Effects of Parasitic Parameters on EMI Filter Performance

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Abstract—Two filters with identical topologies and components can exhibit a significant difference in filter effectiveness when the layouts are different. The goal of this paper is to examine the effects of parasitic couplings among the filter components and the coupling between the filter components and the ground plane of printed circuit board (PCB). Specifically, six different coupling effects are investigated: the couplings between the inductor and capacitors, a filter inductor and trace loops, two filter inductors, two capacitor parasitic inductances, a filter inductor and ground plane, and two trace loops. Experiments were performed, theories were developed to investigate and characterize these parasitic couplings.

Index Terms—Capacitive coupling, EMI filter, inductive coupling, parasitic coupling, transfer gain.

I. INTRODUCTION

T IS A well-known fact that parasitic elements in the electromagnetic interference (EMI) filter can play an important role in filter performance. Most engineers are well aware of the self-resonant effects of the parasitic winding capacitance of an inductor and the parasitic inductance of a capacitor on filter performance. These effects, while annoying, are still relatively understandable and manageable because they can be measured with an impedance analyzer. The other category of parasitic effects, those related to layout and packaging, are more difficult to decipher. Although this problem is distributed in nature and can theoretically be overcome by solving field problems, this approach is impractical. In the investigation leading to this paper, attempts were made to understand layout-related EMI filter problems. It will be shown that two filters with identical topologies and components can exhibit a significant difference in filter effectiveness when their layouts are different. The investigation will be done from the circuit standpoint so that the resultant conclusions are applicable to many practical problems. Specifically, the impacts of six coupling effects on filter performances are considered. They include the inductive couplings between the following: inductors and printed circuit board (PCB) layout, inductors and capacitors, two capacitors, ground plane and inductors, in and out trace loops and two in-

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 $L_{dm}: 18.91 \mu H$ $2 \times C_{em}:$ 2200 pF $C_{2}:$ $C_$

Fig. 1. Circuit of the investigated filter.



Fig. 2. PCB layout of the filter: (a) bottom side and (b) top side.

ductors. The effects of these mutual couplings on filter attenuation are identified and quantified in this paper.

II. PARASITIC COUPLINGS EXISTING IN AN EMI FILTER

Fig. 1 shows a commonly used EMI filter for AC/DC converters. The PCB layout is shown in Fig. 2, where C_1 and C_2 are differential-mode (DM) capacitors, $C_{\rm cm}$ is common-mode (CM) capacitor which is not investigated here. A CM inductor $L_{\rm cm}$ is used in the filter. The leakage inductance of $L_{\rm cm}$, which extends to the air and couples other components, is usually used as DM inductance $L_{\rm dm}$. Two BNC connectors are attached to the filter for measurement. Fig. 3 shows the equivalent circuit for the DM noise of the circuit in Fig. 1. Also indicated in Fig. 3



Fig. 3. DM filter model. (L_{p1}, L_{p2}) : trace-loop inductance; ESL, ESR, C: capacitor model parameters; L_{dm} , EPC, EPR: DM inductor model parameters; M_1, M_2 : mutual inductance between L_{dm} and capacitor branches; M_3 : mutual inductance between two capacitor branches; M_4, M_5 : mutual inductance between L_{dm} and trace loops; M_6 : mutual inductance between in and out trace loops; M_7 : equivalent mutual inductance between ground plane and L_{dm} ; C_p : mutual capacitance between in and out traces).

are eight mutual couplings M_1 through M_7 and C_p . The definitions of these mutual couplings are given in Fig. 3.

Two kinds of parasitic couplings exist in the filter: inductive coupling and capacitive coupling. Inductive couplings tend to amplify their effects on the branch that has smaller current between two branches with a large current difference. So the inductive couplings, such as those between capacitor branches and the inductor branch, between two capacitor branches, between in and out trace loops, and between inductor and in and out trace loops are the critical parasitic couplings. At the same time, the capacitive couplings tend to amplify their effects on the junction that has lower potential between two junctions with a large potential difference. So the capacitive coupling between in and out traces is a critical coupling for the performance of EMI filters.

In Fig. 3, M_1-M_7 are inductive couplings. M_1 , M_2 , and M_3 affect capacitor branches directly. M_4 and M_5 affect capacitor branches through branch currents. M_6 is the coupling between in and out trace loops. M_7 is the equivalent inductance reduction that occurs due to magnetic flux cancellation between DM inductor and the eddy current in the ground plane. C_p is the capacitive coupling between in and out traces. The capacitive coupling happens both directly and through the ground plane between two traces. This capacitive coupling is equivalent to that of a capacitor C_p in parallel with the inductor.

III. IDENTIFYING, ANALYZING AND EXTRACTING PARASITIC COUPLINGS

A. Inductive Couplings Existing Between DM Inductor L_{dm} and Capacitor Branches, Between DM Inductor L_{dm} and In and Out Trace Loops (M_1 , M_2 and M_4 , M_5 in Fig. 3)

These couplings have similar effects on the capacitor branches. The equivalent circuits for the couplings between inductor and one of the in and out trace loops are shown in Fig. 4. For another trace loop, the equivalent circuit is same. The equivalent circuits for the couplings between inductor and one of the capacitor branches are shown in Fig. 5. For another capacitor branch, the equivalent circuit is also same.



Fig. 4. Inductive coupling between the inductor and the trace loop.



Fig. 5. Inductive coupling between the inductor and the capacitor branch.

Based on the equivalent coupling polarities on the capacitor branch, the positive and negative couplings are defined in Figs. 4 and 5. Considering the different coupling polarities, the two circuits on the top are equivalent to the two circuits on the bottom respectively. In the circuits on the bottom, M is the equivalent inductance on the capacitor branches caused by the couplings of the inductor. If the equivalent inductance on the capacitor branch is positive, the coupling is positive coupling; otherwise it is negative coupling. If these two kinds of couplings exist at the same time, the total effect determines the coupling polarity.

The capacitor branch impedance of the positive coupling is

$$Z_1 = \text{ESR} + j \left[\omega(\text{ESL} + M) - \frac{1}{\omega C} \right].$$
 (1)

The resonant frequency f_1 of this capacitor branch is therefore lower than that of the capacitor as

$$f_1 = \frac{1}{2\pi\sqrt{(\text{ESL}+M)C}}.$$
(2)



Fig. 6. Calculated impedances for a capacitor branch with different mutual inductances.

The capacitor branch impedance of the negative coupling is

$$Z_2 = \text{ESR} + j \left[\omega(\text{ESL} - M) - \frac{1}{\omega C} \right].$$
(3)

If equivalent series inductance (ESL) of the capacitor is larger than mutual inductance M, then the resonant frequency f_2 of this capacitor branch is higher than that of the capacitor, such that

$$f_2 = \frac{1}{2\pi\sqrt{(\text{ESL} - M)C}}.$$
(4)

In the case when the ESL is smaller than M, the minimum impedance instead of resonance happens at frequency f_3 ; thus

$$f_3 = \frac{1}{2\pi\sqrt{(M - \text{ESL})C}}.$$
(5)

The f_3 is different from f_1 and f_2 because no phase polarity change occurred at f_3 . On the contrary, f_1 and f_2 are resonant frequencies with a phase polarity change.

And for this case, (3) can be rewritten as

$$Z_2 = \text{ESR} + \frac{1}{j\omega \left[\frac{C}{1+\omega^2 C(M-\text{ESL})}\right]} = \text{ESR} + \frac{1}{j\omega C_{eq}}.$$
 (6)

From (6), the capacitor branch can be equivalent to a capacitor C_{eq} whose capacitance is the function of frequencies. After f_3 , as the frequency increases, C_{eq} becomes smaller.

After f_1 , f_2 or f_3 , the impedance of the capacitor branch becomes higher as frequency increases. Because the small impedance of the capacitor branch benefits the filter performance, the filter performance would become worse after f_1 , f_2 or f_3 . Any couplings affecting the impedance of the capacitor branch would affect the performance of the filter. When the frequency is much higher than the series resonant frequency of the capacitor branch, the impedances are

$$|Z_1| = |\omega(\text{ESL} + M)|, \text{ and } |Z_2| = |\omega(\text{ESL} - M)|.$$
(7)

From (7), $|Z_2|$ is smaller than $|Z_1|$. If M is equal to ESL, $|Z_2|$ is zero and filter has the best performance in the high frequency (HF) range, while if M is much larger than ESL, $|Z_1|$ is almost



Fig. 7. Different winding structures have different coupling polarities.

TABLE I EXTRACTED MUTUAL INDUCTANCES

Matural in Anaton on	Detruces the inductor	Detresen the inductor
Mutual inductance	Between the inductor	Between the inductor
	and in/out trace loops	and capacitor branches
Winding direction1	18.7nH	89.3nH
(negative coupling)		
Winding direction2	10.3nH	83.3nH
(positive coupling)		
Proposed arrangement	1.8nH	7.5nH

equal to $|Z_2|$ and filters have almost the same performance in the HF range.

The analysis is demonstrated in Fig. 6. In Fig. 6, four computed impedance curves for a capacitor branch are shown. These four cases are: positive coupling, zero coupling and two negative couplings. The capacitance is 0.47 μ F. ESL is 14 nH and equivalent series resistance (ESR) is 13 m Ω . The mutual inductance is 89 nH for positive coupling, 89 nH, and 10 nH for two negative couplings.

In fact, winding directions and arrangement greatly affect the couplings. The distribution of leakage magnetic flux (DM magnetic flux) of a CM inductor is described in [2]. In Fig. 7, winding direction 1 and winding direction 2 have opposite magnetic flux directions. Therefore, the coupling polarities between these two inductors and capacitors are opposite. So are the couplings between the inductors and the trace loops. For the proposed winding arrangement in Fig. 7, two windings are proposed to be rotated by 90°, and they are symmetrical to the capacitors and trace loops. Because the net magnetic flux passing through the capacitors and trace loops is greatly reduced, the couplings are much smaller than those in the previous two winding structures. In the experiments, the self-parasitic parameters of the capacitor, the inductor and the trace loops are extracted using a precision impedance analyzer Agilent 4294 A. The mutual inductances are extracted through scattering parameters (S-parameters) using a network analyzer HP 4195 A. The extracted mutual inductances are shown in Table I.

The equivalent circuits for the left half filter including the self and mutual inductances are shown in Fig. 8 (the filter is symmetrical so the equivalent circuits for the right half is same as that of the left half). The total effects on the capacitor branch are determined by the sum of two kinds of couplings on the capacitor branch. For the investigated EMI filter, when winding direction 1 is used, the equivalent inductance on the capacitor branch caused by the coupling between the inductor and the capacitor branch is negative, which is opposite to that caused by the coupling between the inductor and the trace loop. Because



Fig. 8. Equivalent circuits including self and mutual inductances.



Fig. 9. Measured transfer gains.

the former is larger than the latter, the total equivalent inductance on the capacitor branch is negative. For the winding direction 2 and the proposed winding arrangement, the same principle applies.

The measured transfer gains (S_{21} of S-parameters) of the whole filter are compared in Fig. 9. The frequency range is from 150 kHz to 30 MHz (EMI standard: EN55022 class B for ac/dc converters). From Table I and Figs. 8 and 9 the transfer gain with positive mutual inductance has the best performance in the low frequency (LF) range, because the series resonant frequency of the capacitor branch is reduced. The transfer gain with negative mutual inductance is only slightly better than the positive case in the HF range because the mutual inductance is much larger than the ESL, just as predicted by (7). The proposed winding arrangement offers good performance in the entire frequency range because the couplings are reduced.

B. Inductive Couplings Existing Between Capacitor Branches $(M_3 \text{ in Fig. } 3)$

The inductive coupling between two capacitor branches is generally neglected; however, because there is only one inductor between them on the PCB, the two capacitors are usually close to each other. Because of the large current difference on these two branches, the inductive coupling between them plays a very important role in filter performance. The typical circuit for this effect is shown in Fig. 10. In Fig. 10, the voltage on ESL_1 is

$$\dot{U}_1 = j\omega\dot{I}_1 \bullet \mathrm{ESL}_1 + j\omega\dot{I}_2 \bullet M_3 = j\omega\dot{I}_1 \left(\mathrm{ESL}_1 + \frac{\dot{I}_2}{\dot{I}_1}M_3\right).$$
(8)



Fig. 10. Equivalent circuit for the coupling between two capacitor branches.

The I_1 is usually very small compared with I_2 because most of current is bypassed by the C_2 branch (I_2/I_1 is 40 dB/dec after its corner frequency). When the following condition is satisfied:

$$\frac{I_2}{I_1} > \frac{\text{ESL}_1}{M_3} \tag{9}$$

the mutual inductance would have a much greater effect on the capacitor branch, and thus the filter performance, than the ESL would. When I_2/I_1 is 1,000, a coupling coefficient of 0.1% between the two capacitor branches would have obvious effects on the filter performance. In order to demonstrate this effect, experiments are carried out. In the experiment, in order to prevent the influence of other couplings, the in and out trace loops are kept very small and the inductor is mounted on the bottom side of the PCB. The longer distance and two-layer ground plane as a shield can keep the coupling between the inductor and two capacitor branches very small. Three cases are evaluated in the experiment. In the first case, the filter is measured without any actions taken; in the second case, the two capacitors are shielded with 3 mil nickel ($\mu_r = 600$); and in the third case, the two capacitors are shielded with 3 mil nickel and a magnetic shield is placed between them. The experiments are shown in Fig. 11 and the experimental results are shown in Fig. 12.

As shown in Fig. 12, after the capacitors are shielded with 3-mil nickel, there is a 6-dB improvement from 1 MHz to 30 MHz. After a magnetic plate was placed between the two capacitors, the improvement increased by 16 dB. It is obvious that the inductive coupling between the two capacitor branches has a significant impact on filter performance.



Fig. 11. Three cases in the experiment.



Fig. 12. Comparison of transfer gains.

The mutual inductance between the two capacitor branches is extracted using S-parameters, and the measurement is carried out by a HP 4195 A network analyzer. The extracted mutual inductance between the two capacitor branches is 0.45 nH. The corresponding coupling coefficient is 3%. That means a 30 dB difference between currents on two capacitor branches would have significant effects.

C. Capacitive Coupling and the Effects of Ground Plane (M_7 and C_P in Fig. 3)

In Fig. 13(a), C_{p1} and C_{p2} are the parasitic capacitance between the in and out traces. They are composed of two parts. One part is the direct capacitive couplings between traces: C3 in Fig. 13(b). Another part is capacitive couplings through the ground plane: C1, C2, C4 and C5 in Fig. 13(b). For capacitive couplings through the ground plane, each trace has parasitic capacitors with the ground plane; so the parasitic capacitors between in and out traces are effectively in series through the ground plane. This series capacitance can be larger than that resulting from direct coupling because of the smaller distance between the ground plane and the traces. In Fig. 13(b), the ground plane on the topside of the PCB actually offers a shortcut for the HF noise. The HF noise can reach the output through the parasitic capacitors between the traces and the ground planes instead of coming through the inductor. This effect is modeled by a capacitor C_p in parallel with the inductor. Another effect of the ground plane is that it reduces the inductance of the DM inductor because of the eddy current induced in the ground plane. The flux of the DM inductor would induce the eddy current in the ground plane. On the other hand, the opposite flux generated by the eddy current would cancel part of the flux of the DM inductor. As a result, the net flux is reduced, and the DM inductance is thus decreased.

Experiments are carried out using an Agilent 4294 A precision impedance analyzer for an inductor. In the first case, the inductor is measured separately; in the second case the inductor is



Fig. 13. Capacitive couplings between the in and out traces: (a) Equivalent capacitors on the bottom side. (b) Cross section view of the capacitive couplings.



Fig. 14. Comparison of the measured inductor impedances.

 TABLE II

 EXTRACTED INDUCTANCE AND PARASITIC CAPACITANCE

	Single	Mounted on the PCB	Mounted on the PCB
	inductor	without the ground plane	with the ground plane
Resonant frequency	14.14 MHz	12.4 MHz	10.5 MHz
Inductance	18.91 µH	18.91 µH	18.91 µH
Parasitic capacitance	6.7 pF	8.7 pF	12.5 pF

mounted on a PCB without the ground plane; and in the last case, the inductor is mounted on a PCB with the ground plane. The measured impedances of these three cases are shown in Fig. 14. In Fig. 14, for the single inductor case, the impedance peak due to the parallel resonance caused by the inductance and the parasitic capacitance of the inductor is at 14.14 MHz. When the inductor is mounted on the PCB without the ground plane, the resonant frequency decreases to 12.4 MHz. It further decreases to 10.5 MHz when the inductor is mounted on the PCB with the ground plane. The equivalent circuit parameters of the inductor can be easily derived from these impedance curves. The results are shown in Table II. From these analysis and experiments, the capacitive coupling between in and out traces is equivalent to a capacitor C_p with a capacitance 5.8 pF paralleled with the inductor. In this 5.8 pF capacitance, 2 pF is attributed to the direct capacitive coupling between in and out traces and 3.8 pF is attributed to the capacitive coupling through the ground plane. The inductance of the inductor is reduced by 0.81 μ H; so M_7 is 0.81 μH.



Fig. 15. Investigated $\Gamma + \Pi$ filter: (a) circuit of the filter and (b) prototye of the filter.



Fig. 16. Inductive couplings between two inductors.

D. Inductive Coupling Between In and Out Trace Loops (M_6 in Fig. 3)

Due to the large current difference between the in and out trace loops, the inductive coupling M_6 is also considered and extracted using S-parameters. It is around 0.2 nH. The couplings between the trace loops and capacitors are ignored and their effects are included in M_6 .

E. Inductive Coupling Between Two Inductors in a Two-Stage Filter

A $\Gamma + \Pi$ filter, shown in Fig. 15, is investigated last. Because of the high permeability of inductor cores, the inductive coupling between two inductors is significant. The mutual inductance between two inductors is usually much larger than other inductive couplings on the capacitor branch, therefore the C_2 branch in Fig. 15 would be significantly affected by this coupling. The winding directions of the two inductors also affect the mutual inductance polarities, as illustrated in Fig. 16.

The mutual inductance between two inductors is extracted using S-parameters. It is 1.79 μ H, which is much larger than the ESL (14 nH) of the capacitors and other mutual inductances. Therefore, for C_2 branch, only the effect of the inductive coupling between two inductors is considered. Experiments show this coupling affects the filter performance much. The equivalent circuits for C_2 and two inductors are shown in Fig. 17. The resoant frequency of a 0.47 μ F capacitor is around 2 MHz. In



Fig. 17. Equivalent circuits for two inductors and C_2 .



Fig. 18. Transfer-gain comparison.

Fig. 17, for the positive coupling case, the reosnant frequency of the capacitor branch would be 174 kHz because of the 1.79 μ H mutual inductance. For the negative coupling case, from (5), the minimum impedance also occurs at around 174 kHz because the mutual inductance is much larger than the ESL. It is obvious that the performance of the capacitor is strongly affected by this mutual inductance.

In order to reduce this effect, the mutual inductance should be made to be as small as possible. It is well known that two perpendicular inductors are beneficial for reducing the inductive coupling, but at the expense of larger space. Experiments are carried out for three cases. In the first case, the two inductors have the same winding direction. In the second case, the two inductors have different winding directions. In the third case, the two inductors are perpendicular. The measured transfer gains are shown in Fig. 18. In Fig. 18, for the case of positive coupling, a dip shows up at 174 kHz, which is just as predicted in Fig. 17. As a result, it has better performance in the LF range. Negative coupling is better from 330 kHz to 1 MHz, but is almost the same as positive coupling in the HF range. The transfer gain of the perpendicular inductors gives the best performance from 200 kHz to 30 MHz. The noise at the bottom of the curve is the background noise of the analyzer.

IV. SIMULATION VERIFICATION, DISCUSSION AND RECOMMENDATIONS

A. Simulation Verification on the Extracted Models

Since all the parasitic parameters in Fig. 3 are extracted, it is possible to simulate the transfer gain of the whole filter and then compare this with the measurement result. The equivalent



Fig. 19. EMI filter model for positive coupling.



Fig. 20. Simulated transfer gain for positive coupling.



Fig. 21. Measured transfer gain for positive coupling.

circuit used for the positive coupling case is shown in Fig. 19. The coupling is defined as positive coupling because the total equivalent inductance caused by the couplings of the inductor on the capacitor branch is positive. Fig. 20 illustrates simulation result in terms of both amplitude and phase. Fig. 21 shows the measured transfer gain in terms of both amplitude and phase. From Figs. 20 and 21, they closely match.

For the negative coupling and proposed winding structures, the simulated transfer gains also match the measured transfer gains very closely. From these comparisons, the parasitic couplings are successfully characterized and extracted.



Fig. 22. Equivalent circuit when the inductor is disconnected



Fig. 23. Transfer-gain comparison: (a) transfer gain and (b) phase. (The noise below 500 kHz in both diagrams is the background noise of the network analyzer).

B. Discussion

From Table I and Fig. 9, M_1 , M_2 and M_4 , M_5 are greatly reduced to improve the performance of the EMI filter if the proposed winding arrangement is used. In order to investigate the impact of other couplings on EMI filter performance when M_1 , M_2 and M_4 , M_5 are negligible, an experiment is carried out. In the experiment, the inductor is disconnected from the filter (but still kept on the PCB because the inductor affects the inductive couplings in the filter) and then the transfer gain is measured. The equivalent circuit for the measurement setup is shown in Fig. 22. It is obvious that the measured transfer gain is determined by M_3 , M_6 and C_p because the inductor is not connected to the filter. In Fig. 23, the transfer gain of the whole filter when the proposed winding arrangement is used is compared with this measured transfer gain.

In Fig. 23, two transfer-gain curves are almost same above 1 MHz no matter in gain and phase. It indicates that noise does not come through the inductor in HF range. This measurement also indicated the effect of C_p (the capacitive coupling) is insignificant due to the small impedances of filter capacitors, which leads to a +90° phase.

In Fig. 22, I_1 is given as

$$\dot{I}_1 \approx \frac{\dot{U}_S}{50}.\tag{10}$$

The measured transfer gain T_G in Fig. 22 is then described as

$$T_G = \frac{2\dot{U}_2}{\dot{U}_S} \approx \frac{j\omega}{25} (M_3 + M_6).$$
 (11)

Equation (11) means because the effects of M_1 , M_2 and M_4 , M_5 are negligible, for this EMI filter the HF performance is determined by M_3 and M_6 only. If M_3 is larger than M_6 , it would be the one dominates the transfer gain in HF range. That is what Fig. 12 shows.

C. Measures Used to Improve Filter Performance

Based on the preceding analysis and experiments, M_1 , M_2 and M_3 are the couplings with the capacitors, so the capacitors are the components critical to the achievement of high performance. Steps can be taken to reduce these couplings.

- 1) Two capacitors should be kept far away enough to reduce the inductive coupling between them.
- 2) The capacitors and inductor should also be kept far away enough to reduce the inductive coupling between them.
- The proposed winding arrangement can be used for the inductor to reduce the inductive coupling with capacitors.
- 4) Two capacitors can be shielded.
- 5) The pin of the capacitor should be kept as short as possible to reduce inductive couplings.
- For a two-stage filter, two inductors can be placed in perpendicular fashion to reduce the related inductive coupling.
- Purposely selecting the appropriate winding directions to get either positive or negative mutual inductance.

 M_4 , M_5 , and M_6 are the couplings with the in and out trace loops, so the trace loops are also important components. Steps can be taken to reduce these couplings.

- 1) The proposed winding arrangement can be used for the inductor to reduce the inductive coupling with trace loops.
- 2) The areas of in and out trace loops should be kept small to reduce the M_4 , M_5 and M_6 .

 M_7 and C_p result from the ground plane. Some steps can be taken to reduce them.

1) Do not use ground plane under the inductor to reduce M_7 .

 Keep the enough clear distance between ground plane and traces, in and out traces to reduce the direct capacitive couplings and those through the ground plane.

V. CONCLUSION

In this paper, six important inductive and capacitive couplings existing in the EMI filters are identified and quantified by both the experiments and the simulations. The theories on the parasitic couplings were developed and many measures are advised to reduce these couplings. It is found that the capacitors, the trace loops and the ground plane are critical to the achievement of high performance. The performance of a capacitor in an EMI filter is determined by the inductive couplings with other capacitors and inductors. The inductive couplings with the in and out trace loops also play an important role on the filter performance. The ground plane can reduce the inductance of inductors due to the eddy current and it also offers an easy path for the capacitive couplings between the in and out traces. For a two-stage filter, the inductive coupling between two inductors strongly affects the performance of the filter in the whole frequency range [1], [3]–[9].

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