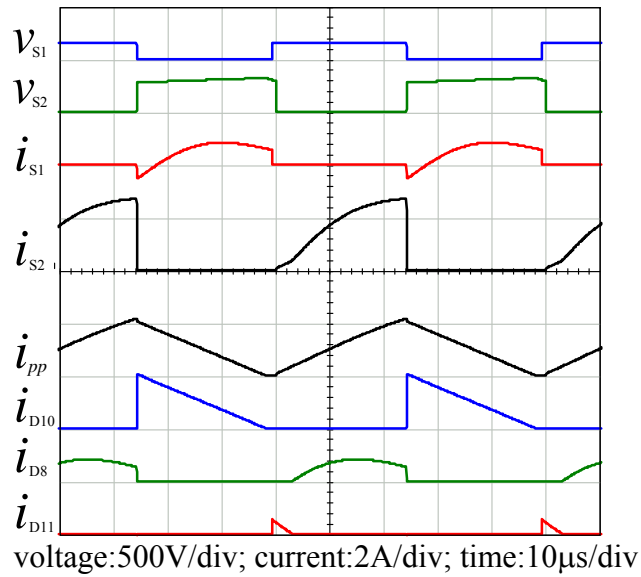
$v_p$ :200V/div;  $v_f$ :10V/div;  $i_f$ :2A/div; time:2ms/div

Figure 4-12 Waveforms of  $v_p$ ,  $v_f$  and  $i_f$  during preheating

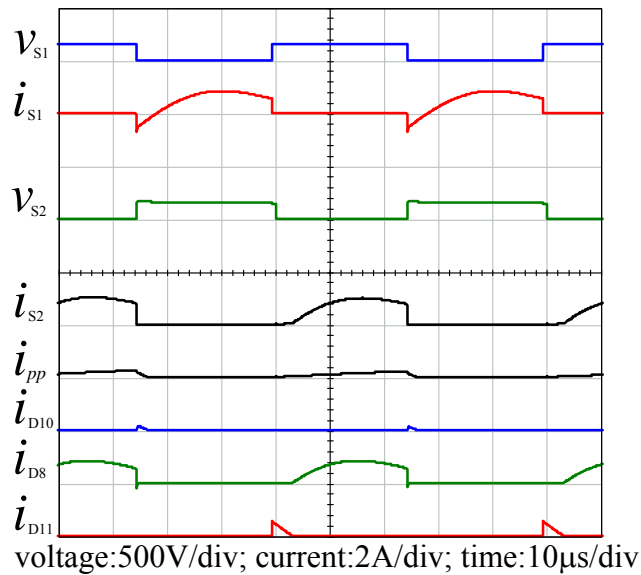


$v_s$ :100V/div;  $i_{in}$ :0.5A/div;  $i_{pp}$ :1A/div; time:2ms/div

Figure 4-13 Waveforms of  $v_s$ ,  $i_{in}$  and  $i_{pp}$  at steady-state



(a) Near line peak voltage



(b) Near zero crossing

Figure 4-14 Waveforms of  $v_{S1}$ ,  $v_{S2}$ ,  $i_{S1}$ ,  $i_{S2}$ ,  $i_{PP}$ ,  $i_{D10}$ ,  $i_{D8}$  and  $i_{D11}$  at steady-state

## 4-6 Experimental Results

An electronic ballast designed for a rapid-start fluorescent lamp of Osram T8-36W is built and tested to verify the theoretical analyses. Table 4-2 lists the circuit parameters. The resonance frequency of the series-resonant energy-tank is designed at 20.8kHz. At steady-state, the resonance frequency of the load resonant circuit becomes 14.6kHz when the lamp takes part in the load resonant circuit. The

preheating frequency is set at 100kHz and the steady-state operation frequency for the rated lamp power is 20kHz. Such a design ensures that the resonant inverter is able to generate a sufficiently high ignition voltage when the operation frequency is adjusted from the preheating frequency to the steady-state frequency. Figure 4-15 illustrates the variations of the operation frequency of the electronic ballast, lamp voltage and resonance frequency of the load resonant circuit.

Table 4-2 Circuit parameters

Steady-state operation frequency, $f_s$	20kHz
Preheating frequency, $f_p$	100kHz
Resonance frequency of resonant circuit, $f_{r,ign}$	20.8kHz
Inductance of the primary winding, $L_p$	2.0mH
Dc-link capacitance, $C_{dc}$	120 $\mu$ F
Resonant inductance, $L_r$	1.48mH
Resonant capacitance, $C_r$	40.0nF
Dc-blocking capacitance, $C_b$	2.2 $\mu$ F
Turn-ratio, $N$	22
Filtering inductance, $L_m$	2.0mH
Filtering capacitance, $C_m$	330nF

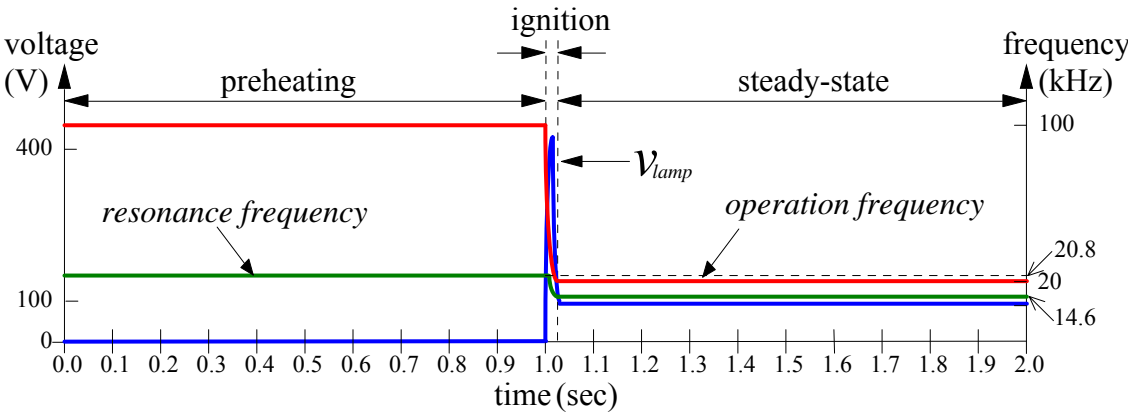


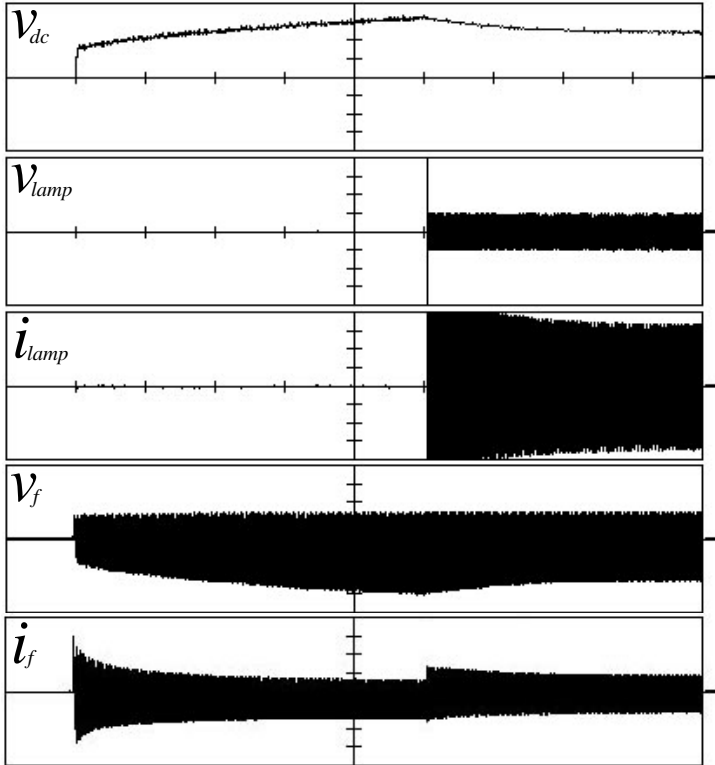
Figure 4-15 Variations of the operation frequency, lamp voltage and resonance frequency



Figure 4.16 shows the interested waveforms during starting transient. When switched on, the electronic ballast begins to extract power from the ac line source for preheating. The preheating interval lasts for 1 second. During this interval, only a small amount of the power dissipates to cathode filaments as well as circuit components. At the beginning, the dc-link voltage is low and buck-boost converter is operated with a continuous transformer current drawing a large power. As the dc-link voltage is built up, transformer current becomes discontinuous drawing less power. The dc-link voltage rises first rapidly and then gradually increases up to 320V. The negative part of the filament voltage increases accordingly. On the other hand, the positive filament voltage remains unchanged while the positive peaks of the preheating current decline from 2.9A at the beginning to 0.65A at the end of the preheating interval. This indicates that the ratio of the hot filament resistance to the cold resistance has reached about 4.5 at this point. Since the half-bridge series-resonant parallel-loaded inverter is not active, neither a lamp voltage nor a glow current is found during preheating time. The active power switch  $S_1$  is activated when the cathode filaments have reached the appropriate emission temperature and the operation frequency is adjusted from 100kHz to 20kHz. At this time, the lamp voltage rises up immediately for ignition. After being ignited, a lamp arc current flows and eventually reaches the thermal equilibrium.

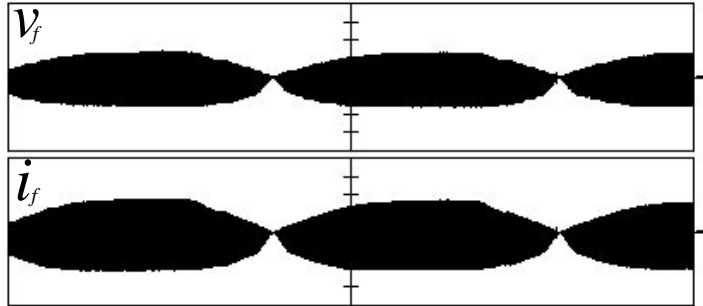
Figure 4-17 shows the waveforms of the filament voltage  $v_f$  and filament current  $i_f$  during the preheating interval. The waveforms agree with the theoretical calculations except for those near zero crossing of the line voltage. The negative pulses decrease at these areas. This is caused by the leakage inductance on the transformer and the non-ideal property of the power switches. Figure 4-18 shows the waveforms of the input voltage and current and the primary current  $i_{pp}$  of the transformer. The input current is sinusoidal and in phase with the input voltage. The buck-boost power factor corrector is operated at DCM over the entire cycle of the line voltage source leading to a high power factor greater than 0.99 and a low THD less than 8%. Figure 4-19 shows the voltage and current waveforms of the

active power switches at the steady-state operation. These waveforms indicate that the ZVS operation for the active switch  $Q_1$  of the ballast circuit can be always retained. Figure 4-20 shows the measured lamp voltage and current waveforms at the steady-state operation. The lamp current is nearly sinusoidal with a crest factor below 1.55. The circuit efficiency is 85% when the lamp is operated at the rated power.



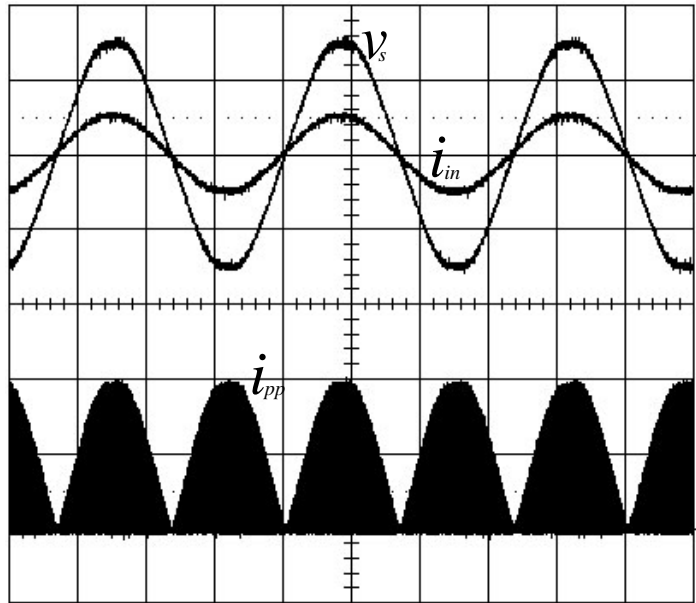
$V_{dc}$ ,  $V_{lamp}$ :100V/div;  $i_{lamp}$ :0.2A/div;  $V_f$ :5V/div;  $i_f$ :1A/div; time:0.2s/div

Figure 4-16 Starting transition waveforms



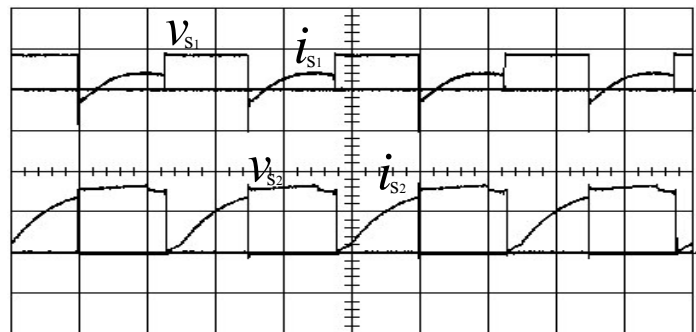
voltage:5V/div; current:1A/div; time:2ms/div

Figure 4-17 Filament voltage and current waveforms during preheating



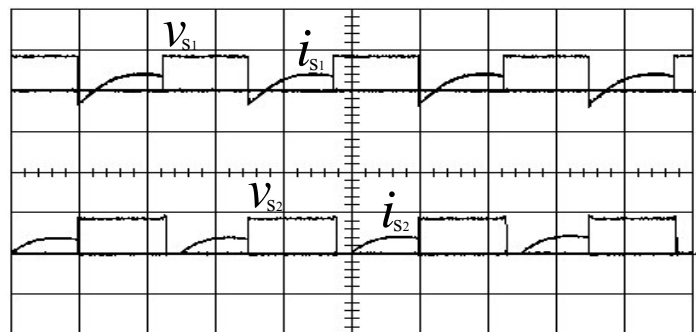
voltage:100V/div; current:1A/div; time:5ms/div

Figure 4-18 Waveforms of  $v_s$ ,  $i_{in}$  and  $i_{pp}$



voltage:200V/div; current:2A/div; time:10μs/div

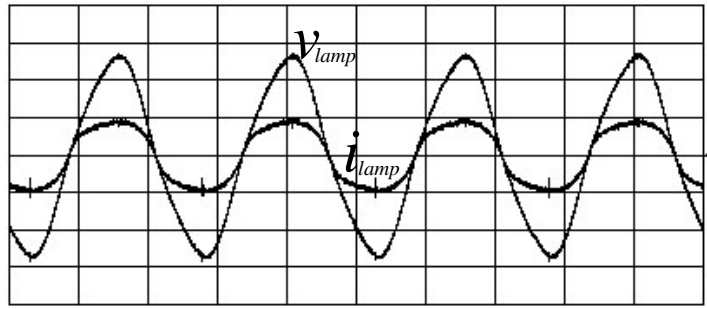
(a) Near line peak voltage



voltage:200V/div; current:2A/div; time:10μs/div

(b) Near zero crossing

Figure 4-19 Switching voltage and current waveforms at steady-state



voltage:50V/div; current:0.5A/div; time:20 $\mu$ s/div

Figure 4-20 Lamp voltage and current waveforms at steady-state

## Chapter 5 Programmed Rapid-Start Electronic Ballast with A Series-Resonant Energy-Tank

As described in chapter 3, by adding an ac switch on the load resonant circuit in parallel with the lamp to provide a short-circuited path, the lamp voltage can be kept at a very low level during the preheating interval, resulting in zero glow current. However, a short-circuited path can also be provided by a series-resonant energy-tank, since the series-resonant energy-tank looks like short-circuited as operating at its resonance frequency. By replacing the ac switch with a series-resonant energy-tank, a programmed frequency control scheme is proposed in this chapter. In the proposed control scheme, the series-resonant energy-tank is introduced as the starting-aid circuit for preheating and starting the lamp. With the starting-aid circuit, the lamp can be started in a sophisticated manner. During the preheating stage, the lamp voltage can be greatly reduced to a very low level by deliberately operating the inverter at the resonance frequency of the starting-aid circuit. After the cathode filaments have been preheated to an appropriate emission temperature, the inverter frequency is adjusted to generate the required high ignition voltage. Once the lamp has been started up, the frequency is regulated to produce the required lamp power.

### 5-1 Circuit Configuration and Operation

Figure 5-1 shows the conventional electronic ballast with the series-resonant parallel-loaded inverter. The input PFC stage providing the pre-regulated dc-link voltage may be of any standard type and is not explicitly shown. The half-bridge inverter consists of two active power switches,  $S_1$  and  $S_2$ , which are complementary switched on and off at a 50% duty-ratio to convert the dc voltage to a resultant square-wave voltage,  $v_{ab}$ , on the load resonant circuit. The load resonant circuit is composed of a dc-blocking capacitor,  $C_b$ , a series-resonant energy-tank,  $L_r$  and  $C_r$ , and the fluorescent lamp. The fluorescent lamp is

connected in parallel with the capacitor  $C_r$  of the resonant energy-tank. The capacitor  $C_b$  is used for blocking any dc voltage that may be introduced from the discrepancy between the used components.

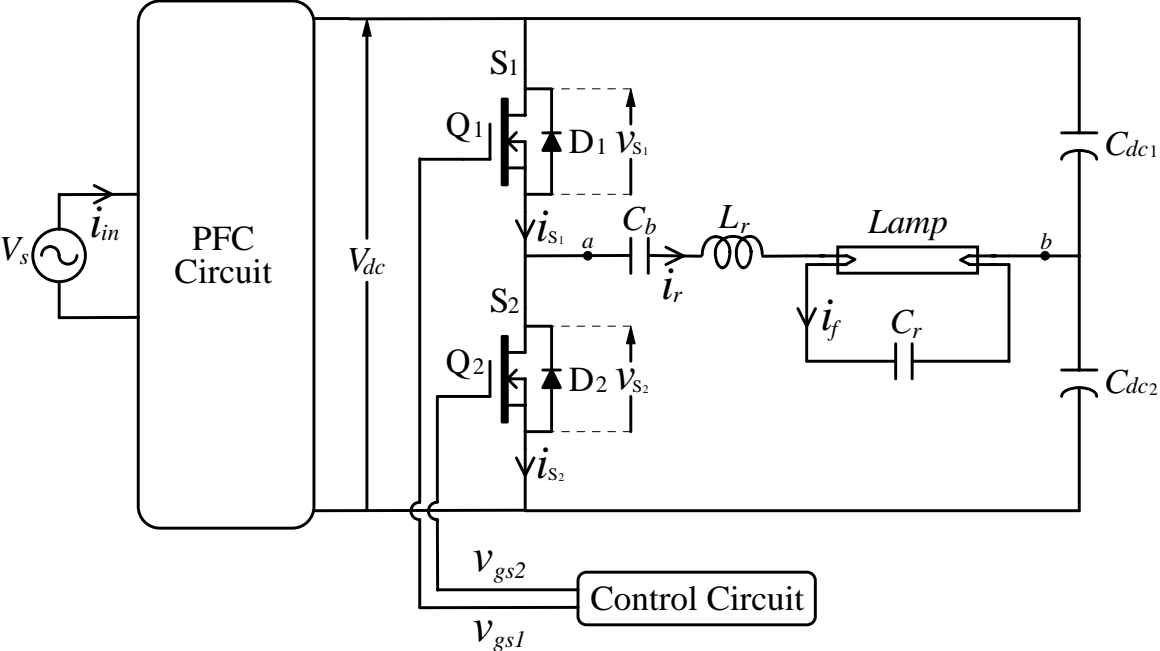


Figure 5-1 Conventional series-resonant electronic ballast

The circuit configuration of the proposed electronic ballast with programmed rapid-start is shown in Figure 5-2. This proposed circuit is to add a starting-aid circuit on the conventional series-resonant electronic ballast topology. Although a quasi half-bridge inverter is most frequently used in the ballast circuit of commercial products, the standard half-bridge inverter is adopted to avoid introducing any dc voltage upon the lamp before ignition. In the load resonant circuit, the shunted capacitor,  $C_r$ , is replaced by a series-resonant energy-tank formed by  $L_f$  and  $C_f$  serving as the starting-aid circuit. The resonant capacitor  $C_r$  is moved to the other side of the lamp. With such a rearrangement, the filament current flows through the starting-aid circuit but not through  $C_r$ .

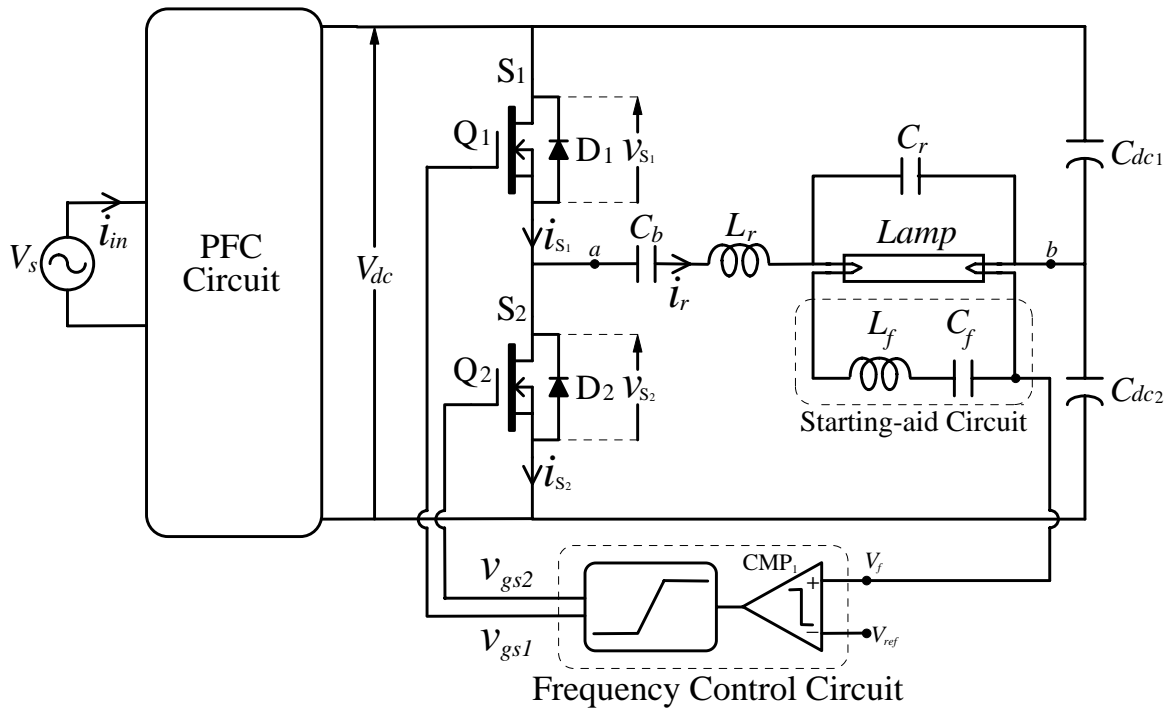


Figure 5-2 Circuit configuration of the proposed electronic ballast

To fulfill the requirements for both starting and steady-state operations, the switching frequency of the inverter is adjusted by a frequency control circuit. By controlling the inverter frequency, the ballast can provide an appropriate preheating current during the preheating interval and a compensated filament current to maintain the emission temperature at the steady-state operation. In addition, it can generate a sufficiently high voltage to ignite the lamp by operating the load resonant circuit at a frequency close to the resonance frequency.

The operation of the ballast-lamp circuit is described by three stages: preheating, ignition, and steady-state. During the preheating stage, the inverter is operated at the resonance frequency of the starting-aid circuit,  $f_p$ . Being operated at this frequency, the fundamental voltage on the starting-aid circuit and thus the lamp voltage can be reduced to zero. However, the harmonic voltages may present on the lamp. Fortunately, these harmonic voltages can be effectively attenuated by the shunted capacitor  $C_r$ .

Before ignition, the cathode filaments of the fluorescent lamp should be preheated up to a proper emission temperature (about 1000K). In practical

implementations, the filament temperature can be estimated by photocell technique or by measuring the variation of the filament resistance [74]. Since the preheating current remains almost constant, the filament temperature can be estimated by measuring the variation of the filament voltage. The filament voltage,  $v_f$ , is compared with a preset voltage,  $V_{ref}$ , which stands for the emission temperature. When the filament voltage rises to the preset voltage, the inverter frequency is changed from the preheating frequency toward the resonance frequency,  $f_{r,ign}$ , of the load resonant circuit. At this frequency, a very high lamp voltage can be generated to ensure that the lamp can be successfully ignited at any condition. As a stable lamp arc has been built, the inverter frequency is then adjusted to the steady-state frequency,  $f_s$ , to have the required lamp power.

## 5-2 Circuit Analysis

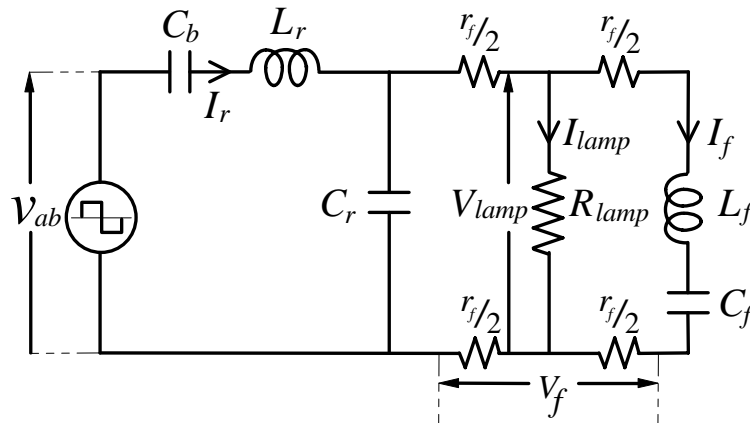


Figure 5-3 Equivalent circuit of the proposed electronic ballast

The equivalent circuit of the ballast-lamp circuit is shown in Figure 5-3 in which the fluorescent lamp is represented by a power-dependent resistance model. The square-wave voltage,  $v_{ab}$ , at the inverter output can be represented by the sum of its  $n$ -th order harmonic components,  $v_n$ .

$$v_{ab}(t) = \sum_{n=1}^{\infty} v_n(t) = \sum_{n=1,3,5}^{\infty} \sqrt{2}V_n \sin(2\pi n f_o t) \quad (5-1)$$

where  $f_o$  is the operation frequency of the inverter. The rms value of  $v_n$  can be



obtained from Fourier series analysis.

$$V_n = \frac{\sqrt{2}}{n\pi} V_{dc} \quad n = 1, 3, 5 \dots \quad (5-2)$$

where  $V_{dc}$  is the dc-link voltage.

### 5-2-1 Preheating

During the preheating interval, the inverter is deliberately operated at the resonance frequency of the starting-aid circuit,  $f_p$ .

$$f_p = \frac{1}{2\pi\sqrt{L_f C_f}} \quad (5-3)$$

The fluorescent lamp is regarded as an open circuit in this period. Since the capacitance of  $C_b$  in the load resonant circuit is large enough, the reactance is very small as compared with the impedance of the load resonant circuit at the preheating frequency. Neglecting  $C_b$ , the  $n$ -th harmonic of the filament current for preheating can be expressed as:

$$\overline{I_{pn}} = n\omega_p C_f \overline{V_n} / \left\{ 2r_f n\omega_p C_f (1 - n^2 \omega_p^2 L_r C_r) - j[n^4 \omega_p^4 L_r L_f C_r C_f - n^2 \omega_p^2 (L_r C_r + L_r C_f + L_f C_f) + 1] \right\} \quad (5-4)$$

where  $r_f$  is the resistance on each cathode filament and  $\omega_p = 2\pi f_p$ . In practice, only the fundamental component will be present in the preheating current while the harmonics are filtered by the load resonant circuit. To start the lamp rapidly, the preheating current may be as high as possible but should be limited by the rated filament current.

Before ignition, the fluorescent lamp is regarded as an open circuit. Therefore, the equivalent circuit in Figure 5-3 can be further simplified as shown in Figure 5-4 before a significant lamp current is built. As stated above, the reactance of  $C_b$  can be neglected and the series impedance  $Z_{sn}$  at  $n$ -th harmonic frequency can be expressed as:

$$\overrightarrow{Z_{sn}} = \frac{1}{jn\omega_o C_b} + jn\omega_o L_r \cong jn\omega_o L_r \quad (5-5)$$

where  $\omega_o = 2\pi f_o$ .

On the other hand, the parallel impedance  $Z_{pn}$  can be expressed as:

$$\overrightarrow{Z_{pn}} = \frac{1}{(2r_f n^2 \omega_o^2 C_r C_f)^2 + [n^3 \omega_o^3 L_f C_r C_f - n\omega_o (C_r + C_f)]^2} \cdot \left\{ \begin{aligned} &2r_f n^2 \omega_o^2 C_f^2 \\ &-j[n^5 \omega_o^5 L_f^2 C_r C_f^2 + n\omega_o (C_r + C_f) + n^3 \omega_o^3 (4r_f^2 C_r C_f^2 - 2L_f C_r C_f - L_f C_f^2)] \end{aligned} \right\} \quad (5-6)$$

Then, the  $n$ -th harmonic of the lamp voltage can be calculated as:

$$\overrightarrow{V_{lampn}} = \frac{\overrightarrow{Z_{pn}}}{\overrightarrow{Z_{sn}} + \overrightarrow{Z_{pn}}} \overrightarrow{V_n} \quad (5-7)$$

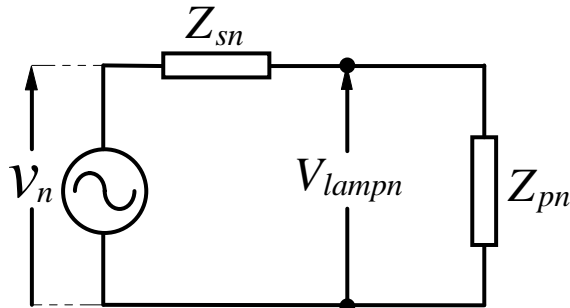


Figure 5-4 Simplified equivalent circuit

When operated at the preheating frequency, the impedance at the fundamental frequency of the starting-aid circuit is zero, and hence is the fundamental voltage on the lamp. However, the impedance is not zero but presents inductive at harmonic frequencies. This introduces harmonic voltages on the lamp. Fortunately, the harmonic components of the square-wave voltage are relatively low as compared with the fundamental component. Moreover, the harmonic voltages can be effectively attenuated by the capacitor  $C_r$ . This ensures that no glow current will occur during preheating.

### 5-2-2 Ignition

Assuming an open circuit for the lamp and neglecting the small harmonics, the rms value of the lamp voltage for ignition can be obtained from (5-7).

$$V_{ign} = \left| \frac{\overrightarrow{Z}_{p1}}{\overrightarrow{Z}_{s1} + \overrightarrow{Z}_{p1}} \right| \cdot V_1 \quad (5-8)$$

where  $V_1$  is the fundamental voltage of the inverter output. This equation indicates that the lamp voltage is nearly zero at  $f_p$  and becomes extremely high at the resonance frequency,  $f_{r,ign}$ , of the resonant circuit, as shown in Figure 5-5. Neglecting the filament resistance and  $C_b$ ,  $f_{r,ign}$  can be calculated as:

$$f_{r,ign} = \left[ \frac{(L_r C_r + L_r C_f + L_f C_f) \pm \sqrt{(L_r C_r + L_r C_f + L_f C_f)^2 - 4L_r L_f C_r C_f}}{4\pi^2 L_r L_f C_r C_f} \right]^{1/2} \quad (5-9)$$

There are two resonance frequencies,  $f_{r,ign+}$  and  $f_{r,ign-}$ , in this resonant circuit. For convenient control, one of the resonance frequencies is designed to lie between the preheating frequency and the steady-state frequency. This ensures that the operation will pass through the ignition stage when the inverter frequency is adjusted from the preheating frequency to the steady-state frequency. Consequently, a sufficiently high ignition voltage can be obtained.

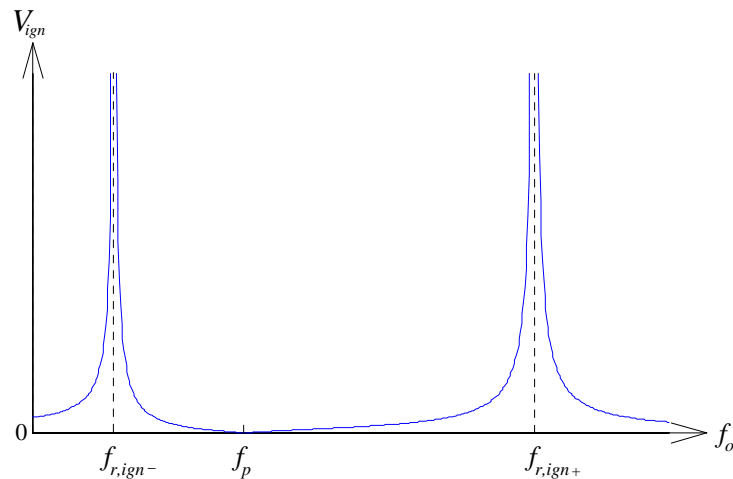


Figure 5-5 Ignition voltage during starting

### 5-2-3 Steady-State

At steady-state, the inverter is operated at the frequency,  $f_s$ , to output the required lamp power. At this frequency, the impedance of the starting-aid circuit,  $Z_{fs}$ , is no longer zero.

$$Z_{fs} = \omega_s L_f - \frac{1}{\omega_s C_f} \quad (5-10)$$

where  $\omega_s = 2\pi f_s$ . Then, the filament current flowing through the starting-aid circuit can be calculated as:

$$I_f = \frac{V_{lamp}}{(r_f^2 + Z_{fs}^2)^{1/2}} \quad (5-11)$$

Based on the equivalent circuit in Figure 5-3, the relationship between lamp voltage,  $V_{lamp}$ , and fundamental voltage,  $V_1$ , can be obtained as:

$$V_{lamp} = \left[ \left( 1 - \omega_s^2 L_r C_r + \frac{\omega_s L_r}{Z_{fs}} \right)^2 + \left( \frac{\omega_s L_r}{R_{lamp}} \right)^2 \right]^{-1/2} \cdot V_1 \quad (5-12)$$

The filament resistances are neglected in the equation since they are very small as compared with the equivalent resistance of the lamp arc and the impedance of the load resonant circuit at the steady-state frequency.

### 5-2-4 Design Equations

From (5-3) and (5-10), the component values in the starting-aid circuit can be determined.

$$L_f = \frac{\omega_s Z_{fs}}{\omega_s^2 - \omega_p^2} \quad (5-13)$$

$$C_f = \frac{\omega_s^2 - \omega_p^2}{\omega_s \omega_p^2 Z_{fs}} \quad (5-14)$$

Neglecting the small harmonics in (5-4),  $L_r$  can be written as:

$$L_r = \frac{4r_f^2 \omega_p C_r \pm \sqrt{(4r_f^2 \omega_p^2 C_r^2 + 1) \frac{V_1^2}{I_p^2} - 4r_f^2}}{\omega_p (4r_f^2 \omega_p^2 C_r^2 + 1)} \quad (5-15)$$

On the other hand,  $L_r$  can be obtained from (5-12) and can be expressed as a function of  $C_r$ .

$$L_r = \frac{R_{lamp} Z_{fs}}{\omega_s \left[ R_{lamp}^2 (Z_{fs} \omega_s C_r - 1)^2 + Z_{fs}^2 \right]} \left\{ R_{lamp} (Z_{fs} \omega_s C_r - 1) \pm \sqrt{R_{lamp}^2 (Z_{fs} \omega_s C_r - 1)^2 \left( \frac{V_1}{V_{lamp}} \right)^2 + Z_{fs}^2 \left[ \left( \frac{V_1}{V_{lamp}} \right)^2 - 1 \right]} \right\} \quad (5-16)$$

Theoretically, one can find two solutions of  $L_r$  for a given  $C_r$  in both (5-15) and (5-16). With the smaller ones, however, the load resonant circuit of the inverter will present capacitive. In order to reduce the switching-on losses of the active power switches, the load resonant circuit is preferred to be inductive for both preheating and steady-state operations. Excluding the undesired solutions, only one combination of  $L_r$  and  $C_r$  can be adopted.

### 5-3 Design Example

An electronic ballast for a Philip T8-36W rapid-start fluorescent lamp is illustrated as a design example. The ballast is supplied by the 110V, 60 Hz voltage source from ac mains. Table 5-1 lists the specifications and the rated values of the used lamp. It should be noted that the lamp power,  $P_{lamp}$ , is rated at 36W consisting of an arc power of 33.5W and a filament power of 2.5W. A power-factor-correction circuit is used in front of the inverter to provide a dc-link voltage of 200V.

Table 5-1 Lamp specifications (Philip T8-36W)

Rated lamp power, $P_{lamp}$	Arc power, $P_{arc}$	33.5W
	Filament power, $P_f$	2.5W
Rated lamp voltage, $V_{lamp}$		101.5V
Rated lamp current, $I_{lamp}$		0.33A
Equivalent lamp resistance, $R_{lamp}$		307.6 $\Omega$
Filament resistance (25°C), $r_f$		2 $\Omega$
Filament current at steady-state, $I_f$		0.25A

### Step 1. Preheating Current $I_p$

In order to retain a long lamp life, the cathode filament should be preheated to a proper emission temperature before ignition. According to the calculated results, the cathode filament will be preheated up to a proper emission temperature (about 1000K) when the hot filament resistance becomes around 4.5 times the cold filament resistance. Figure 5-6 shows the variation of the filament resistance of the rapid-start fluorescent lamp at different preheating currents. The dashed line of  $r_{f-p}$  represents the appropriate hot filament resistance approximately 4.5 times the cold filament resistance. The dashed lines of  $t_{min}$  and  $t_{max}$  are the acceptable minimum and maximum preheating time for rapid-start operation, respectively. As indicated in this figure, the cathode filament can be heated up to the proper emission temperature at the acceptable preheating time when the preheating current is between 0.75A and 0.95A. The higher the preheating current is, the less the required preheating time is. However, a higher preheating current will result in higher current stress on the circuit components. In this design, the preheating current is chosen to be 0.75A.

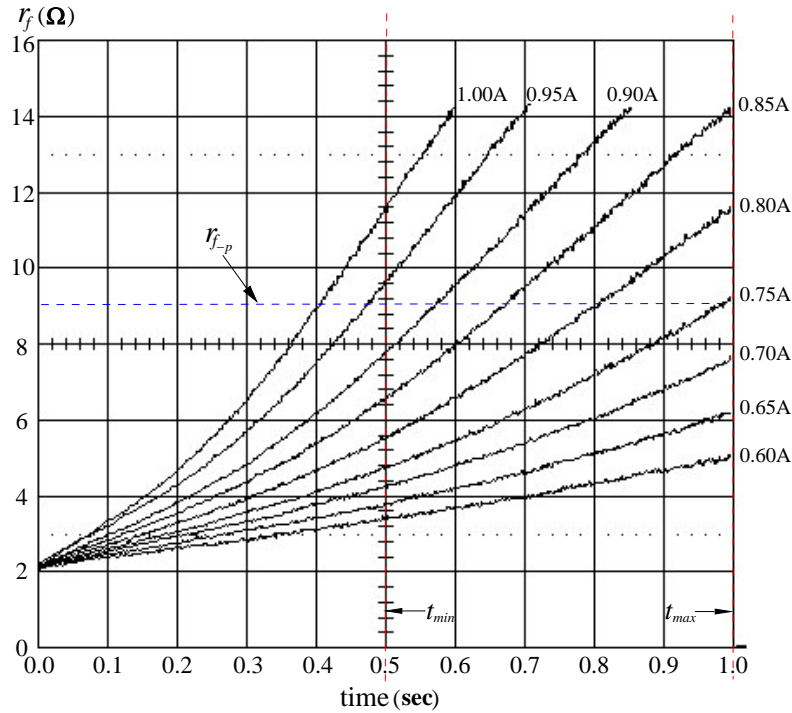


Figure 5-6 Variation of the filament resistance

**Step 2. Determine preheating and steady-state frequencies,  $f_p$  and  $f_s$**

In order to ensure that one of the resonance frequencies of the resonant circuit will fall between the preheating frequency and the steady-state frequency and the lamp can be stably operated, the preheating frequency  $f_p$  and steady-state frequency  $f_s$  must be carefully chosen.

● *Resonance Frequency*

Figure 5-7 shows the variations of the resonance frequencies of the load resonant circuit with the ratio of  $f_p$  to  $f_s$ . The dashed lines of  $f_p/f_s$  and  $f_s/f_s$  represent the preheating frequency and the steady-state frequency, respectively. From this figure, it can be found that neither of the resonance frequencies of the resonant circuit will lie between the preheating frequency and the steady-state frequency when the ratio of  $f_p$  to  $f_s$  is higher than 1. Once no resonance frequency falls between the preheating frequency and the steady-state operation frequency, a more complicated control circuit will be required. Since the operation of the ballast circuit may not pass through the ignition stage when the inverter frequency is

adjusted from the preheating frequency to the steady-state frequency. At this condition, the inverter frequency must first be adjusted from the preheating frequency toward the resonance frequency which does not lie between the preheating frequency and the steady-state frequency to generate a sufficiently high lamp voltage for ignition. After the lamp is successfully ignited, the inverter frequency is then adjusted to the steady-state frequency. Therefore, in order to ensure that one of the resonance frequencies will be in the range between the preheating frequency and the steady-state frequency, the ratio of  $f_p$  to  $f_s$  should be chosen to be lower than 1.

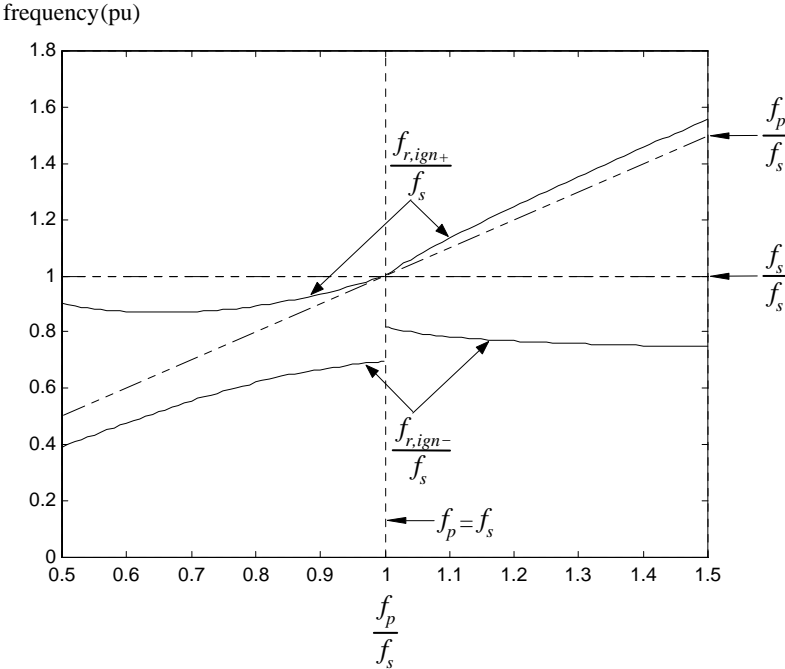


Figure 5-7 Variations of the resonance frequencies

● *Stability*

In order to ensure that the lamp can be stably operated, the following requirement must be satisfied [75].

$$Z_{eq} \geq R_{lamp} \tag{5-17}$$

where



$$Z_{eq} = \left| \frac{\overrightarrow{Z_{s1}} \cdot \overrightarrow{Z_{p1}}}{\overrightarrow{Z_{s1}} + \overrightarrow{Z_{p1}}} \right| \quad (5-18)$$

Figure 5-8 illustrates the variation of  $Z_{eq}$  with the ratio of  $f_p$  to  $f_s$ . The dashed line stands for the boundary between stable operation and unstable operation. As indicated in this figure, the lamp can be stably operated when the ratio of  $f_p$  to  $f_s$  is lower than 0.62. To avoid the non-ideal property of the used components resulting in unstable operation, the ratio of  $f_p$  to  $f_s$  is chosen to be 0.6.

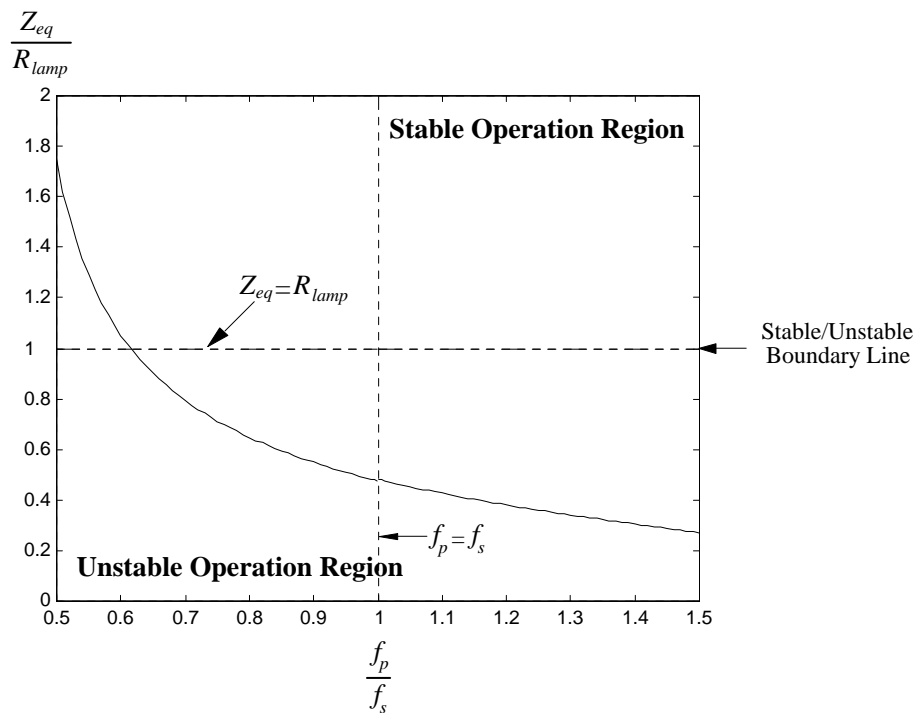


Figure 5-8 Variation of  $Z_{eq}$

After the ratio of  $f_p$  to  $f_s$  is chosen,  $f_p$  and  $f_s$  can be determined. Theoretically, one can find infinite combinations of  $f_p$  and  $f_s$  for the chosen ratio of  $f_p$  to  $f_s$ . It is preferable to operate the ballast at a frequency higher than the acoustic frequency. Operation at a higher frequency is advantageous of using smaller magnetic components. However, a higher operation frequency may cause more switching losses in active power switches, resulting in a lower efficiency. In this design, the steady-state frequency  $f_s$  is chosen to be 40kHz and then the preheating frequency  $f_p$  can be obtained to be 24kHz.

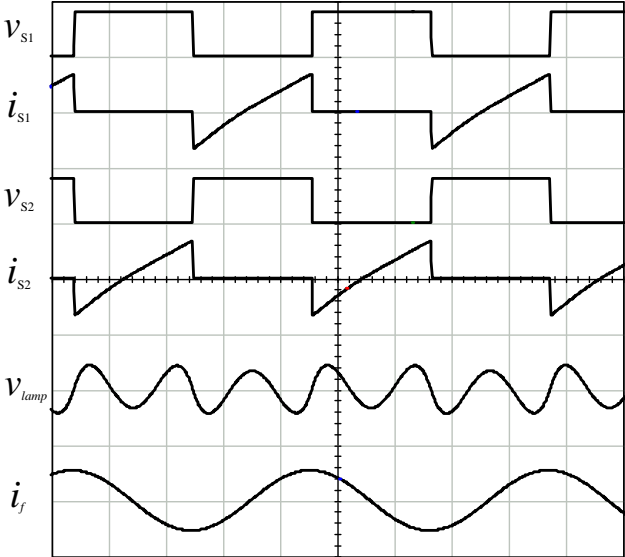
**Step 3. Determine  $L_r, C_r, L_f$  and  $C_f$**

Once the preheating current, the preheating frequency and the steady-state frequency are determined,  $L_r, C_r, L_f$  and  $C_f$  can be calculated in accordance with the above design equations. The calculated results are shown as follows:

$$L_r = 0.80\text{mH}, C_r = 41.3\text{nF}, L_f = 2.58\text{mH} \text{ and } C_f = 17.0\text{nF}.$$

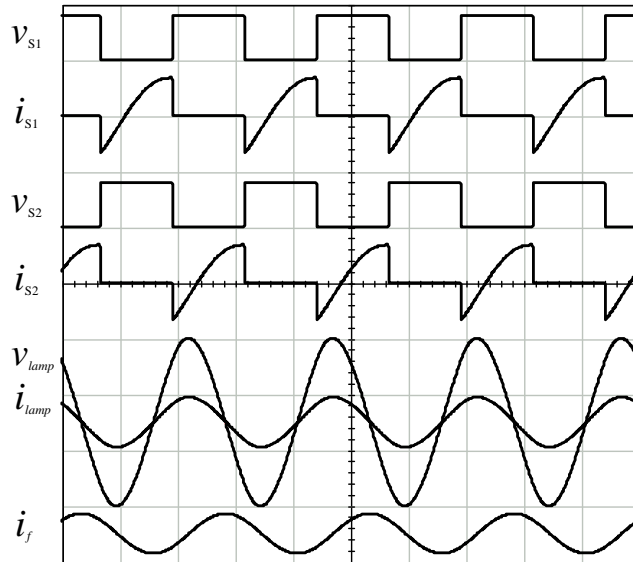
**5-4 Simulation Results**

The circuit parameters obtained from the above section are applied in an IsSpice model of the proposed electronic ballast to simulate the operation of the circuit. Figure 5-9 shows the simulation waveforms of the voltage and current of the active power switches and the lamp during the preheating interval. Figure 5-10 shows the waveforms of the voltage and current of the active power switches and the lamp at the steady-state operation. The simulation results are the same as the theoretical predictions.



$v_{S1}, v_{S2}:250\text{V/div}; i_{S1}, i_{S2}:2\text{A/div}; v_{lamp}:20\text{V/div}; i_f:2\text{A/div}; \text{time}:10\mu\text{s/div}$

Figure 5-9 Waveforms of  $v_{S1}, i_{S1}, v_{S2}, i_{S2}, v_{lamp}$  and  $i_f$  during preheating



$v_{S1}, v_{S2}$ :250V/div;  $i_{S1}, i_{S2}$ :2A/div;  $v_{lamp}$ :100V/div;  $i_{lamp}, i_f$ :1A/div; time:10 $\mu$ s/div

Figure 5-10 Waveforms of  $v_{S1}$ ,  $i_{S1}$ ,  $v_{S2}$ ,  $i_{S2}$ ,  $v_{lamp}$ ,  $i_{lamp}$  and  $i_f$  at steady-state

## 5-5 Experimental Results

Table 5-2 Designed circuit parameters

Steady-state operation frequency, $f_s$	40kHz
Preheating frequency, $f_p$	24kHz
Resonance frequency of load resonant circuit, $f_{r,ign}$	35kHz
Dc-blocking capacitance, $C_b$	2.2 $\mu$ F
Resonant inductance, $L_r$	0.80mH
Resonant capacitance, $C_r$	41.3nF
Inductance in starting-aid circuit, $L_f$	2.58mH
Capacitance in starting-aid circuit, $C_f$	17.0nF

An electronic ballast was built to operate a rapid-start fluorescent lamp of Philip T8-36W in order to test and verify the theoretical predictions. The circuit parameters are listed in Table 5-2. The resonance frequency of the starting-aid circuit is set at 24kHz and the steady-state frequency for the rated lamp power is 40kHz. One of the resonance frequencies of the resonant circuit is designed to be 35kHz, which lies between the preheating frequency and the steady-state frequency.

At steady-state, the resonance frequency of the load resonant circuit with the lamp becomes 21.2kHz. The variations of the operation frequency of the electronic ballast, the lamp voltage and the resonance frequency of the load resonant circuit are illustrated in Figure 5-11. As the ballast is started, the operation frequency begins from the preheating frequency and then goes through the ignition frequency, and finally operates at the steady-state frequency.

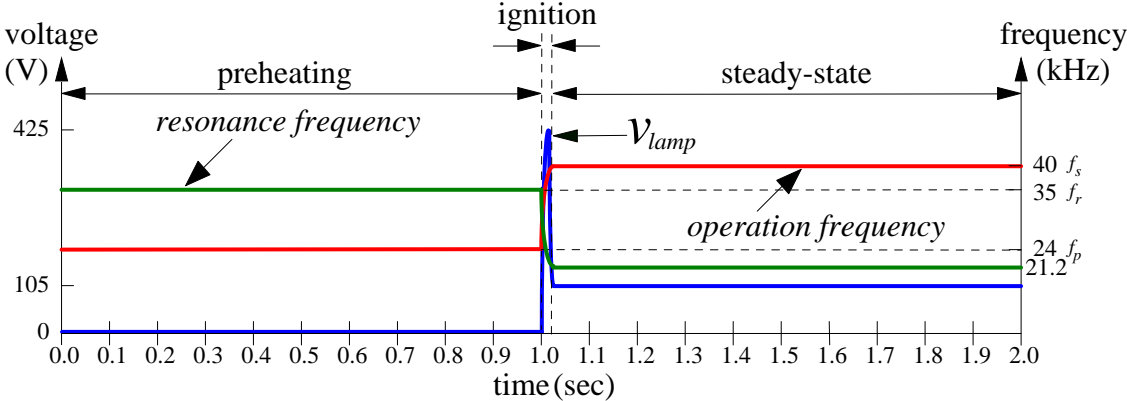
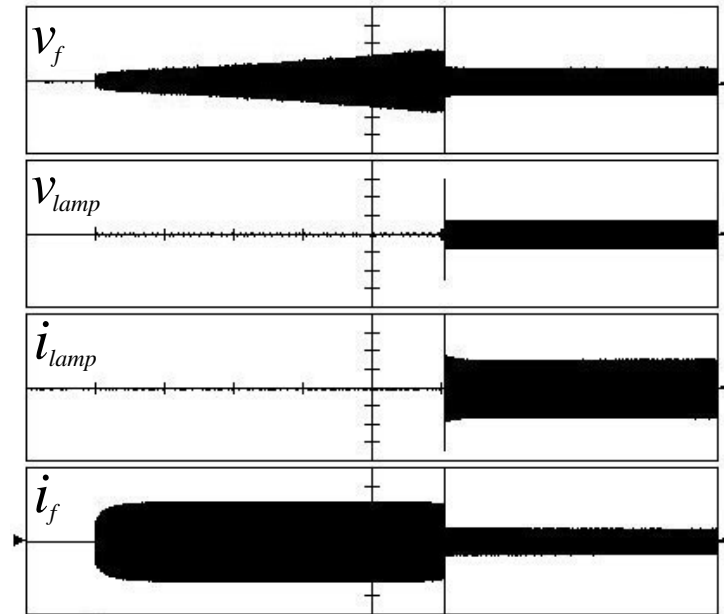


Figure 5-11 Variations of the operation frequency, lamp voltage and resonance frequency

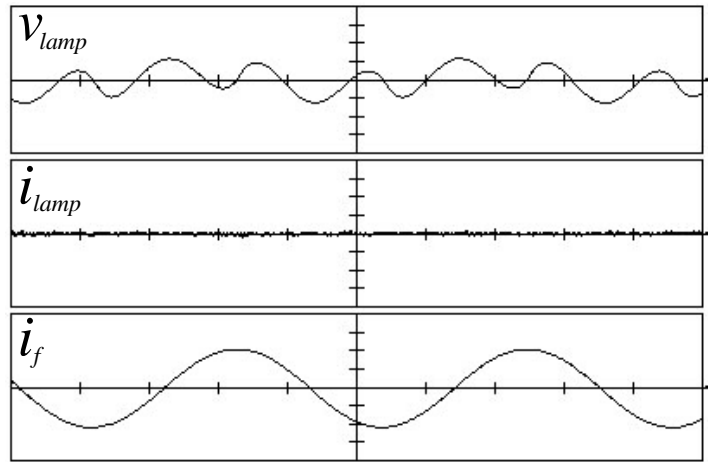
Figure 5-12 shows the starting transient waveforms. After switched on, the filament current increases rapidly up to a constant value of about 0.75A. With this preheating current, the filament voltage increases gradually up to a peak value of 9V. This means that the ratio of the hot resistance of the filaments to their cold resistance has reached about 4.5. The preheating interval lasts for 1 second. Once the cathode filaments have reached the appropriate emission temperature, the operation frequency is increased rapidly to the rated frequency. As the operation frequency increasing, the lamp voltage is increased up for ignition. The lamp is successfully ignited at about 425V. Then, a stable lamp arc current flows. The filament current decreases to about 0.25A to maintain the cathode filaments at the proper emission temperature.



$V_f$ :5V/div;  $V_{lamp}$ :200V/div;  $i_{lamp}$ :0.2A/div;  $i_f$ :0.5A/div; time:0.2s/div

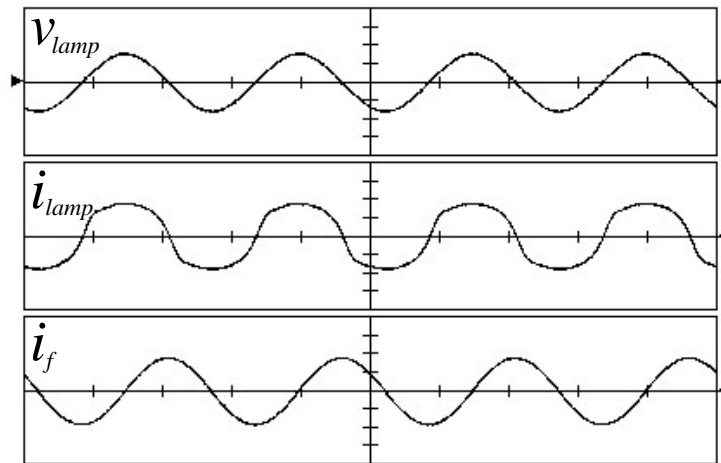
Figure 5-12 Starting transient waveforms

During the preheating interval, only a very small voltage is found on the lamp, which consists dominantly of the third harmonic. The peak value of this small voltage is less than 12V. Such a small voltage results in no glow current as shown in Figure 5-13. Figure 5-14 shows the measured lamp voltage and current waveforms at the steady-state operation. The lamp current is nearly sinusoidal with a crest factor below 1.55. Figure 5-15 shows the input voltage and current waveforms of the PFC circuit. The input current is sinusoidal and in phase with the input voltage. The power factor is 0.99 and the THD is 8%. Figures 5-16 and 5-17 show the voltage and current waveforms of the active power switches during the preheating interval and at the steady-state operation. These waveforms indicate that the ZVS operation for the active power switches of the inverter can be always retained. The total circuit efficiency including the PFC circuit and the resonant inverter is 81% when the lamp is operated at the rated power.



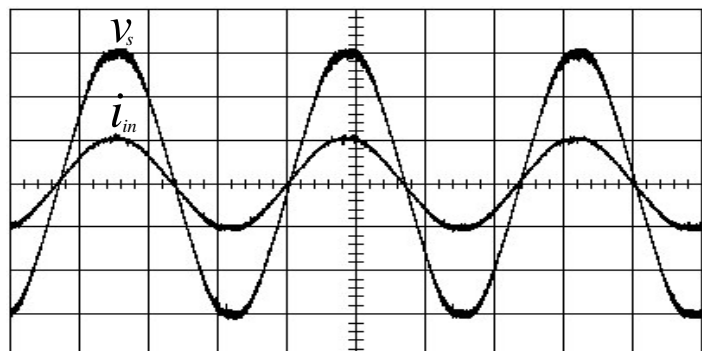
$V_{lamp}$ :10V/div;  $i_{lamp}$ :20mA/div;  $i_f$ :0.5A/div; time:10 $\mu$ s/div

Figure 5-13 Lamp voltage and current waveforms during preheating



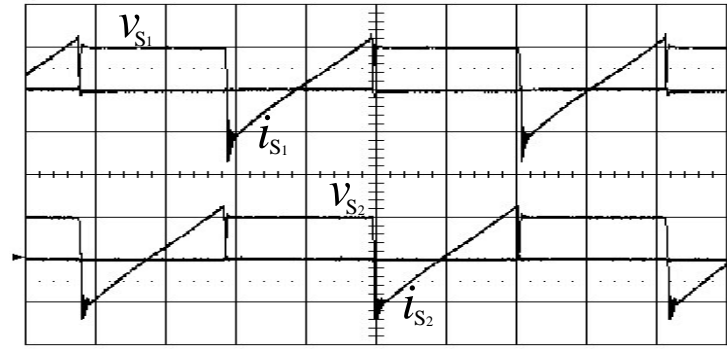
voltage:100V/div; current:0.2A/div; time:10 $\mu$ s/div

Figure 5-14 Lamp voltage and current waveforms at steady-state



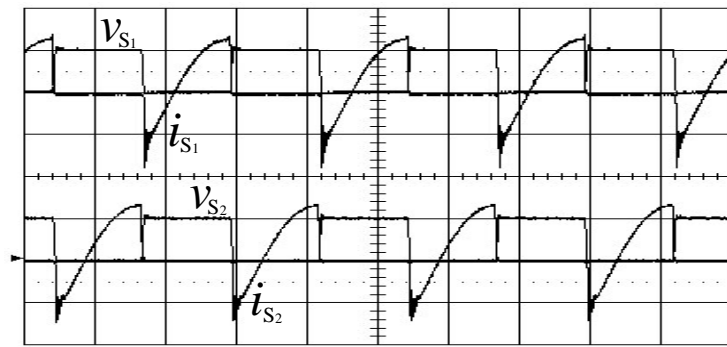
voltage:50V/div; current:0.5A/div; time:5ms/div

Figure 5-15 Input voltage and current waveforms



voltage:200V/div; current:1A/div; time:10 $\mu$ s/div

Figure 5-16 Switching voltage and current waveforms during preheating



voltage:200V/div; current:1A/div; time:10 $\mu$ s/div

Figure 5-17 Switching voltage and current waveforms at steady-state

## Chapter 6 Conclusions and Discussions

In this dissertation, three programmed rapid-start control schemes have been proposed for the electronic ballast with a half-bridge series-resonant inverter. With the proposed programmed rapid-start control schemes, the glow current in the rapid-start fluorescent lamp during preheating can be completely eliminated and the lamp can be ignited under an adequate filament temperature, resulting in minimum damage to the cathode filaments during starting. This would greatly increase the possible number of switching cycle without adverse effects on the service life of the fluorescent lamp. The laboratory circuits are fabricated for the T8-36W rapid-start fluorescent lamps. Satisfied performances have been demonstrated by the experimental results.

As compared with the conventional circuit configurations, the extra expenses of the proposed programmed rapid-start control schemes are small. That is, for the programmed rapid-start control scheme with an ac switch, a solid-state ac switch with its simple control circuit is added; for the programmed rapid-start control scheme with inductively coupled filament-heating circuits, only two auxiliary windings and few diodes are needed; while for the programmed frequency control scheme with a series-resonant energy-tank, only few small reactive components are attached. Thus, these proposed ballast circuits are simpler and more cost-effective than the other solutions. Additionally, for these proposed schemes, the operation principles of the inverter under steady-state are exactly inherited from those of the conventional ones. Therefore, the power factor correction circuit can be easily interposed into the circuit just as in the conventional circuits. Besides, the ZVS operation on the active power switches can be retained by carefully designing the circuit parameters and therefore high power efficiency can be achieved.

For all those proposed schemes, the filament current or voltage under starting and steady-state can be explicitly specified. For the proposed programmed rapid-start electronic ballast with an ac switch, the filament current for both



preheating and steady-state operations can be designated by properly choosing the component values of the inverter and the corresponding operation frequencies and duty-ratios. For the programmed rapid-start electronic ballast with inductively coupled filament-heating circuits, the filament voltage for both preheating and steady-state operations can be designated by properly choosing the turn-ratio of the transformer of the buck-boost converter and the corresponding duty-ratios. By properly choosing the components values of the inverter and the corresponding operation frequencies, the filament current of the programmed rapid-start electronic ballast with a series-resonant energy-tank for both preheating and steady-state operations can be designated.

Among these proposed programmed rapid-start control schemes, the control schemes proposed in chapters 3 and 5 can be used in both single-stage or two-stage high-power-factor electronic ballast and electronic ballast without the active PFC circuit. However, the circuit parameters and operation frequencies of the programmed rapid-start electronic ballast with a series-resonant energy-tank must be chosen carefully. Otherwise, the high harmonic voltages may be introduced on the lamp during the preheating interval, resulting in glow discharging. As compared with the control scheme with a series-resonant energy-tank, the control schemes proposed in chapters 3 and 4 can maintain the lamp voltage at zero during the preheating stage. However, the control scheme with an ac switch requires an additional control circuit for driving the solid-state ac switch. The programmed rapid-start control scheme with inductively coupled filament-heating circuits is not possible to be used in the electronic ballast without the active PFC circuit, since it requires two auxiliary windings added on the inductor of the active PFC circuit for providing a filament-heating voltage. In addition, this control scheme may also be unsuitable for the two-stage high-power-factor electronic ballast, where the dc-link voltage is regulated by adjusting the duty-ratio of the active power switch of the front-stage PFC circuit. The PFC circuit of the two-stage high-power-factor electronic ballast is responsible for the function of pre-regulating the dc-link

voltage. Since the dc-link voltage is regulated by adjusting the duty-ratio of the active power switch of the PFC circuit, the duty-ratio of the PFC circuit during the preheating interval might be much smaller than that at the steady-state operation. That is because the power consumed by the lamp during preheating is much smaller than that at steady-state. This will cause the preheating voltage provided from the auxiliary windings which are coupled to the PFC circuit becomes very low and thus the lamp filaments may not be heated up to the proper emission temperature before ignition. Finally, the characteristics of the above three programmed rapid-start control schemes are summarized in Table 6-1.

Table 6-1 Comparison of three control schemes

	First Control Scheme	Second Control Scheme	Third Control Scheme
Additional Components	A solid-state ac switch with its control circuit	Two auxiliary windings and few diodes	A small inductor and a small capacitor
Lamp Voltage during Preheating	Zero	Zero	Small harmonic voltages
Glow current during Preheating	Zero	Zero	Zero
Requirement of Active PFC Circuit	Not essential	Essential	Not essential
Cost	High	Low	Medium
Stability	Good	Good	Good

The programmed rapid-start control schemes proposed in this dissertation can provide the adequate filament preheating before ignition and efficaciously eliminate the glow current during preheating. However, the treatment presented in this dissertation is by no means exhaustive; there are some issues left should be further investigated in detail.

- 1). The investigation of this dissertation only focuses on the electronic ballast with constant power operation. However, the proposed control schemes can

also be used in the electronic ballast with dimming operation. For the programmed rapid-start electronic ballasts proposed in chapter 3 and 5, the dimming operation can be achieved by simply adjusting the operation frequency or duty-ratio. For the programmed rapid-start electronic ballast with inductively coupled filament-heating circuits, it is recommended to use the method of adjusting the operation frequency to fulfill the dimming operation.

- 2). In this dissertation, the circuit parameters of the ballast circuit are designed in accordance with a specific filament voltage or current at steady-state. However, the magnitude of the filament voltage or current at steady-state would affect the operation life of the fluorescent lamp. The optimal filament voltage or current at steady-state is left to be determined through further lamp life test.

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